

U.S. Department of Justice
Washington, DC 20530

Supplemental Statement
Pursuant to the Foreign Agents Registration Act of 1938, as amended

For Six Month Period Ending March 31, 2017

(Insert date)

I - REGISTRANT

- 1. (a) Name of Registrant (b) Registration No.

Sidley Austin LLP 3731

(c) Business Address(es) of Registrant

One South Dearborn, Chicago, IL, 60603; 1501 K Street, NW, Washington, DC 20005; 787 Seventh Avenue, New York, NY 10019; 2021 McKinney Avenue, Dallas, TX 75201; 1000 Louisiana Street, Houston, TX 77002; 555 West Fifth Street, Los Angeles, CA 90013; 555 California Street, Suite 2000, San Francisco, CA 94104; 1001 Page Mill Road, Building 1, Palo Alto, CA 94304; 60 State Street, 36th Floor, Boston, MA 02109

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

- (1) Residence address(es) Yes No
- (2) Citizenship Yes No
- (3) Occupation Yes No

(b) If an organization:

- (1) Name Yes No
- (2) Ownership or control Yes No
- (3) Branch offices Yes No

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes No

If yes, have you filed an amendment to the Exhibit C? Yes No

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Position	Date Connection Ended
See Attachment A		

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
See Attachment B				

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes No

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes No

Name	Residence Address	Citizenship	Position	Date Assumed

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes No

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes No

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Government of Israel
Hong Kong Trade Development Council
JTC/USB Bank

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes No

Exhibit B⁴ Yes No

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes No

If yes, have you filed an amendment to these exhibits? Yes No

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, identify each foreign principal and describe in full detail your activities and services:

Government of Israel: The provision of legal advice in legal proceedings in the U.S. and related matters.

Hong Kong Trade - Development Council: The registrant provides legal advice and related services on trade-related matters.

JTC VSB Bank: The registrant provides legal advice on U.S. laws and regulations.

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

On October 6, 2016, on behalf of JTC VSB Bank, Richard Boucher and Michael Borden met with Kathleen Kavalec and Sandra Oudkirk of the U.S. Department of State to discuss the impact of U.S. sanctions on Russian institutions.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes No

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
SEE ATTACHMENT C			

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, have you filed an Exhibit D⁸ to your registration? Yes No

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes No

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).
 8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.
 9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

(2) transmitted monies to any such foreign principal? Yes No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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SEE
ATTACHMENT D

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes No

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes No

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
 Yes No

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) _____

Electronic Communications

- Email
- Website URL(s): _____
- Social media websites URL(s): _____
- Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) _____

21. What language was used in the informational materials:

- English
- Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes No

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

April 25, 2017

/s/ Joseph B. Tompkins, Jr.

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

No. 3731

ATTACHMENT A

**4.(a) Persons who Ceased to be Partners at Sidley
During the period of October 1, 2016 to March 31, 2017**

<u>Location</u>	<u>Name</u>	<u>Partner Withdrawal Date</u>
Chicago	Valentino, Luke J.	10/21/2016
New York	Auchincloss, Andrew S.	10/31/2016
Singapore	Chua, Tju Liang	12/17/2016
Boston	Gutter, Samuel I.	12/31/2016
Chicago	Baumgartner, Jr., William H.	12/31/2016
Chicago	Bierig, Jack R.	12/31/2016
Chicago	Caruso, Paul S.	12/31/2016
Chicago	Cole, Thomas A.	12/31/2016
Chicago	Doss, Michael P.	12/31/2016
Chicago	Hochberg, Kevin J.	12/31/2016
Chicago	Krakauer, Bryan	12/31/2016
Chicago	Lassar, Scott R.	12/31/2016
Chicago	Leonard, Laura L.	12/31/2016
Chicago	Olian, Robert M.	12/31/2016
Chicago	Raven, Marc E.	12/31/2016
Chicago	Sacha, Alfred N.	12/31/2016
Hong Kong	Chong, Janney	12/31/2016
Los Angeles	Olson, Jeffrey M.	12/31/2016
New York	Arden, James D.	12/31/2016
New York	Beck, Thomas H.	12/31/2016
New York	Madigan, Michael	12/31/2016
New York	Miller, Jonathan B.	12/31/2016
New York	Risko, Paul K.	12/31/2016
New York	Sackheim, Michael S.	12/31/2016
New York	Yanowitch, Michael H.	12/31/2016
Palo Alto	Marshall, Deborah A.	12/31/2016
San Francisco	Thayer, M. Patricia	12/31/2016
Washington D.C.	Glazer, Ken	12/31/2016
Washington D.C.	Torresen, Robert	12/31/2016
Washington D.C.	Yancey, Thomas H.	12/31/2016
Chicago	Niewoehner, Rachel B.	1/15/2017

