

For Six Month Period Ending SEP 30 1999
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No. 05304

John Anthony Smith

(c) Business Address(es) of Registrant

Schmeltzer, Aptaker & Shepard, P.C.
2600 Virginia Avenue, N.W., Suite 1000
Washington, D.C. 20037-1905

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:
- | | | |
|-----------------------|------------------------------|--|
| (1) Residence address | Yes ☐ | No ☒ |
| (2) Citizenship | Yes ☐ | No ☒ |
| (3) Occupation | Yes ☐ | No ☒ |
- (b) If an organization:
- | | | |
|--------------------------|------------------------------|-----------------------------|
| (1) Name | Yes ☐ | No ☐ |
| (2) Ownership or control | Yes ☐ | No ☐ |
| (3) Branch offices | Yes ☐ | No ☐ |
- (c) Explain fully all changes, if any, indicated in items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.
Not applicable Yes ☐ No ☐

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

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4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☐ Not applicable.

If yes, furnish the following information:

Name	Position	Date Connection Ended
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- (b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes ☐ No ☐ Not applicable.

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☐ Not applicable.

If yes, identify each such person and describe his service.

- (b) Have any employee or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
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- (c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes ☐ No ☐ Not applicable.

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish the following information:

Name of foreign principal

Date of termination

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

Embassy of Honduras

Comision Nacional De Administracion de Cuotas Textile y Prendas de Vestir

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following: Not applicable

Exhibit A³

Yes ☐

No ☐

Exhibit B⁴

Yes ☐

No ☐

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits?

Yes ☐

No ☐

If no, please attach the required amendment.

Not applicable.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

11. Representation of the Embassy of Honduras and Comision Nacional De Administracion de Cuotas Textiles y Prendas de Vestir regarding the Caribbean Basin Initiative (CBI) and H.R. 984 (the Crane bill), including drafting of press releases, testimony, and talking points, setting up and participation in meetings with legislative, agency and administration officials, providing logistical support for Honduran delegation, and participation in meetings with CBI Coalition members and U.S. Chamber of Commerce. Further work on Honduran economic recovery issues related to Hurricane Mitch disaster relief, including drafting of press releases and materials, and participation in meetings with legislative, agency and administration officials regarding the Temporary Protective Status (TPS) program for Honduran nationals.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

Representation of the Embassy of Honduras and Comision Nacional De Administracion de Cuotas Textiles y Prendas de Vestir in support of the CBI and H.R. 984 with provisions favorable to Honduran trade issues, including drafting of materials to be disseminated by the client advancing Honduras' position, participation in meetings with CBI Coalition members and the U.S. Chamber of Commerce; and arranging for and participating in meetings with legislative, agency and administration officials. Further representation of the Embassy in support of Hurricane Mitch disaster relief recovery support, including participation in meetings with legislative, agency and administration officials regarding the TPS program.

A chronology of contacts and meetings is attached as Exhibit A.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government, a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶

Date	From Whom	Purpose	Amount
2/99	Embassy of Honduras	Legal fees	\$5,000
3/99	Embassy of Honduras	Legal fees	\$5,000
4/99	Embassy of Honduras	Legal fees	\$5,000
5/99	Embassy of Honduras	Legal fees	\$5,000
6/99	Embassy of Honduras	Legal fees	\$5,000
7/99	Embassy of Honduras	Legal fees	\$4,960
8/99	Embassy of Honduras	Legal fees	\$5,040
9/99	Embassy of Honduras	Legal fees	\$5,000
			\$40,000
			Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
<u>March, 1999</u>	Justice Depart	FARA Registration	\$305.00
	Office Expenses/ Local vendors	Postage, duplication messenger services	\$145.40
<u>April, 1999</u>	District Travel	Air Travel, meet with clients*	\$799.20
	Office Expenses/ Local vendors	Telephone, messenger, duplication	\$587.09
<u>May, 1999</u>	Distict Travel	Air Travel, meet with clients*	\$384.33
	Office Expenses/ Local vendors	Postage, duplication, messenger, telephone	\$531.55
<u>June, 1999</u>	Local vendors	Messenger, transcription duplication and telephone	\$419.18
<u>July, August, Sept., 1999</u>	District Travel	Air Travel, meet with clients*	\$701.95
	Office Expenses/ Local vendors	Postage, duplication, messenger, telephone	\$647.16

* No United States Government officials or media representatives were guests.

