U.S. Department of Justice
Washington, DC 20530

Supplemental Statement
Pursuant to Section 2 of the Foreign Agents Registration Act of 1938, as amended.

OMB No. 1105-0002

For Six Month Period Ending 30 SEP 1994
(Insert date)

Name of Registrant Kathleen Winn

Registration No. 4480

Business Address of Registrant
1-785 Queen St. East
Toronto, Ontario
Canada M4M 1H5

I—REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes ☐	No ☒
(2) Citizenship	Yes ☐	No ☒
(3) Occupation	Yes ☐	No ☒

(b) If an organization:

(1) Name	Yes ☐	No ☒
(2) Ownership or control	Yes ☐	No ☒
(3) Branch offices	Yes ☐	No ☒

2. Explain fully all changes, if any, indicated in item 1.

—0—

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	*Position*	*Date Connection Ended*

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SECTION
REGISTRATION UNIT

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	*Residence Address*	*Citizenship*	*Position*	*Date Assumed*

5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☒

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	*Position or connection*	*Date terminated*

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	*Residence Address*	*Position or connection*	*Date connection began*

II—FOREIGN PRINCIPAL

8. Has your connection with any foreign prinicpal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal — *Date of Termination*

9. Have you acquired any new foreign principal[1] during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish following information:

Name and address of foreign principal — *Date acquired*

Stentor Telecom Policy, Inc. — Feb. '94

10. In addition to those named in Items 8 and 9, if any, list the foreign principals[1] whom you continued to represent during the 6 month reporting period.

Canadian Standards Assn.

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

Canadian Standards Assn.

Monitored energy, trade, and information superhighway activities in Congress and within The Administration.

Stentor Telecom Policy Inc.

Monitored Congressional action on telecommunications legislation and related telecommunications activities with in the Administration.

[1]The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity[2] as defined below?
Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Stentor Telecom Policy: Exchanged views on telecommunication activities in the US and Canada with the following:

June 23: Sharon Bywater - Dept. of Commerce
Dr. Mike Nelson - White House Technology Office
Sally Sussman - Dept. of Commerce
Tracey ~~Haedicke~~ Weisler - Rep. Synar

October 18: Sharon Bywater\Tom Segrue - Dept. of Commerce
Katherine Christianson\Sigrid Emrich - State Dept.
David Long \USTR

Oct. 17: Roger Steelschulte\John Henry - Dept. of Commerce
Jerry Waldron - Rep. Markey

Oct. 19: John Windhausen\Kevin Joseph - Sen. Hollings\Commerce Committee

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

[2]The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) **RECEIPTS—MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.[3]

Date	*From Whom*	*Purpose*	*Amount*
1st of each mo. / Fee	CSA	Monitor Selected Issues	$1,000 / mo.
1st of each mo. / Expenses	CSA	"	$2,138.43 (Mar - Sept.)
February 1994 (X) (Paid in full)	Stentor Telecom Policy Inc.	Monitor selected issues / Provide Strategy	$45,000 for yr.
(X) for months, Mar. - Sept., Stentor total would be $35,000 (not pd. for Jan / Aug / Dec. 94)			$53,138.43 Total

(b) **RECEIPTS—THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value[4] other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	*Date received*	*Description of thing of value*	*Purpose*
~~S~~			

[3] A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).
[4] Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS—MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☐ No ☒

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	*To Whom*	*Purpose*	*Amount*

Total

15. (b) **DISBURSEMENTS—THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value[5] other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?
Yes ☐ No ☒

If yes, furnish the following information:

Date disposed	*Name of person to whom given*	*On behalf of what foreign principal*	*Description of thing of value*	*Purpose*

(c) **DISBURSEMENTS—POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value[5] in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?
Yes ☐ No ☒

If yes, furnish the following information:

Date	*Amount or thing of value*	*Name of political organization*	*Name of candidate*

V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

[5]Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams

☐ Advertising campaigns ☒ Press releases (attached) ☐ Pamphlets or other publications ☐ Lectures or speeches

☐ Other *(specify)* _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☒ Public Officials ☐ Newspapers ☐ Libraries

☐ Legislators ☐ Editors ☐ Educational institutions

☒ Government agencies ☐ Civic groups or associations ☐ Nationality groups

☐ Other *(specify)* _____

21. What language was used in this political propaganda:

☒ English ☐ Other *(specify)* _____

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☐ No ☒

VI—EXHIBITS AND ATTACHMENTS

25. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A[6] Yes ☒ No ☐
Exhibit B[7] Yes ☐ No ☒

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

[6]The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

[7]The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. **EXHIBIT C**

If you have previously filed an Exhibit C[8], state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☒

If no, please attach the required amendment.

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27. **SHORT FORM REGISTRATION STATEMENT**

Have short form registration statements been filed by all of the persons named in Items 5 and / of the supplemental statement? Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Kathleen Winn

KATHLEEN WINN

Subscribed and sworn to before me at _____

this 31st day of October, 19 94

"I declare, under penalty, that the foregoing is true and correct. Executed on October 31, 1994."

