

For Six Month Period Ending March 31, 1997
(Insert date)

Name of Registrant

Registration No. 4293

Baker & Botts, L.L.P.

Business Address of Registrant

**One Shell Plaza
910 Louisiana
Houston, TX 77002**

I—REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual: **N/A**

(1) Residence address	Yes ☐	No ☐
(2) Citizenship	Yes ☐	No ☐
(3) Occupation	Yes ☐	No ☐

(b) If an organization:

(1) Name	Yes ☐	No ☒
(2) Ownership or control	Yes ☐	No ☒
(3) Branch offices	Yes ☐	No ☒

2. Explain fully all changes, if any, indicated in item 1.

N/A

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

See Insert I

Name

Position

Date Connection
Ended

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
 Yes ☒ No ☐

If yes, furnish the following information:

See Insert II.

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
 Yes ☐ No ☒

If yes, identify each such person and describe his services.

N/A

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information: See Insert III.

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information: N/A

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

-
9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

-
10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

JETRO-Houston; Rhone-Poulenc S.A.; Ministry of Foreign Affairs
of the Republic of Yemen.

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

See Insert IV

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).
A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
 Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

See Insert V.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully. N/A

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

See Insert VI.

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
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Total

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

<i>N/A</i> <i>Name of</i> <i>foreign principal</i>	<i>Date</i> <i>received</i>	<i>Description of</i> <i>thing of value</i>	<i>Purpose</i>
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³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS—MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

See Insert VII.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
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Total

15. (b) **DISBURSEMENTS—THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information: **N/A**

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) **DISBURSEMENTS—POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒ See Insert VIII.

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal. **N/A**

⁵Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following: **N/A**

☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups: **N/A**

☐ Public Officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in this political propaganda: **N/A**

☐ English ☐ Other (specify) _____

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? **N/A** Yes ☐ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☐ No ☐ **N/A**

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? **N/A** Yes ☐ No ☐

VI—EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following: **N/A**

Exhibit A⁶ Yes ☐ No ☐
 Exhibit B⁷ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? **N/A** Yes ☐ No ☐

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6 month reporting period. **N/A** Yes ☐ No ☐

If yes, have you filed an amendment to the Exhibit C? **N/A** Yes ☐ No ☐

If no, please attach the required amendment. **N/A**

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☐ No ☐ **N/A**

If no, list names of persons who have not filed the required statement. **N/A**

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Baker & Botts, L.L.P.

O. Donaldson Chapoton

Managing Partner, Washington, D.C.
Office

Subscribed and sworn to before me at 1299 Pennsylvania Ave, N.W. Washington DC

this 15th day of May, 1997

Nancy S. Leap
Signature of notary or other officer)
My Commission Expires: 2/28/02

⁸The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form CRM-154, formerly Form OBD-64 - Supplemental Statement):

Yes _____ or No X

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes _____ or No X

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)


Signature

5/15/97
Date

O. Donaldson Chapoton
Please type or print name of
signatory on the line above

Managing Partner, Washington, D.C. Office
Title

INSERT I

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? **YES.***

<u>Name</u>	<u>Position</u>	<u>Date Ended</u>
James Randall	Partner	12/31/96
Kenneth Siegel	Partner	1/31/97

RECEIVED
MAY 16 1997
FBI - NEW YORK

* The only partners of the registrant who are short form registrants are B. Donovan Picard and John Cogan, Jr.

