

U.S. Department of Justice
Washington, DC 20530

Supplemental Statement
Pursuant to the Foreign Agents Registration Act of 1938, as amended

For Six Month Period Ending November 30 2020
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No.

Scottish Enterprise 3013

(c) Business Address(es) of Registrant

New main address:
50 Milk Street
14th Floor
Boston, MA 02109
see attached list

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

- (1) Residence address(es) Yes No
- (2) Citizenship Yes No
- (3) Occupation Yes No

(b) If an organization:

- (1) Name Yes No
- (2) Ownership or control Yes No
- (3) Branch offices Yes No

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

Boston office has moved to:

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes No

If yes, have you filed an amendment to the Exhibit C? Yes No

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Position	Date Connection Ended
Michelle Sim	Executive	June 30, 2020
Kevin Reynolds	Executive	December 4, 2020

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes No

If yes, identify each such person and describe the service rendered.

N/A

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes No

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes No

If no, list names of persons who have not filed the required statement.

N/A

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes No
- If yes, furnish the following information:

Foreign Principal	Date of Termination
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8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes No
- If yes, furnish the following information:

Name and Address of Foreign Principal(s)	Date Acquired
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9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Scottish Enterprise
150 Broomielaw, 5 Atlantic Quay
Glasgow, Scotland
G2 8LU

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes No

Exhibit B⁴ Yes No

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes No

If yes, have you filed an amendment to these exhibits? Yes No

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, identify each foreign principal and describe in full detail your activities and services:

Scottish Enterprise carries out activities through Scottish Development International and SCTR, Limited. The activities of Scottish Development International consist of publicizing Scotland as a region of U.S. commercial and industrial development, seeking potential U.S. investors and developing links between Scottish Enterprise and such investors.

The activities of SCTR, Limited consist in publicizing and assisting Scottish companies with opening in the United States.

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Foreign Principal - Scottish Enterprise

Political activity - Promotion of business development in Scotland, and export trade from Scotland on behalf of the British government to the United States.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes No

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) **RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
Various	Various	See Attached Schedules	\$2,394,959.63

\$2,394,959.63

Total

(b) **RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, have you filed an Exhibit D⁸ to your registration? Yes No

If yes, indicate the date the Exhibit D was filed. Date _____

(c) **RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes No

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No
- (2) transmitted monies to any such foreign principal? Yes No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
Various	Various	Expenditures - see attached schedule	\$2,123,154.90

\$2,123,154.90

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes No

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes No

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes No

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Scottish Enterprise, Glasgow, Scotland

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

See schedule of receipts as identified on page 5, question 14(a).

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts Magazine or newspaper Motion picture films Letters or telegrams
 Advertising campaigns Press releases Pamphlets or other publications Lectures or speeches
 Other (*specify*) Presentations to groups of executives

Electronic Communications

Email

Website URL(s): www.sdi.co.uk

Social media websites URL(s): _____

Other (*specify*) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials Newspapers Libraries
 Legislators Editors Educational institutions
 Government agencies Civic groups or associations Nationality groups
 Other (*specify*) Industry groups and executives

21. What language was used in the informational materials:

English

Other (*specify*) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes No

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

December 30, 2020 _____

/s/ Kathleen B. Gallagher Tepfer _____

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

SCTR LIMITED
and
SCOTTISH DEVELOPMENT INTERNATIONAL

FARA Report - Financial Information - IV

June 1, 2020 to November 30, 2020

Registration No. 3013

Page 5, #14(a) Receipts - Monies

<u>Dates</u>		<u>From who</u>	<u>Purpose</u>		
Various		Various Refunds	Refunds of expenses	\$	845.35
<u>2020</u>					
Various Dates	7	Scottish Enterprise	Expenditures	<u>22,474.72</u>	<u>\$ 23,320.07</u>
Total Receipts for Scottish Development International					<u>\$ 23,320.07</u>
<u>Dates</u>		<u>From who</u>	<u>Purpose</u>		
Various		Various Customers	Office Service Fees	\$	63,239.56
Various		Sale of Car	Expense refunds		8,400.00
<u>2020</u>					
June	5	Scottish Enterprise	Expenditures		200,000.00
June	19	Scottish Enterprise	Expenditures		250,000.00
July	15	Scottish Enterprise	Expenditures		200,000.00
August	3	Scottish Enterprise	Expenditures		250,000.00
August	28	Scottish Enterprise	Expenditures		300,000.00
September	22	Scottish Enterprise	Expenditures		250,000.00
October	14	Scottish Enterprise	Expenditures		200,000.00
November	5	Scottish Enterprise	Expenditures		300,000.00
November	24	Scottish Enterprise	Expenditures	<u>350,000.00</u>	<u>\$ 2,371,639.56</u>
Total Receipts for SCTR Limited					<u>\$ 2,371,639.56</u>
Total Receipts					<u><u>\$ 2,394,959.63</u></u>

SCTR LIMITED
AND
SCOTTISH DEVELOPMENT INTERNATIONAL
CASH DISBURSEMENTS
JUNE 1, 2020 - NOVEMBER 30, 2020
REGISTRATION NO. 3013

