

31 DEC 1995

For Six Month Period Ending _____
(Insert date)

Name of Registrant

Tea Council of the U.S.A., Inc.

Registration No.

01853

Business Address of Registrant

230 Park Avenue
New York, NY 10169

I—REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes ☐	No ☐
(2) Citizenship	Yes ☐	No ☐
(3) Occupation	Yes ☐	No ☐

(b) If an organization:

(1) Name	Yes ☐	No ☒
(2) Ownership or control	Yes ☐	No ☒
(3) Branch offices	Yes ☐	No ☒

2. Explain fully all changes, if any, indicated in item 1.

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IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name

Henry F. McInerney

Position

Director

Date Connection
Ended

10/24/95

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
 Yes ☒ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
Robert J. Carbonnel	9999 Collins Avenue ~~PH5-D, Bal Harbour, FL 33154~~	USA	Director	10/24/95

5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
 Yes ☐ No ☒

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

9. Have you acquired any new foreign principal¹ during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

The Sri Lanka Tea Board
Tea ASSociation of Malawi, Ltd.

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

The Sri Lanka Tea Board and The Tea Association of Malawi, Ltd.

The Tea Council's sole objective is to try to increase consumption of tea in the U.S.A. All of its publicity/promotion programs, consisting of consumer booklets, radio/TV interviews and press releases are aimed toward that stated objective.

A. Tea and Health Campaign as outlined in Item 11A

¹ The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
 Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☒ No ☐

If yes, describe fully.

Please see Item 11A.

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
10/16/95	Tea Association of Malawi	"Observer" Member Dues	\$5,000.00

Total	\$5,000.00
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(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS—MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
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See Item #15 - "Proforma Report of the Treasurer for the 12 months ending December 31, 1995"

A. Types of expenses included in Account #199 - Association Fee: (Administrative Costs for shared office expenses.

- Salaries
- Payroll Taxes
- Pension
- Insurance
- Rent & Maintenance
- Utilities
- Telephone & Fax
- Lease & Maintenance
- Postage/Fedex/Messenger
- Travel & Entertainment
- Liability Insurance
- Magazines & Directories
- Temporary Help
- Magazine Publication "Tea World"
- Meeting Costs
- Miscellaneous

F. Under Tea and Health Campaign - Account Numbers 301-399, disbursements are made to:

Aronow & Pollock Communications, Inc.
524 Broadway, 3rd Floor
New York, NY 10013

Total

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

⁵Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) NONE

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

- ☐ Public Officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) NONE

21. What language was used in this political propaganda:

- ☐ English ☐ Other (specify) NONE

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☒

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☐ No ☒

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☐ No ☒

VI—EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☐ No ☐ N/A
Exhibit B⁷ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

N/A

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☐

N/A

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and / of the supplemental statement? Yes ☐ No ☐

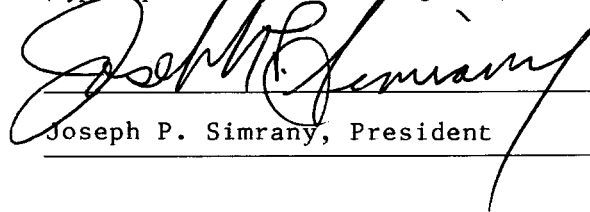
If no, list names of persons who have not filed the required statement.

N/A

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

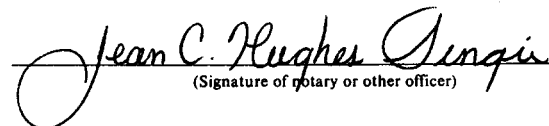
(Type or print name under each signature)


Joseph P. Simrany, President

Subscribed and sworn to before me at New York, New York

this 11th day of January, 19 96

JEAN C. HUGHES-SINGER
Notary Public, State of New York
No. 30-4958264 Com. in Nassau Cty.
Commission Expires July 16, 1996


(Signature of notary or other officer)

⁸The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form CRM-154, formerly Form OBD-64 - Supplemental Statement):

Yes _____ or No X

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes _____ X or No _____

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

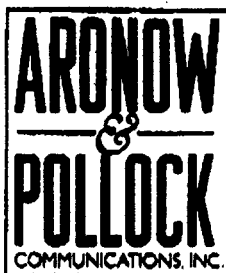
Joseph P. Simrany
Signature

1/11/96
Date

President Joseph P. Simrany

Please type or print name of signatory on the line above

President
Title



ITEM # 11A

MEMO TO: Jean Singer

DATE: December 21, 1995

FROM: Renee Yosco

SUBJECT: Tea & Health Program Update for U.S. Justice Department

This memo details the Tea & Health public relations activities conducted by ARONOW & POLLOCK COMMUNICATIONS between July, 1995 - December 1995.

Scientific Advisory Panel

The yearly meeting of scientific experts with specialties in the area of cancer and heart disease research as it relates to tea convened on September 20, 1995. The meeting's agenda included a discussion about the latest research on black tea; as well as, an epidemiological overview of the most recently published scientific studies.

By working with this group, it helps to create a scientific basis for public relations activities, and cultivate relationships that enable the Tea Council to take its messages to the appropriate opinion leading audiences.

Scientific Information Exchange

The information exchange provides current scientific information to health professionals and professional health communicators. This program element generates credible articles and discussions about the health benefits of tea.

