U.S. Department of Justice
Washington, DC 20530

FINAL

Supplemental Statement
Pursuant to Section 2 of the Foreign Agents Registration Act of 1938, as amended

OMB NO. 1105-0002

For Six Month Period Ending APR 30 1999
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

Jeffrey L. Morby

(b) Registration No. 5282

(c) Business Address(es) of Registrant

Amarina Corporation
One Oxford Centre - 4260
Pittsburgh, Pa 15219

2. Has there been a change in the information previously furnished in connection with the following:

(a)	If an individual:		
	(1) Residence address	Yes ☐	No ☑
	(2) Citizenship	Yes ☐	No ☑
	(3) Occupation	Yes ☐	No ☑
(b)	If an organization:		
	(1) Name	Yes ☐	No ☑
	(2) Ownership or control	Yes ☐	No ☑
	(3) Branch offices	Yes ☐	No ☑

(c) Explain fully all changes, if any, indicated in items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C[1], state whether any changes therein have occurred during this 6 month reporting period.

None Filed* Yes ☐ No ☐

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

* Registrant is an individual

1 The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (a waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

Not Applicable

If yes, furnish the following information:

Name	Position	Date Connection Ended

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes ☐ No ☒

Not Applicable

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed

5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☒

Not Applicable

If yes, identify each such person and describe his service.

(b) Have any employee or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated

(c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes ☐ No ☐

Not Applicable

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?
Yes ☐ No ☑

If yes, furnish the following information:

Name of foreign principal *Date of termination*

8. Have you acquired any new foreign principal[2] during this 6 month reporting period?
Yes ☐ No ☑

If yes, furnish following information:

Name and address of foreign principal *Date acquired*

9. In addition to those named in Items 7 and 8, if any, list foreign principals[2] whom you continued to represent during the 6 month reporting period.

See Cover Letter attached and Not Applicable – made Part of this Document

I am on the International Advisory Council of the Klubon, but I don't believe my membership on the Council constitutes representation of Klubon.

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A[3] Yes ☐ No ☐ Not Applicable
Exhibit B[4] Yes ☐ No ☐

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☑

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment. Not Applicable

2 The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

3 The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets fourth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☑ No ☐ Not Applicable

If yes, identify each such foreign principal and describe in full detail your activities and services:

Please See attached "Responses to Questions 11 and 12."

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity[5] as defined below? Yes ☑ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

Delivered 5 to [redacted] minute speech in China.

Please See Attached "Responses to Questions 11 and 12."

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☑

If yes, describe fully.

5 The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government aforeign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) **RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☑ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies[6]

Date	From Whom	Purpose	Amount
10/28/98	The Wuhan Municipal Goverment	Reimbursed Re Air Travel To Wuhan to Attend Interntl Advisory Council Meeting	$4,760
		Total	$4,760

(b) **RECEIPTS - FUND RASING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fund raising campaign[7], any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes ☐ No ☑

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐ Not Applicable

If yes, indicate the date the Exhibit D was filed. Date _____. Not Applicable

(c) **RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value[9] other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☑

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose

6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)
8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.
9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign named in Items 7, 8, and 9 of this statement? Yes ☑ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☑

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
1/27/99	Representatives of the City of Wuhan	Dennis Party at my Home attended by Wuhan Representatives - Costa Food and Beverages Served to them	Approximately $300

Note: These were representatives who came to Pittsburg to participate in the opening of the Pittsburg China Center

$ 300.00

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value[10] other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes ☐ No ☑

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose

(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value[11] in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? Yes ☑ No ☐

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
4/28/99	$ 250.00 Contribution by Wife	Republican Party	Jim Rodey, Candidate for City-County Mid'y Manager (Allegheny County)

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials[12]?
Yes ☑ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

One Speech in China
(See Attachment D for an outline of the Speech)

17. Identify each such foreign principal.

The Wuhan Municipal Government - Speech in China in Connection with my Joining the International Advisory Board of the Wuhan Municipal Government