INSERT II

4. Have any persons become partner, officers, directors or similar officials during the 6 month reporting period? **YES.**

<u>Name</u>	<u>Residence Address</u>	<u>Citizenship</u>	<u>Position</u>	<u>Date Assumed</u>
Maria Boyce	3032 Nottingham Houston, TX 77005	U.S.	Partner	1/01/97
Kevin Dent	614 D Street, S.E. Washington, D.C. 20003	U .S.	Partner	1/01/97
James Gatto	1101 Mountain Hope Ct. Great Falls, VA 22066	U.S.	Partner	1/01/97
John Graham	167 West 85th Street New York, NY 10024	U.S.	Partner	1/01/97
Bill Hart	13411 Queensbury Houston, TX 77079	U.S.	Partner	1/01/97
Marc Leaf	11 Fremont Road Sleepy Hollow, NY 10591	U.S.	Partner	1/01/97
Kevin Meek	7425 Cliffbrook Dallas, TX 75240	U.S.	Partner	1/01/97
Theodore Paris	8322 Debbie Gay Drive Houston, TX 77040	U.S.	Partner	1/01/97
Ronald Sernau	2 Elizabeth Place Armonk, NY 10504	U.S.	Partner	1/01/97
Teresa Valderrama	2726 Alderleaf Place Spring, TX 77388	U.S.	Partner	1/01/97

INSERT III

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this six month period? **NO.**

The following is a list of employees or individuals other than officials, who are short form registrants working for the registrant and for its foreign principals:

<u>Name</u>	<u>Position</u>
Paul Freedenberg	International Trade Consultant
Martin T. Lutz	Associate
Michael X. Marinelli	Associate
Paul T. Luther	Associate
Estee S. Levine	Associate

INSERT IV

11. During this 6 month period, have you engaged in any activities for or rendered any services to any foreign principal named in item 8, 9, and 10 of this statement? **YES.**

Rhône-Poulenc S.A.

Advising client with respect to U.S. international trade law and policy. The Registrant also provides legal counsel to a Brazilian subsidiary of Rhône-Poulenc S.A., Rhodia S.A., concerning U.S./Brazil trade relations.

JETRO-Houston

Discussing with various private U.S. parties a variety of economic matters relating to investment and doing business by U.S. companies in Japan. Conducting symposiums on investment in Japan. The Registrant's activities on behalf of the Foreign Principal include non-registrable activities.

Ministry of Foreign Affairs of the Republic of Yemen

Advising client with respect to unresolved issues between the Republic of Yemen and Saudi Arabia relating to their common borders, U.S. humanitarian and development assistance programs, Yemen elections, and U.S. policy toward Yemen. Such representation may include contacting various U.S. Government officials at the Department of State, the Agency for International Development, and the U.S. Congress relating to the above, as reported in this supplemental statement; however, the large majority of the Registrant's activities on behalf of the Republic of Yemen are non-registrable activities connected with the provision of legal counseling on the above.

INSERT V

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity as defined below?
YES.

If yes, identify each such foreign principal and describe in full detail such political activity, indicating, among other things, the relations, interest and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Ministry of Foreign Affairs of the Republic of Yemen

B. Donovan Picard and Martin T. Lutz of Baker & Botts, L.L.P. met with Mr. Arthur Hughes, a United States State Department official, at the State Department on March 26, 1997, to discuss United States-Yemeni relations and Yemeni-Saudi relations.

INSERT VI

14(a). Receipts-Monies

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? **YES.***

Rhône-Poulenc S.A.

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
10/10/96	Compensation for activities described in response to Item 11.	\$34,432.48
10/24/96	Compensation for activities described in response to Item 11.	\$27,595.68
11/20/96	Compensation for activities described in response to Item 11.	\$6,301.62
12/11/96	Compensation for activities described in response to Item 11.	\$1,898.69
01/22/97	Compensation for activities described in response to Item 11.	<u>\$7,022.44</u>
		\$77,250.91

* Amounts reflect compensation collected by the registrant for both registrable and non-registrable activities.

JETRO-Houston

<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
10/30/96	Compensation for activities described in response to Item 11.	\$4,500.00
02/06/97	Compensation for activities described in response to Item 11.	\$4,500.00
		\$9,000.00

INSERT VII

15(a). During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9, and 10 of this statement? **YES.**
- (2) transmitted monies to any such foreign principal? **NO.**

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Rhône-Poulenc S.A.