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INVESTIGATION

These efforts include:

- contacting the media on a continuous basis to gauge placement opportunities;
- disseminating the Black Tea information kits on an ongoing basis to health and nutrition media representatives; and
- completion of "*Your Cup of Tea*": a white paper about the benefits of both black and green tea.

Health and Nutrition Media Activity

Health editors, writers and broadcasters need materials to use as background information for their articles, columns and broadcasts. Literature has been put together to serve as resource material for response to media inquiries. The literature is also utilized as part of the "scientific information exchange" ongoing educational efforts.

American Dietetic Association (ADA)

The Tea Council sponsored an afternoon tea break at the ADA annual meeting, October 28, 1995, for the *House of Delegates*. The *House of Delegates* is the governing body within the ADA.

During the break, the "Totally Tea" brochure which describes the health benefits of tea, was distributed to the 250 registered dietitian attendees.

In addition to the *House of Delegates* tea, the Tea Council also participated with a health information booth. Many registered dietitians stopped by the booth to gather background information on tea and the health benefits of tea.

Crisis Communication

Due to the number of sensationalized reports in the news media questioning the safety of iced tea, the Tea Council implemented a crisis communication program.

TEA COUNCIL OF THE U.S.A., INC.
PRO FORMA BALANCE SHEET
December 31, 1995
Item # 15
ASSETS

Cash on Hand	\$100
Cash in Banks:	
Checking Account	2,781
Money Market Account	2,578
Dean Witter Liquid Assets	604,602
Dreyfus Liquid Assets	45,199
T Bills	0
Accounts Receivable	0
Inventory	1,100
Prepaid Expenses	0
Deposits with Suppliers	100
Total Assets	\$656,460
	=====

LIABILITIES AND OPERATING BALANCE

Accounts Payable	\$0
Operating Balance	656,460
Total Liabilities & Operating Balance	\$656,460
	=====

DISTRIBUTION OF THIS STATEMENT:

Monthly: Tea Council Executive Committee
Quarterly: Tea Council Board of Directors

TEA COUNCIL OF THE U.S.A., INC.
Report of the Treasurer
For the 12 Months Ended December 31, 1995

	Actual To 12/31/95	Budget To 12/31/95	1995 Approved Budget
<u>OPERATING BALANCE AT BEGINNING:</u>	<u>\$733,475</u>	<u>\$733,475</u>	<u>\$733,475</u>
<u>Receipts:</u>			
U.S.A. Trade Contributions	\$613,758	850,000	\$850,000
Producing Country Contributions	17,850	10,000	10,000
Producing Country Observer Contribution	5,000	10,000	10,000
Interest Income	40,026	30,000	30,000
<u>Total Receipts</u>	<u>\$676,634</u>	<u>900,000</u>	<u>\$900,000</u>
	=====		
<u>Expenditures:</u>			
Tea Council Activities-Approved/Projected			
Administrative	\$246,419	254,261	\$254,261
Approved Programs			
Consumer Publicity	7,734	17,330	17,330
Health Aspects	294,157	265,000	265,000
Scientific Research	205,340	205,000	205,000
Total Programs & Administration	753,649	741,591	741,591
Uncommitted			446,884
<u>Total Expenditures</u>	<u>\$753,649</u>	<u>741,591</u>	<u>\$1,188,475</u>
	=====		
<u>OPERATING BALANCE AT END:</u>	<u>\$656,460</u>	<u>891,884</u>	<u>\$445,000</u>
	=====		

		Actual To	Budget To	1995 Approved
<u>Tea Council Administrative</u>		<u>12/31/95</u>	<u>12/31/95</u>	<u>Budget</u>
125	D&O Liability Insurance	\$2,655	3,045	\$3,045
143	Accounting Fees	5,500	6,800	6,800
144	Legal Fees	1,725	2,000	2,000
170	Tea Convention	28,194	30,000	30,000
175	Council Letterhead	879	1,000	1,000
180	FARA	1,220	1,830	1,830
190	Miscellaneous	(90)	3,250	3,250
199	Association Fee	206,336	206,336	206,336
Total		\$246,419	254,261	\$254,261
		=====		

Internal Consumer Publicity

220	Printing	128	5,950	5,950
225	Photographs	0	2,500	2,500
230	Print Clippings	5,471	5,000	5,000
250	Trade Publicity	2,135	3,880	3,880
Total		\$7,734	17,330	\$17,330
		=====		

Tea & Health Campaign

301	Scientific Advisory Panel	\$27,829	25,000	25,000
305	Scientific Information Exchange	42,772	50,000	50,000
320	Health & Nutrition Partnerships/ADA	50,934	60,000	60,000
330	Ongoing PR Activity	101,944	43,000	43,000
340	Special Publicity Activity	10,837	10,000	10,000
350	Black Tea VNR	24,802	25,000	25,000
370	Out-Of-Pocket	6,069	7,000	7,000
390	Epidemiology Review	1,750	15,000	15,000
399	Account Management	27,220	30,000	30,000
Total		\$294,157	265,000	\$265,000
		=====		

Scientific Research

405	International T&H Research	205,340	205,000	206,000
Total		\$205,340	205,000	\$206,000
		=====		
Total Expenditures		\$753,649	741,591	\$742,591