

For Six Month Period Ending January 31, 1997
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No. 4696
Van Ness Feldman, A Professional Corporation

(c) Business Address(es) of Registrant
1050 Thomas Jefferson Street, N.W.
Seventh Floor
Washington, D.C. 20007

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:
- | | | |
|-----------------------|------------------------------|--|
| (1) Residence address | Yes ☐ | No ☒ |
| (2) Citizenship | Yes ☐ | No ☒ |
| (3) Occupation | Yes ☐ | No ☒ |
- (b) If an organization:
- | | | |
|--------------------------|------------------------------|--|
| (1) Name | Yes ☐ | No ☒ |
| (2) Ownership or control | Yes ☐ | No ☒ |
| (3) Branch offices | Yes ☐ | No ☒ |

(c) Explain fully all changes, if any, indicated in items (a) and (b) above.

NOT APPLICABLE

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.
Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (a waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

-
4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position	Date Connection Ended
John R. Staffier	Director	12/31/96

- (b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
------	----------------------	-------------	----------	-----------------

-
5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☒

If yes, identify each such person and describe his service.

- (b) Have any employee or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
------	------------------------	-----------------

- (c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
------	----------------------	-------------	----------	-----------------

-
6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

NOT APPLICABLE

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name of foreign principal

Date of termination

Pan Alberta Gas, Ltd.

December 31, 1996

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

Foothills Pipe Lines Ltd.

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A ³	Yes ☐	No ☐	
Exhibit B ⁴	Yes ☐	No ☐	NOT APPLICABLE

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

NOT APPLICABLE

2 The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

3 The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

-
11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

See Attachment I

-
12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below?

Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

See Attachment II

-
13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government or foreign country or foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶

Date	From Whom	Purpose	Amount
See Attachment III			

Total

(b) RECEIPTS - FUND RASING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
NOT APPLICABLE			

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
See Attachment IV			

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
---------------	------------------------------	-------------------------------------	-------------------------------	---------

NOT APPLICABLE

(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
------	--------------------------	--------------------------------	-------------------

This firm maintains a Political Action Committee (PAC). Firm funds are not used for this; however, some members make voluntary contributions to the PAC. All contributions made by the PAC are reported to the Federal Elections Committee.

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials¹²?
 Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials?
 Yes ☐ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- | | | | |
|---|---|--|---|
| ☐ Radio or TV broadcasts | ☐ Magazine or newspaper articles | ☐ Motion picture films | ☐ Letters or telegrams |
| ☐ Advertising campaigns | ☐ Press releases | ☐ Pamphlets or other publications | ☐ Lectures or speeches |
| ☐ Other (specify) _____ | | | |

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- | | | |
|--|---|---|
| ☐ Public Officials | ☐ Newspapers | ☐ Libraries |
| ☐ Legislators | ☐ Editors | ☐ Educational institutions |
| ☐ Government agencies | ☐ Civic groups or associations | ☐ Nationality groups |
| ☐ Other (specify) _____ | | |

21. What language was used in the informational materials:

- ☐ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4 (b) of the Act.

13 This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

ATTACHMENT I

Answer to Question No. 11

A. Pan Alberta Gas Ltd.

Van Ness Feldman represents Pan-Alberta in the U.S. in matters associated with the ANGTS and in matters of interest to Pan-Alberta concerning the transportation and sale of natural gas. These activities consist primarily of formal participation in regulatory and judicial proceedings, but also involve monitoring of energy-related actions of the executive and legislative branches of government, and sometimes include meetings with governmental officials. During this reporting period, Van Ness Feldman engaged in discussions with certain Federal officials as follows:

November 19, 1996 David Jhirad
Deputy Assistant Secretary
for International Energy Policy
Trade and Investment
Department of Energy
1000 Independence Ave., S.W.
Room 7C-016
Washington, D.C.
(202) 586-6163

John Glynn
Department of Energy
Office of Natural Gas Petroleum
Import and Export
1000 Independence Ave., S.W.
Room 3H-087
Washington, D.C.
(202) 586-9454

November 20, 1996 Ambassador William Ramsay
Deputy Assistant Secretary of State
for Energy, Sanctions and Commodities
Department of State
2201 C Street, N.W.
Room 3528
Washington, D.C.
(202) 647-1498
Clearance for appointment: JoAnn Balzano

97 FEB 28 12:13

Attachment I - Question No. 11 Cont.