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Telephone calls	\$64.72
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Taxis, copying at agencies, miscellaneous office expense	73.00
10/01/95- 3/31/97	Baker & Botts, L.L.P.	Photocopying	167.05
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Facsimile	128.45
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Delivery charges	6.87
10/01/96- 3/31/97	Westlaw Research Service	Computer research services	88.40
10/01/96- 3/31/97	Lexis Research Service	Computer research services	114.75

JETRO-Houston

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Facsimile	\$2.70
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Photocopying	76.65
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Delivery	7.00
10/01/96- 3/31/97	Scott Arrington Baker & Botts, L.L.P.	Travel/meal expenses incurred	79.20

Ministry of Foreign Affairs of the Republic of Yemen

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Photocopying	\$ 3,958.48
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Facsimile	850.75
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Telephone calls	1,493.01
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Taxis, postage, miscellaneous office expense	1,729.16
10/01/96- 3/31/97	Baker & Botts, L.L.P.	Delivery service	1,711.42
10/05/96	Thomas Reilly, Procomm Plus	Online research fees incurred	163.90
10/17/96	Lewis M. Alexander	Mapping services provided	2,508.79
10/18/96	B. Donovan Picard, Baker & Botts, L.L.P.	Meal expenses incurred	84.00
10/22/96	B. Donovan Picard, Baker & Botts, L.L.P.	Meal expenses incurred	14.68
10/31/96	Geosystem Global Corp.	Mapping services provided	5,359.71
11/05/96	Paul T. Luther, Baker & Botts, L.L.P.	Meal expenses incurred	76.23
11/11/96	Kevin Phillips	Mapping services provided	75.00

11/14/96	Embassy of the Republic of Yemen	Visa application fees	150.00
12/02/96	B. Donovan Picard, Baker & Botts, L.L.P.	Travel expenses incurred	419.00
12/02/96	B. Donovan Picard, Baker & Botts, L.L.P.	Meal expenses incurred	40.25
12/07/96	B. Donovan Picard, Baker & Botts, L.L.P.	Travel expenses incurred	5,912.35
12/07/96	Paul T. Luther, Baker & Botts, L.L.P.	Travel expenses incurred	4,813.68
12/07/96	B. Donovan Picard, Baker & Botts, L.L.P.	Meal expenses incurred	18.00
12/07/96	Diners Club	Travel expenses incurred	2,899.40
12/07/96	Diners Club	Travel expenses incurred	1,744.28
12/19/96	A. Gregory Thoms, Baker & Botts, L.L.P.	Travel expenses incurred	130.00
12/30/96	COMPUSCA	Stationery and supplies	148.95
12/30/96	Geosystem Global Corp.	Mapping services provided	69.30
1/02/97	B. Donovan Picard, Baker & Botts, L.L.P.	Meal expenses incurred	86.50
1/03/97	Professor Ian Brownlie	Consulting services provided	39,086.19
1/06/97	Paul T. Luther, Baker & Botts, L.L.P.	Meal expenses incurred	103.76
1/17/97	Sequential, Inc.	Copying services provided	77.47

3/09/97	Paul T. Luther, Baker & Botts, L.L.P.	Meal expenses incurred	5,590.55
3/10/97	B. Donovan Picard, Baker & Botts, L.L.P.	Travel expenses incurred	6806.27
3/10/97	B. Donovan Picard, Baker & Botts, L.L.P.	Meal expenses incurred	298.64
3/10/97	B. Donovan Picard, Baker & Botts, L.L.P.	Travel expenses incurred	1,063.07
3/21/97	Professor Ian Brownlie	Consulting services provided	52,710.79
3/24/97	Embassy of the Republic of Yemen	Visa application fees	50.00

INSERT VIII

Political Contributions: 10/01/96 through 3/31/97

15(c). DISBURSEMENTS-POLITICAL CONTRIBUTIONS.

During this 6 month reporting period, have you from your own funds and on your on behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? **NO.**

The Registrant advances funds or services to the Bluebonnet Fund Political Action Committee and the Amicus Fund Political Action Committee, however, all such advances are reimbursed to the firm by these political action committees.