Attachment A, continued.

<u>Location</u>	<u>Name</u>	<u>Partner Withdrawal Date</u>
Washington D.C.	Elrod, Eugene R.	1/16/2017
Dallas	Leftwich, Kristoffer	1/26/2017
San Francisco	Hill, Joshua	1/27/2017
Palo Alto	Anderson, Bryan K.	1/29/2017
Chicago	Stone, Susan A.	1/31/2017
Chicago	Knot, Craig A.	2/3/2017
Houston	Devetski, Timothy J.	2/12/2017
Houston	Langenkamp, Timothy C.	2/28/2017
San Francisco	Woo, Philip W.	3/3/2017
Washington D.C.	Morrissey, Brian P.	3/17/2017
Washington D.C.	Sorenson, Quin M.	3/29/2017
Los Angeles	Cohen, Gary J.	3/31/2017
Los Angeles	Ellis, Bradley H.	3/31/2017
Los Angeles	Rubinroit, Howard J.	3/31/2017
New York	O'Brien, Michael J.	3/31/2017
Singapore	Hekker, Gerard A.	3/31/2017
Washington D.C.	Martella Jr., Roger R.	3/31/2017

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ATTACHMENT B

**4.(b) Persons who Became Partners at Sidley
During the period of October 1, 2016 to March 31, 2017**

<u>Name</u>	<u>Location</u>	<u>Residence Address</u>	<u>Citizenship</u>	<u>Position</u>	<u>Partner Date</u>
Cader, Jifree	London	32 Kennet Street, London, GT LON, E1W 2JA, GBR	United Kingdom	Partner	10/3/2016
Yeoh, Friven	Hong Kong	42B Tower 2, Dynasty Court, 23 Old Peak Road, Hong Kong, CHN	Malaysia; Australia, Hong Kong, and Singapore Permanent Worker/Res ident	Partner	10/12/2016
Hughes, Noel	London	45 Crossley Street, London, GT LON, N7 8PE, GBR	USA; Ireland Citizen; UK Permanent Worker/Res ident	Partner	11/14/2016
Fries, Elizabeth Shea	Boston	7 Cliff Street, Winchester, MA, 01890, USA	USA	Partner	11/14/2016
Grincer, Alan G.	London	10 Seymour Walk, London, SW10 9NF, GBR	Australia; UK Permanent Worker/Res ident	Partner	11/16/2016
Heyworth, James	New York	393 Sound Beach Avenue, Old Greenwich, CT, 06870, USA	USA	Partner	1/1/2017
Rigby, Aaron J.	Dallas	6946 Gaston Ave., Dallas, TX, 75214, USA	USA	Partner	1/1/2017
Fox, Andrew	London	82 Prebend Street, London, N1 8PR, GBR	United Kingdom	Partner	1/1/2017

Attachment B, continued.