\$4,520.86

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes ☐

No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐

No ☒

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials¹²?
 Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

Embassy of Honduras

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- | | | | |
|---|--|--|--|
| ☐ Radio or TV broadcasts | ☒ Magazine or newspaper articles | ☐ Motion picture films | ☒ Letters or telegrams |
| ☐ Advertising campaigns | ☒ Press releases | ☐ Pamphlets or other publications | ☐ Lectures or speeches |
| ☐ Other (specify) _____ | | | |

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups: No

- | | | |
|--|---|---|
| ☐ Public Officials | ☐ Newspapers | ☐ Libraries |
| ☐ Legislators | ☐ Editors | ☐ Educational institutions |
| ☐ Government agencies | ☐ Civic groups or associations | ☐ Nationality groups |
| ☐ Other (specify) _____ | | |

21. What language was used in the informational materials:

☒ English ☒ Other (specify) Spanish

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐
 Not applicable.

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐ No ☐
 Not applicable.

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

13 This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

NOTICE

1. Is your answer to Item 16 of Section V (Informational Materials - page 8 of Form CRM-154, formerly Form OBD-64-Supplemental Statement):

YES ☒ or NO ☐

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

YES _____ **or NO** _____

(If your answer to question 2 is "yes" please forward for our review copies of all material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)


Signature

October 29, 1999
Date

John Anthony Smith
Please type or print name of
signatory on the line above

Registrant _____
 Title _____

EXHIBIT A

FARA SUPPLEMENTAL REPORT OF ACTIVITIES

<u>Date</u>	<u>Activity</u>
3/1/99	Calls with State Department
3/2/99	Attend meeting at U.S. Chamber of Commerce regarding Coalition efforts for CBI enhancement
3/2/99	Attend Senate Foreign Relations Committee hearings regarding Hurricane relief to Central America
3/3/99	Meeting with Congressman Martinez's staff regarding CBI enhancement
3/4/99	Attend press conference regarding Kolbe & Crane bill
3/11/99	Meeting with Caribbean/Latin American Action ("CLAA") on CBI enhancement
3/15/99	Telephone conversations with P. Davila to request presentation of testimony for HAMA
3/15/99	Letter to A.L. Singleton requesting opportunity to present testimony
3/15/99	Letter to Meredith Broadbent requesting opportunity to present testimony
3/15/99	Call with P. Davila and M. Broadbent on testimony
3/16/99	Conversations with CLAA representative and American Apparel Manufacturers Association ("AAMA") representative regarding H.R. 984
3/17/99	Meeting on Capitol Hill called for by U.S. Chamber of Commerce regarding Crane bill hearings; discuss hearings with M. Broadbent
3/17/99	Meetings with Congressmen Jefferson and Weller regarding support for Crane bill; meet with representatives of AAMA
3/17/99	Meeting with Congressman Farr and his staff
3/18/99	Meeting with Congressman Lewis regarding support for Crane bill
3/23/99	Crane bill hearings; take notes, discuss issues with participants at hearings

4/7/99 Make calls to Ways and Means staff

4/9/99 Follow up with Department of Agriculture on meeting between Secretary Glickman and Minister Alvarado

4/13/99 Set up meetings for Minister of Agriculture with Secretary Glickman; letter to S. Jordan at U.S. Chamber of Commerce enclosing testimony of Honduras at 3/23/99 hearings

4/14/99 Work on setting up meeting for Minister of Agriculture with Secretary Glickman

4/14/99 Telephone conversation with Duberstein group and CLAA to discuss CBI issues

4/15/99 Attend and participate in CLAA lunch with B. Zapata and Congressman Crane and others

4/15/99 Review and discuss CBI talking points at Hispanic Caucus news conference with D. Hernandez of the Embassy of Honduras.

4/16/99 Calls with M. Broadbent at Ways and Means

4/16/99 Conversation with Department of Agriculture to set up meeting between Minister Alvarado and Secretary Glickman

4/19/99 Calls with AAMA representative; call with C. Alexander at Hoyer's office

4/20/99 Calls with B. Zapata and Administration

4/21/99 Work on arranging schedules with the Administration; calls with various officials

4/22/99 Meetings at Department of Agriculture with B. Zapata, Minister G. Alvarado and Administration officials

4/28/99 Participate in DNC Business Roundtable on trade with Congressman Menendez, Ambassador P. Shur of USTR and G. Biel from Commerce

4/28/99 Meet with Congressman Hoyer and with aide C. Alexander

4/29/99 Meeting with HAMCHAM officials regarding CBI and AACLA conference

5/3/99 Meeting at CLAA with CBI Coalition members

5/5/99 Calls to Senate staffers and others to set up meetings for T. Biel and J. Foglia

5/5/99 Attend AACLA conference; discuss CBI and Crane bill with HAMCHAM representatives

5/6/99 Work on arranging meetings with Congressional leaders on CBI for the Foreign Minister

5/6/99 Confirm meetings and set up meetings with Congressmen for HAMCHAM representatives

5/7/99 Contact Senator Coverdell's office and send letters to try to set up meetings for Foreign Minister