_____

(Signature of notary or other officer)

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[8]The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form CRM-154, formerly Form OBD-64 - Supplemental Statement):

   Yes _____ or No X

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

   Yes X or No _____

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs; posters; brochures, press releases, etc. which you have disseminated during the past six months.)

Kathleen Winn
Signature

Oct. 31, 1994
Date

KATHLEEN WINN
Please type or print name of signatory on the line above

President
Title

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KATHLEEN WINN & ASSOCIATES INC.
Government Relations Consultants
1-785 QUEEN STREET EAST
TORONTO, ONTARIO, CANADA M4M 1H5
TEL: (416) 461-8874 • FAX: (416) 461-2525

Sent: (FedEx) 1/19/94

December 29, 1993

Stentor Telecom Policy Inc.
World Exchange Plaza
45 O'Connor Street
Suite 1800
Ottawa, Ontario
K1P 1A4

ATTENTION: Mr. Ron Kellison
Contract No. 11

FOR PROFESSIONAL SERVICES RENDERED in connection with the performance of activities under the agreement between Stentor Telecom Policy Inc. and Kathleen Winn & Associates Inc.

The Washington consulting services under this agreement include the following: 1) Monitor information regarding U.S. legislative and regulatory telecommunications activity; 2) Assist in development of Washington government relations strategy; and, 3) Coordinate Washington meetings with U.S. policymakers.

The fee includes all direct expenses related to the agreement.

FEE: $45,000

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WASHINGTON, D.C. 20036
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news release

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CRTC

September 16, 1994

A COMPETITIVE TELECOM FUTURE: CHEAPER LONG DISTANCE, INCREASED CHOICE AND INNOVATION

OTTAWA/HULL — Lower long-distance rates for consumers and small business, a wider choice of new information services, local rates closer to their true cost, and an open door to competition in local service are cornerstones of the new regulatory framework for Canadian telecommunications announced today by the CRTC (Telecom Decision 1994-19).

"The CRTC's new telecom framework meets the new realities of Canada's fast-evolving competitive telecommunications marketplace," said CRTC Chairman Keith Spicer.. "First, more Canadians are buying long distance and optional local services than ever before and prices for long-distance services in particular should be kept low. Second, basic local rates are now much lower than necessary in order to ensure universal service. Third, competition is required in all telecommunications markets to foster choice, innovation, better service and affordable prices. And fourth, we need to encourage investment in a modern telecommunications infrastructure that will stimulate the economy and create jobs well into the 21st Century."

Today's decision follows a major public process initiated by the CRTC to examine how best to adapt regulation to an era of rapid technological change, expanding competition, and the increasing importance of telecommunications to Canada as a tool for economic growth.

"Our new regulatory framework is based on three underlying principles," said CRTC Vice-Chairman Louis (Bud) Sherman, Chairman of the hearing panel. "First of all, regulation should focus primarily on monopoly services and the prevention of anti-competitive behaviour. In fact, regulation should be reduced or removed for competitive services. Next, we should open up all

- more -

telecommunications markets, including the local telephone market, to competition and remove barriers that discourage telephone companies from entering the emerging information services market. Finally, consumers must not be expected to pay artificially high prices for any telecommunications services, particularly Canada's quickly expanding long-distance service."

"Implementing these guiding principles so that we have real regulatory reform, increased competition and fairer prices for all consumers will require some rebalancing of local and long-distance rates," Mr. Sherman explained. "That means local rates must increase and long distance rates must come down. There will be continued reductions in long-distance rates and in the subsidy from long-distance revenues which has kept local rates well below their actual costs."

To achieve these results, local telephone rates will be increased by $2 a month each year for the next three years, beginning on January 1, 1995. The CRTC has ruled, however, that revenues generated by these increases must be used by telephone companies to lower long-distance prices, particularly for those services used by individual (residence) and small business customers.

Increased use of long-distance & optional local services

"Canadians of all ages and backgrounds are increasingly on the move, and we need to help them keep in touch, and bridge distances and differences," explained Mr. Spicer. "This is the first of today's new realities — Canadians are using more and more long-distance and optional local services. So it's no longer pertinent to focus only on the price of basic local services. What increasingly matters to consumers is the total telephone bill and the package of services they require to meet their individual needs."

As a result of today's decision, the more Canadians use the long-distance network to communicate, the more they can save from lower charges. The CRTC expects that most subscribers will see some offsetting savings on their bills and many will actually be better off.

Maintaining affordable telecom services

"The second reality is that the CRTC's strong defence of affordable local phone rates over the past decade has anchored universal service at extremely low rates — one of the historic goals

of Canadian telecommunications policy," said Mr. Spicer. "In keeping with the objectives of the new Telecommunications Act, the Commission is now also focusing on making all telecommunications services, not just local rates, more affordable."