Kevin Madden
Director
Office of Pipeline Regulation
Federal Energy Regulatory Commission
888 First Street, N.E.
Room 8A05
Washington, D.C.
(202) 208-0700

B. Foothills Pipe Lines Ltd.

No political activity for this six-month period.

ATTACHMENT II

Answer to Question No. 12

A. Pan-Alberta Gas Ltd.

Met with Department of Energy personnel, regarding gas markets in the U.S. and Canadian gas imports. The individuals contacted, their position, and date of contact are as follows:

- David Jhirad, Deputy Assistant Secretary for International Energy Policy Trade and Investment; John Glynn, Office of Natural Gas Petroleum Import and Export, November 19, 1996.

Met with Department of State personnel, regarding gas markets in the U.S. and Canadian gas imports. The individual contacted, his position, and date of contact is as follows:

- Ambassador Ramsay, Deputy Assistant, Secretary of State for Energy, Sanctions and Commodities, November 20, 1996.

Met with Department of Federal Energy Regulatory Commission personnel regarding gas markets in the U.S. and Canadian gas imports. The individual contacted, his position, and date of contact is as follows:

- Kevin Madden, Director, Office of Pipeline Regulation, November 20, 1996

B. Foothills Pipe Lines Ltd.

No political activity for this six-month period.

Attachment III

PAN-ALBERTA GAS LTD.

Answer to Question No. 14(a)

24 September 1996	-	Pan-Alberta Gas Ltd.	Legal Fees Reimbursable Expenses	\$ 40,426.50 3,191.36
11 November 1996	-	Pan-Alberta Gas Ltd.	Legal Fees Reimbursable Expenses	\$ 48,907.00 4,924.98
26 November 1996	-	Pan-Alberta Gas Ltd.	Legal Fees Reimbursable Expenses	\$ 48,786.50 4,629.18
31 December 1996	-	Pan-Alberta Gas Ltd.	Legal Fees Reimbursable Expenses	\$ 51,752.50 10,381.58
TOTAL				\$212,999.60

ATTACHMENT III

Foothills Pipe Lines Ltd.

Answer to Question No. 14(a)

July 1996	Foothills Pipe Lines Ltd.	Legal Fees Reimbursable Expenses	\$ 47,211.00 4,598.82
August 1996	Foothills Pipe Lines Ltd.	Legal Fees Reimbursable Expenses	\$ 41,344.00 2,676.86
September 1996	Foothills Pipe Lines Ltd.	Legal Fees Reimbursable Expenses	\$ 51,443.50 2,492.70
October 1996	Foothills Pipe Lines Ltd.	Legal Fees Reimbursable Expenses	\$ 78,540.50 5,119.00
November 1996	Foothills Pipe Lines Ltd.	Legal Fees Reimbursable Expenses	\$ 56,882.00 4,358.49
December 1996	Foothills Pipe Lines Ltd.	Legal Fees Reimbursable Expenses	\$ 23,932.00 1,925.52
TOTAL			\$ 320,524.39

Attachment IV

PAN-ALBERTA GAS LTD.

Answer to Question No. 15(a)

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
24 SEP 1996	-	Duplicating & Printing	\$ 624.84
		Local Courier	19.75
		Postage	102.30
		Facsimile	219.38
		Telephone	374.98
		Local Messenger	19.56
		FERC Document Retrieval	22.14
		Meals*	160.87
		Computer Research	597.54
		Hearing Transcripts	935.00
		Local Transportation	115.00
11 NOV 1996	-	Duplicating & Printing	\$ 1,131.26
		Postage	85.40
		Overnight Deliver/Air Courier	90.00
		Facsimile	411.25
		Telephone	314.94
		Meals*	89.70
		Local Messenger	182.19
		Travel*	1,279.62
		FARA Filing Fee	305.00
		Local Transportation	48.00
		FERC Document Retrieval	99.80
		Hearing Transcripts	743.00
		Computer Research	144.82
26 NOV 1996	-	Duplicating & Printing	\$ 1,968.21
		Postage	357.84
		Local Messenger	181.02
		Overnight Delivery/Air Courier	436.95
		Facsimile	640.00
		Telephone	78.69
		Local Transportation	36.00
		Hearing Transcripts	43.36
		Computer Research	694.03
		Secretarial Overtime	193.08

*/ No U.S. government officials were guests at lunches or dinners and all travel and lodging expenses involved only personnel of this firm or its clients.