DESCRIPTION	SCTR	SDI	TOTAL
U.K. TRAVEL	935.60	1,880.60	2,816.20
NON U.K. TRAVEL	(4,925.66)	0.00	(4,925.66)
RENT	129,087.82	0.00	129,087.82
INSURANCE	388,666.89	13,869.75	402,536.64
PRINTING & STATIONERY	526.93	0.00	526.93
TELEPHONE & TELEGRAPH	(50.00)	0.00	(50.00)
GENERAL EXPENSE	1,054.23	502.52	1,556.75
TAXES INCLUDING PAYROLL TAXES	98,750.41	3,933.01	102,683.42
CATERING	90.75		90.75
LOCAL SALARIES	1,436,310.53	51,411.87	1,487,722.40
EQUIPMENT LEASING	(590.35)	0.00	(590.35)
SPECIALIZED CONTRACTORS	1,700.00		1,700.00
TOTAL	<u>2,051,557.15</u>	<u>71,597.75</u>	<u>2,123,154.90</u>

Scottish Enterprise
June 1, 2020 to November 30, 2020
Registration 3013

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No public relations consultants were used

No advertising firms were used

During this period the organization made presentations or had exhibits at the following

DATE	SECTOR	EVENT
14-Oct-20	Technology	EIE 2020
Jun 8-12, 2020	Life Sciences	BIO Digital
Jun 16-18, 2020	Technology	CBInsights Technology Conference
10-Sep-20	Technology	MassChallenge HealthTech Finale
Sept 23-25, 2020	Technology	CBInsights Future of Health
Sept 29-Oct 1, 2020	Technology	ASU+GSV Summit
Sept 29-Oct 1, 2020	Technology	ASU+GSV Summit
11-Aug-20	Life Sciences	AI in Industrial and Manufacturing
19-Nov-20	Technology	Forth Valley Virtual Trade Mission
Aug 25-27, 2020	Life Sciences	Industrializing Cell-based Meats Summit
Nov 16-20, 2020	Technology	DIT Regtech Virtual Summit
27-Oct-20	Life Sciences	Lonza virtual Symposium
2-Nov-20	Life Sciences	Pfizer Virtual Presentation
Nov 4-5, 2020	Life Sciences	BIOMEDigital
17-Nov-20	Technology	Crossover Technologies Webinar (Space)
11-Nov-20	Life Sciences	2020 Hospital and Health System Innovation Summit
11-Nov-20	Life Sciences	2020 Bio/Pharma Virtual Congress
25-Nov-20	Life Sciences	AstraZeneca Exchange Cambridge 2020
17-Sep-20	Technology	Digital Currencies & new age of payment - Fintech Festival
8-Sep-20	Technology	Role of Fintech in Transforming Banking in North America
19-Aug-20	Technology	CB Insights Fintech 2Q20 Update
July 21-22, 2020	Technology	DIT Virtual Space Mission
25-Jun-20	Technology	Collision AI
2-Dec-20	Life Sciences	Scottish Dementia Research Consortium Webinar with Professor Craig
18-Nov-20	Technology	Digital Biomarkers & Digital Measurements Summit
June 16-18, 2020	Technology	CB Insights Conference
Aug 4-6, 2020	Technology	GDC 2020
Sept 29-Oct 1, 2020	Technology	ASU+GSV Summit
17-Aug-20	Consumer products	E-commerce Opportunities In the US
19-Oct-20	F&D	Seafood ECRM Marketplace
Nov 1-11, 2020	F&D	Seafood ECRM Marketplace
2-Jun-20	Energy	The Future of Public Transport- June Climate Cafe
17-Jun-20	Energy	GoToWebinar - Upstream Oil & Gas Combats COVID-19 and the 2020 Oil Crash: Ep 3 - The Future of the Oilfield
23-Jun-20	Energy	Webinar: Crossover Opportunities in the Offshore Sector
25-Jun-20	Energy	Virtual Venture Café: Building an American Offshore Wind Industry
6-Jul-20	Energy	Reuters Events - Energy Transition
11-Nov-20	Energy	OTC LIVE Opportunities and Challenges in Frontier Basins and Emerging Offshore Areas
12-Nov-20	Energy	Greenbackers Climate Investment Pitchcast - November 2020
17-Nov-20	Energy	Energy Outlook with BP, ExxonMobil and IHS Markit, a BABC

Scottish Enterprise
FARA Report, Supplement to Part III 11, Activities
November 30, 2020

These goals have been pursued by branches of Scottish Enterprise located in the United States by means of mail, telephone contacts, seminars, exhibitions, advertising in U.S. publications and electronic media and personal visits by officers of the registrant with representatives of U.S. business organizations. They have also been pursued by providing assistance to Scottish companies to begin operations in the U.S. by providing temporary office space and services.

Scottish Enterprise
FARA Report, Business Addresses

Scottish Development International

Cambridge Innovation Centre
50 Milk Street
14th Floor
Boston, MA 02109

1735 Technology Drive
Unit 330
San Jose, CA 95110

625 N. Michigan Avenue
Suite 2200
Chicago, IL 60611

10375 Richmond Avenue, Ste 1300
Houston, TX 77042

SCTR Limited offices:

Operations are now run out of the above offices

SHORT-FORM REGISTRATION INFORMATION SHEET

The Department records list active short-form registration statements for the following persons filed on the date indicated by each name. If a person is not currently functioning in the same capacity directly on behalf of the foreign principal, please indicate the date of termination in Item 5 of the supplemental statement.

Short Form List for Registrant: Scottish Enterprise

<u>Last Name</u>	<u>First Name</u>	<u>Registration Date</u>	
Reynolds	Kevin John	04/29/2016	Terminated December 4, 2020
Sim	Michelle	10/05/2015	Terminated June 30, 2020
Tepfer	Kathleen	12/27/1999	