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☑

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

☐ Radio or TV broadcasts	☐ Magazine or newspaper articles	☐ Motion picture films	☐ Letters or telegrams
			One Speech
☐ Advertising campaigns	☐ Press releases	☐ Pamphlets or other publications	☑ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

☐ Public Officials	☐ Newspapers	☐ Libraries
☐ Legislators	☐ Editors	☐ Educational institutions
☐ Government agencies	☐ Civic groups or associations	☐ Nationality groups

☐ Other (specify) _____

21. What language was used in the informational materials:
☐ English ☐ Other (specify) _____

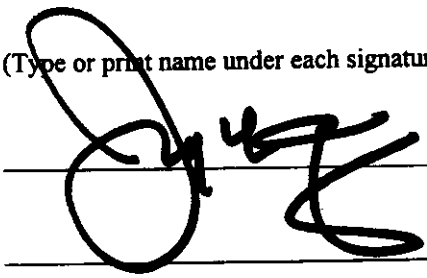
22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☑
Speech given to Chinese on Behalf of Pittsburgh - given Verbally

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?
Yes ☐ No ☑
Speech given to Chinese on Behalf of Pittsburgh - given Verbally.

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI--EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)	(Type or print name under each signature[13])
5/30/97	
	Jeffrey L. Morby

13 This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Response to Questions 11and 12:

International Advisory Council of the Municipality of the Wuhan

On October 22, 1998 in my cover letter accompanying my original registration statement I reported that I would be traveling to Wuhan, Pittsburgh's Sister City, to participate in the first meeting of the International Advisory Council of the Municipality of the City of Wuhan. As anticipated, I did travel to Wuhan on October 26, 27, and 28 to participate in the meeting of the Council. The relevant details follow:

- I attended as an official representative of the City of Pittsburgh, Allegheny County, and the China Center of the Greater Pittsburgh Metropolitan Area (see Attachment "A" for a copy of the representation letter designating me as an official representative of the above mentioned organizations, signed by the head of the Allegheny Department of Economic Development).
- I accepted membership in the Wuhan Advisory Council (see Attachment "B" for a copy of my acceptance letter). I was one of 22 members elected to membership on the Council (see Attachment "C"). All told, there were 6 non-Chinese countries represented on the council. 5 council members represented U.S. business concerns, including representatives of General Electric, Coca Cola, Peat Marwick, and Anheuser-Busch.
- I participated in the discussions relating to the formation of the Advisory Council. Some of these discussions related to the question of how Wuhan could improve its commercial relations with various parts of the world. Some of these discussions also related to possible commercial opportunities which might potentially exist between Pittsburgh and Wuhan business firms. **None of the discussions in which I participated related to political relations between the U.S. and China.**
- Every member of the Council was asked to give a small talk to largely a Chinese audience of Wuhan Government officials and citizens. Accordingly, **I gave a short talk (5 to 10 minutes) concerning the Sister City relationship between the Pittsburgh and Wuhan** (see Attachment "D," which contains a summary of the points made by me in my talk). The Chinese press was represented at some of the meetings; so it is likely that reporting of the event and possibly the contents of my talk occurred in the Chinese press and Television. I personally, saw neither TV reporting of, nor newspaper reporting of the event; and I doubt that the event made the international press, though it might have received some brief mention.

Pittsburgh's China Center

As mentioned in the October 22 cover letter referred to above, I have been involved in the formation of "The Greater Pittsburgh Metropolitan Area China Center," an organization sponsored by the Allegheny Department of Economic Development for the purpose of **"assisting Chinese-U.S. businesses in pursuing commercial and investment relationships"** (see Attachment "D" for a press release announcing the formation of the Center). The China Center was officially opened on January 27, 1999; and one official of the Wuhan Government was invited to be an honorary board member of that organization. That person was Ruan Jiqing, Director of the Wuhan Foreign

Affairs Office. Also present at the opening was Li Xiansheng, Vice Mayor of the Wuhan Municipal Government. Other minor representatives of the Wuhan Government were also present. Representative of the Chinese Embassy in the U.S. and representatives of the State Bureau of Foreign Experts were also present.