5/10/99 Meet with S. Nance of Congressman Hoyer's staff regarding CBI support and support for the Supplemental

5/10/99 Set up meetings with Congress and Administration for Foreign Minister

5/11/99 Meeting with Assistant Administrator Schneider, Foreign Minister Flores and B. Zapata regarding Supplemental

5/12/99 Meeting with Foreign Minister, B. Zapata at State, House, Senate and Administration

5/17/99 Call with Senator Graham's staff

5/19/99 Calls to House staff and to Congressman Ballenger's office

5/20/99 Attend lunch meeting with Congressman Gejdenson and calls with B. Zapata; calls with House staffs and meet with labor leaders

5/27/99 Calls from Congressional staffs

6/7/99 Participate in the DNC business round table on CBI

6/11/99 Calls with C. Alexander, with Congressman Menendez's office, with B. Zapata

6/16/99 Meeting with Senate Finance Committee with M. Paz-Soldan; meeting with

M. Magan at the U.S. Chamber on CBI strategy; briefing with M. Broadbent and B. Filapone in Senate

6/16/99 CLAA meeting regarding CBI

6/17/99 White House meeting on CBI

6/18/99 Meetings with Congressman Hoyer and his Legislative Director, C. Alexander on Democratic support for CBI; meet with President of CLAA

6/21/99 Call with J. Hayes at State; calls with S. Leggett and M. Schlackman at Governor McKay's office

6/23/99 Calls to staffs of Congressmen and Senators

6/25/99 Meetings at INS, Justice and White House on TPS registration extension with Foreign Minister Flores, Ambassador Noe Pino, B. Zapata, F. Martinez

6/28/99 Follow up with White House and INS on the TPS issue

6/30/99 Calls with INS attorneys; calls with Congressional staffs; calls to Embassy and agencies on TPS and Administration support for CBI

7/1/99 Meeting in House of Representatives with industry representatives and legislative staff regarding CBI legislation

7/1/99 Calls with INS and Envoy for the Americas; call with A. Arriaga

7/6/99 Call with J. Wolford at Congressman Menendez's office; calls with A. Arriaga; call with F. Sanchez at Special Envoy's office regarding the meetings with the Honduran delegation on CBI

7/8/99 Call with White House

7/9/99 Attend meeting at White House with A. Arriaga

7/12/99 Call with M. Hutton of Congressman Menendez's office; call with C. Alexander at S. Hoyer's office

7/13/99 Meeting at Nicaraguan Embassy with A. Arriaga, Ambassadors and various officials and representatives

7/15/99	Meet with USX government relations representative regarding support for AAMA letter; calls with CLAA and AAMA
7/16/99	Update list of Honduran delegation participants and send to staff persons for meetings
7/16/99	Calls with White House, M. Schlackman and A. Arriaga
7/19/99	Calls with Senator Breaux's office
7/19/99	Calls with AFL-CIO representative
7/19/99	Calls with Congressional leaders and staffs
7/20/99	Calls with schedulers and staffs of Congressmen and Senators
7/21/99	Calls with Congressional offices
7/22/99	Calls with Congressional offices; meet with CLAA representative regarding CBI
7/23/99	Calls with White House
7/26/9	Work with the delegation and attend meetings with delegation with Administration, Congress and NGO's
7/27/99	Work with the delegation; meetings with Administration, Congress and AFL-CIO
7/28/99	Participate in meetings with Congressman Ballenger, UNITE, Senator Robb, Congressman Levin's Trade Counsel
8/5/99	Meeting of the Finance Committee Trade staff in the Senate; meeting in Senate Hart with M. Rose regarding getting Stevens up to speed on the trade issues
8/9/99	Call with A. Arriaga at the White House
8/9/99	Calls with Congressman Gutierrez' staff; calls with INS; call to the Special Envoy's office on the INS and proposed legislation
8/10/99	Calls with A. Arriaga at the White House on CBI

8/11/99	Calls with A. Arriaga at White House
8/11/99	Calls to Special Envoy's office
8/12/99	Call with White House legislative office
8/13/99	Meet with Senate leaders
9/13/99	Attend the Senate Finance Committee weekly meeting on the Trade bill; attend meeting with T. Posner, Levin's Trade Counsel
9/14/99	Prepare for and attend meeting with J. Posner, Levin's Trade Representative
9/15/99	Meeting with Congressman Guttierrez's staff person regarding NACARA and TPS
9/16/99	Meeting with M. Hutton of Congressman Menendez's office; meeting with Ambassador Noe Pino, Senator Coverdell, A. Albert and C. Weld
9/17/99	Call with Coverdell's staff
9/23/99	Breakfast meeting with J. Canahuati, M. Gale, J. Cook and others on CBI schedule and effort
9/27/99	Attend meeting with Senate Finance Committee staff and CBI Coalition

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