"Local phone service for residential subscribers will still be priced considerably below what it costs to provide it," he stated. "Indeed, when you compare the price of local service to inflation in recent years and factor in long-distance savings, Canada's telephone service is still a phenomenal bargain."

The expected benefits of rate rebalancing include:

- reducing the burden of subsidizing local services felt by those residence and small business consumers who are heavy users of long-distance services;
- savings on long-distance bills for the majority of subscribers because of rate reductions targeted primarily at residence and small business customers, rather than at high-volume users; and,
- potential rate reductions for all customers on other long-distance services as the size of the local-service subsidy goes down.

Opening up the system — CRTC approves local-service competition

"A third reality is that competition has proven to be a major benefit to consumers wherever it has been introduced," Mr. Spicer noted. "Long-distance competition has already resulted in substantial reductions in costs, new innovative services, greater choice and substantial savings, particularly for high-volume customers. Now we want to make sure individuals and small business also share in these benefits."

"With the introduction of local competition and policies promoting open access between network suppliers, we believe that customers will have much greater choice in selecting a service package to meet their specific communications requirements," said Mr. Spicer. "For instance, some subscribers may want greater emphasis on privacy, while others may want mobility: the ability to be reached anywhere, anytime. Other subscribers may place a premium on wider local calling areas or access to interactive information services. We want to create a network of networks to serve a variety of different consumer demands at prices that are fair and reasonable."

Stimulating investment in a modern telecom infrastructure

"Canada already has one of the most dynamic, innovative and technologically-advanced telecommunications systems in the world, and the need to encourage investment to keep our leadership is a fourth reality," said CRTC Chairman Keith Spicer.

Mr. Sherman explained that the Commission's new regulatory framework "contains a number of interrelated initiatives which, taken as a package, are a blueprint for strengthening the Canadian telecommunications infrastructure, and for stimulating investment and entrepreneurial activities along the Information Highway. We've also taken significant steps to reduce or streamline regulation and place more reliance on market forces to meet the demands of consumers. This includes our decision to introduce price-cap regulation for telephone services as of January 1, 1998."

The price-cap approach will shift the focus of regulation from detailed oversight of investment, expenses and earnings simply to the prices consumers pay. This should lead to price stability for consumers and greater flexibility for telephone companies to invest in a more modern infrastructure and to introduce new services. Telephone company shareholders will also assume more of the risk and rewards of new investment.

During the three-year transition to price-cap regulation, profits for monopoly telephone services will continue to be regulated by the CRTC. However, long-distance services will no longer be subject to earnings regulation as of January 1, 1995. This is intended to ensure that the prices for monopoly services are insulated from telephone company business decisions regarding competitive services. The Commission has also included initiatives to protect against cross-subsidy and other anti-competitive pricing practices by telephone companies.

Highlights of today's decision include:

- rate rebalancing with increases in local telephone rates to be offset by long-distance rate reductions targeted at services used primarily by residential and small business subscribers, effective January 1, 1995;

- the introduction of competition in the local telephone market. Telephone companies, cable companies, new wireless service providers, resellers and specialized service providers will all be permitted to offer a range of voice/data/video telecommunications services to local subscribers;

- support for removing barriers to telephone company entry into the information service markets as either carriers or content providers. While the proceeding leading to today's decision did not consider whether telephone companies can hold broadcasting licences, in a separate notice (Public Notice CRTC 1994-118) issued today by the CRTC, telephone companies have also been allowed to participate in broadcasting video-on-demand technology trials.

- a policy of promoting open and reciprocal access among telecommunications service providers to facilitate competition and consumer choice, including the requirement that telephone companies unbundle tariffs to facilitate interconnection;

- the replacement of regulation focused on rate-of-return (earnings regulation) with incentive regulation focused on consumer prices (price caps) effective 1 January 1998;

- a streamlined transitional regime that would retain some earnings regulation for monopoly services until the introduction of price cap regulation in 1998;

- increased safeguards to prevent opportunities for anti-competitive practices by the telephone companies include the removal of existing competitive services from earnings regulation effective January 1, 1995;

- more explicit filing criteria to provide faster turnaround for tariff applications;

- explicit subsidy/access charges that would apply to telephone companies as well as competitors;

- deregulation of the terminal equipment market effective January 1, 1995; and,

- establishment of criteria for additional forbearance from regulating other types of services as these become workably competitive.

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Contact: Bill Allen, Director
CRTC Public Affairs, Ottawa, Ontario, K1A 0N2
Tel: 819-997-0313, TDD: 819-994-0423, Fax: 819-994-0218

Copies of today's decision and notice are available through the public examination room at any of the following CRTC offices:

City	Telephone	TDD	Fax
Halifax	902-426-7997	902-426-6997	902-426-2721
Montreal	514-283-6607	514-283-8316	514-283-3689
Ottawa/Hull	819-997-2429	819-994-0423	819-994-0218
Toronto	416-954-6273	416-954-8420	416-954-6343
Winnipeg	204-983-6306	204-983-8274	204-983-6317
Vancouver	604-666-2111	604-666-0778	604-666-8322