<u>Name</u>	<u>Location</u>	<u>Residence Address</u>	<u>Citizenship</u>	<u>Position</u>	<u>Partner Date</u>
Egleson, Christopher M.	Los Angeles	2120 Hickory Street, San Diego, CA, 92103, USA	USA	Partner	1/1/2017
Altman, Daniel Z.	New York	2 Bluebird Drive, Syosset, NY, 11791, USA	Germany; Israel; USA Naturalized	Partner	1/1/2017
Maley, Erika L.	Washington D.C.	8521 Georgetown Pike, McLean, VA, 22102, USA	USA	Partner	1/1/2017
Sum, Yen	London	3 Nash Terrace, Aubert Park, London, N5 1FR, GBR	United Kingdom; Australia Citizen	Partner	1/1/2017
Hale, Christopher R.	Chicago	2123 W Le Moyne St, #2, Chicago, IL, 60622, USA	USA	Partner	1/1/2017
Gottlieb, Joseph R.	New York	38 Hallberg Ave., Bergenfield, NJ, 07621, USA	USA	Partner	1/1/2017
Umar, Nilofer	Chicago	834 17th Street, Wilmette, IL, 60091, USA	USA	Partner	1/1/2017
Remis, Anna J.	Chicago	1314 Forest Avenue, Wilmette, IL, 60091, USA	USA	Partner	1/1/2017
Kean, Jesse C.	New York	14 Meadow Lane, Chappaqua, NY, 10514, USA	USA	Partner	1/1/2017
Alter-Nelson, Marissa	New York	555 West 53rd Street, Apt. 1449, New York, NY, 10019, USA	USA	Partner	1/1/2017
Skakun III, John M.	Chicago	558 W. Webster Ave., 604, Chicago, IL, 60614, USA	USA	Partner	1/1/2017
Buese, Alexis Miller	Los Angeles	18277 Wakecrest Drive, Malibu, CA, 90265, USA	USA	Percentage Partner	1/1/2017
Borden, Michael E.	Washington D.C.	1909 23rd Street, NW, Washington, DC, 20008, USA	USA	Partner	1/1/2017

Attachment B, continued.

<u>Name</u>	<u>Location</u>	<u>Residence Address</u>	<u>Citizenship</u>	<u>Position</u>	<u>Partner Date</u>
Chandler, Tim	Houston	23707 Nina Ridge Lane, Spring, TX, 77386, USA	USA	Partner	1/1/2017
Brennan, Jennifer	London	12 Godolphin Road, London, W12 8JE, GBR	Australia; UK Permanent Worker/Resident	Partner	1/12/2017
Schinköth, Jan	Munich	lindwurmstraße 5a, Munich, 80337, DEU	Germany	Partner	2/1/2017
Cohen, Stephen L.	Washington D.C.	3938 Livingston Street NW, Washington, DC, 20015, USA	USA	Partner	2/13/2017
Feil, Markus	Munich	Schwarzwaldstrasse 19, Munich, BE, 81677, DEU	Germany	Partner	3/17/2017
Klie, Marcus	Munich	Mallnitzer Straße 60, Munich, 80687, DEU	Germany	Partner	3/17/2017
Schlatter, Nicole	Munich	lichtingerstraße 24, Munich, 81243, DEU	Germany	Partner	3/17/2017

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ATTACHMENT C

14.(a) Receipts of Monies
October 1, 2016 to March 31, 2017

Government of Israel

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
11/14/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$2,500.00
11/16/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$37,800.00
12/14/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$55,000.00
12/23/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$57,200.00
12/29/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$6,250.85
12/30/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$919.79
01/12/2017	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$7,550.00
03/03/2017	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$2,131.29
03/23/2017	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$28,000.00

Attachment C, continued.

Hong Kong Trade Development Council

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
10/04/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$37,500.00
10/27/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$37,500.00
12/05/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$37,500.00
12/20/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$37,500.00
1/26/2017	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$37,500.00
3/10/2017	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$37,500.00
3/27/2017	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$37,500.00

JTC VTB Bank

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
10/27/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$61,754.60
11/10/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$40,000.00
12/13/2016	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$40,000.00
01/11/2017	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$40,000.00

Attachment C, continued.

JTC VTB Bank

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
02/13/2017	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$40,000.00
04/11/2017	For professional services rendered in connection with legal proceedings in the U.S. and related matters.	\$80,000.00

No. 3731

ATTACHMENT D

15.(a) Disbursements
During the Period of October 1, 2016 to March 31, 2017

<u>Foreign Principals</u>	<u>Purpose</u>	<u>Amount</u>
Government of Israel	Postage, telephone, telex, messenger service, photocopying and document processing.	\$1,299.41
Hong Kong Trade Development Council	Postage, telephone, telex, messenger service, photocopying and document processing.	\$1,052.34
JTC VSB Bank	Postage, telephone, telex, messenger service, photocopying and document processing.	\$21,936.15