The official board of directors of the China Center consists of U.S. businessmen, U.S. academics, and representatives of the City of Pittsburgh and Allegheny County. At the first board meeting of that organization I was elected Chairman of the China Center. I receive no pay as Chairman of the China Center. There is, however, a paid Executive Director of the Center, who is on the payroll of the Allegheny County Department of Economic Development.

I did not participate in the opening ceremonies of the China Center because I was ill. However, the ceremonies consisted of a ribbon cutting event, with the Mayor of the City and other local officials present, a cocktail event on the premises of one of the local law firms, and a dinner at one of the local private clubs for the directors and some of the Chinese participants. I personally gave a dinner at my home one evening for some of the Pittsburgh and the non-Wuhan China attendees. On a subsequent day I gave a dinner for the Wuhan delegation, with the head of Economic Development of Allegheny County and other members of his staff present. At that meeting we discussed with the Wuhan officials plans for furthering commercial business cooperation between the China Center and the Wuhan Municipal Government. **Please note that my participation in that meeting and other events pertaining to the China Center was in the capacity of a representative of the County and City and not as member of the International Advisory Committee of the Municipal Government of Wuhan.**

Other than the above my only other important involvement with the City of Wuhan has been to participate, along with the head of Economic Development of Allegheny County, and other members of the China Center in defining in conjunction with Wuhan future areas of potential cooperation between Wuhan and Pittsburgh/Allegheny County.

Allegheny County
Department of
Economic Development

ATTACHMENT A

U R B A N
REDEVELOPMENT
AUTHORITY
OF
PITTSBURGH

Sixth Avenue
uite 800
Pittsburgh, PA 15219
(412) 350-1000
(412) 642-2217 Fax No.

Mulugetta Birru, Ph.D.
Executive Director

200 Ross Street
Pittsburgh, PA 15219-2069
(412) 255-6600
Fax No. (412) 255-6617
TDD (412) 255-6644

October 22, 1998

Dear Sirs and Madams:

This letter will serve as both an introduction of Jeffrey L. Morby, Chairman of the Nominating Committee for the Board of Directors of the China Center of the Greater Pittsburgh Metropolitan Area and as the official representative for the City of Pittsburgh's Urban Redevelopment Authority and the Allegheny County's Department of Economic Development.

Primary objectives of Mr. Morby's visit is to promote mutually beneficial economic relationships between China and the Greater Pittsburgh area, and provide an update on the establishment of the China Center which will centrally coordinate the City/County policy development and related activities. The Center will also assist the Chinese companies in doing business in this and other U.S. areas and the U.S. companies in doing business in China.

Thank you for your kind cooperation.

Sincerely,

Mulugetta Birru, Ph.D.

MB:lac

ORIENTAL
HOTEL
東方大酒店

Attachment B

October 26, 1998

To: Ruan Jiqing
Director, Foreign Affairs
Office of Wuhan Municipal Government

Dear Mr Ruan Jiqing:

It is my pleasure to accept as of today your invitation to become a member of the International Advisory Council for Wuhan People's Municipal Government.

Sincerely yours

中國武漢市漢口火車站廣場 郵編:430023 電話TEL: 027-5888668 傳真FAX: 027-5888558
Hankou Railway Station Square, Wuhan, China 430023

Attachment C

NAMELIST OF THE INTERNATIONAL ADVISERS TO THE PEOPLE'S GOVERNMENT OF WUHAN CITY

(In the order of alphabetic spelling of family names)