Attachment IV (Continued)

PAN-ALBERTA GAS LTD. -- Answer to Question No. 15(a)

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
31 DEC 1996	-	Duplicating & Printing	\$ 781.95
		Postage	376.34
		Local Messenger	37.36
		Overnight Delivery/Air Courier	158.20
		Facsimile	645.00
		Telephone	528.77
		Meals*	23.93
		Computer Research	242.01
		Local Transportation	148.00
		FERC Document Retrieval	857.70
		Filing Fee	60.00
		Miscellaneous	25.85
		Lodging*	1,057.03
		Travel*	5,439.44

*/ No U.S. government officials were guests at lunches or dinners and all travel and lodging expenses involved only personnel of this firm or its clients.

ATTACHMENT IV

Foothills Pipe Lines Ltd.

Answer to Question No. 15(a)

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
July 1996	-	Telephone Charges	\$ 456.14
		Facsimile Charges	748.14
		Computer Research	230.90
		FERC Document Retrieval	22.14
		Meals*	24.02
		Duplicating & Printing	990.59
		Postage	20.54
		Local Transportation/Messenger	293.66
		Local Messenger Service	79.99
		Travel*	1,141.00
		Lodging*	461.52
		Publication Purchase	80.40
		Overnight Courier	49.80
August 1996	-	Telephone Charges	\$ 406.26
		Facsimile Charges	538.00
		Overnight Delivery	86.80
		Duplicating & Printing	651.53
		Messenger	54.78
		Computer Research	257.34
		Meals*	110.55
		Publication Purchase	36.75
		Postage	43.71
		Local Transportation	123.60
		FERC Document Retrieval	62.54
		Filing Fees	305.00

*/ No U.S. government officials were guests at lunches or dinners and all travel and lodging expenses involved only personnel of this firm or its clients.

ATTACHMENT IV

Foothills Pipe Lines Ltd.

Answer to Question No. 15(a)

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
September 1996	-	Telephone Charges	\$ 79.10
		Facsimile Charges	665.13
		Meals*	174.21
		Overnight Delivery	184.20
		Duplicating & Printing	312.05
		Hearing Transcripts	43.36
		Postage	6.05
		Local Transportation	498.98
		Computer Research	441.60
		Messenger Service	88.02
October 1996	-	Duplicating & Printing	\$ 1,243.31
		Postage	9.75
		Messenger Service	52.70
		Overnight Delivery	293.50
		Facsimile Charges	885.12
		Telephone Charges	252.57
		Meals*	20.83
		Computer Research	1,679.66
		Local Transportation	236.32
		FERC Document Retrieval	445.24

*/ No U.S. government officials were guests at lunches or dinners and all travel and lodging expenses involved only personnel of this firm or its clients.

ATTACHMENT IV

Foothills Pipe Lines Ltd.

Answer to Question No. 15(a)

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
November 1996	-	Photocopying	\$ 843.04
		Postage	96.31
		Messenger Service	41.10
		Overnight Delivery	274.65
		Facsimile Charges	517.50
		Telephone Charges	367.38
		Meals *	458.42
		Computer Research	465.90
		Local Transportation	16.33
		FERC Document Retrieval	47.67
		Secretarial Overtime	12.80
		Travel *	1,217.39
December 1996	-	Photocopying	\$ 634.60
		Postage	10.16
		Facsimile Charges	220.99
		Telephone Charges	313.94
		Overnight Delivery	210.00
		Local Messenger Service	84.18
		Computer Research	15.80
		Local Transportation	9.00
		FERC Document Retrieval	348.77
		Travel *	13.00
		Meals *	65.08

*/ No U.S. government officials were guests at lunches or dinners and all travel and lodging expenses involved only personnel of this firm or its clients.