Van Den Bergh	President, Netherlands Council for Trade Promotion
Hans Bohm	Vice President & Member of the Board of Power Generation Group (KWU)
August A Busch III	Chairman of the Board and President of Anheuser-Busch Companies
Dhanin Chearavanont	President, Chia Tai Group Company Ltd.
Vincent H C Cheng	Vice chairman & Chief Executive, Hang Seng Bank
Raymond K F Ch'ien	Chairman, Incheape Pacific Ltd.
Douglas N Daft	Senior Vice President of the Coca Cola Company President of Middle and Far East Group
Victor Fung	Chairman, Hong Kong Trade Development Council
Jurgen H Hohnholz	Director of the Institute for Scientific Cooperation Tubingen, Germany
Kisaburo Ikura	Counselor, The Industrial Bank of Japan
Hisashi Kaneko	President, NEC Corporation
Hock Nien Kuok	President, Kerry Holdings Ltd.
Francis Leung	Vice Chairman & General Manager BNP Peregrine
Anthony K Y Lo	Managing Director, ABN AMRO Hoare Govett Asia Corporate Finance Ltd.
Jeffrey L Morby	Chairman, Amarna Corporation
Andre Nadurelli	Vice President, ELF-Atochem
Richard Needham	Director of the General Electric Company
Jeong Koo Park	President, Korea Kumho Group
Gilles Robert	Directeu du Projet Chine Administrateur de DCAC
Atsushi Shimokobe	President, Japanese Shanghai-Yangtze Valley Kobe-Hanshin Region Trade Promotion Committee
Aloysius Tse	Peat Marwick Certified Public accountants
Zhengbin Wang	President, San Francisco Chinese Chamber of Commerce President, Encinal Terminals

Attachment D

Outline of Speech Given by J. Morby in Wuhan – October, 1998

Wuhan- Pittsburgh: a creative partnership for the 21st Century

1. **Invitation to speak: what better topic than Wuhan-Pittsbugh relationship. These are my personal views**
2. **Wuhan- Pitt: Sister cities, relationship of many years**
   - **Cultural exchanges**
   - **No important progress**
   - **Average Pittsburger- no knowledge and visa versa**
3. **Believe we have an opportunity to change the situation: creative partnership…**
   - **Let me explain how**
4. **I will go into the reasons in more detail, but clearly one is lack of understanding of one another – for this reason, a digression into Pittsburgh for the benefit of those who know little about the city.**

Pittsburgh

5. **Early American City**
6. **Favorable location: rivers, oil, coal, iron**
7. **Headquarters for industrial powerhouses of the 19th century: Alcoa, US steel, PPG, Gulf Oil, Heinz, Mellon**
8. **Role in World War 2**
9. **Decline after the war: foreign competiton**
10. **Renaissance: clean up, high tech**
11. **Second renaissance - exciting**
    - **Alcoa headquarters, two stadiums, new convention center, new business centers, new theater, cultural district**
    - **Strengths: environmental, computers, robotics, health care, biotechnology, metal industries, financial center.**
    - **New governmental leadership – public and private working together**
    - **Major Universities**
    - **The city with the highest contribution to philanthropy, many foundations**

Attached D (continued)

Pittsburgh- Wuhan

12. Great similarities; should have much business together, but haven't why?
    - Lack of knowledge of one another, priorities of leaders, no mechanisms, no dedication of resources to the subject.

The situation is now changing

13. The new china center
14. Wuhan's new international advisory council
15. Our invitation to Wuhan: representatives in the center, students to Pittsburgh universities
16. Pittsburgh's leaders: China a priority, Pittsburgh as a destination location for Chinese businessmen
17. In other words, the obstacles can be overcome by these new initiatives: knowledge by to public of Wuhan and its potential, priorities of leaders, mechanisms established.

Some elements of the new creative partnership

18. Communication and planning on both sides
19. Inventory of companies and business possibilities
20. Active promotion of relationships
21. Recognition of the possiblities for taking advantage of the comparative advantage of either side: China's skills, advantages vs those of Pittsburgh firms.
    - Also, advanced understanding of the need for investment on both sides: copy the Japan and German model before others do
    - Be creative: joint investment funds, government/business partnerships, etc. Join together to market into other countries, etc.
22. We in Pittsburgh are assembling a creative board, composed of corporate leaders and have invited Wuhan to participate on that board; this can become a catalyst for new creative ideas, and we are looking forward to working with Wuhan on the subject.
23. In closing: Truly believe we have opportunity to build a creative partnership for the 21st century which will benefit the citizens of both Wuhan and Pittsburgh; I personally will look forward to the pleasure of working with you on this important subject.