

For Six Month Period Ending NOV 30 2001
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No. 5428
Griffin, Johnson, Dover & Stewart, Inc.

(c) Business Address(es) of Registrant
1300 Connecticut Avenue, NW
Suite 600
Washington, DC 20036

2. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:
(1) Residence address Yes ☐ No ☐
(2) Citizenship Yes ☐ No ☐
(3) Occupation Yes ☐ No ☐

(b) If an organization:
(1) Name Yes ☐ No ☒
(2) Ownership or control Yes ☐ No ☒
(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in items (a) and (b) above.

Not applicable.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.
Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

Not applicable.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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Not applicable.

- (b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☒

If yes, identify each such person and describe his service.

Not applicable.

- (b) Have any employee or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
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- (c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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- Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes ☐ No ☐

Not applicable.

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish the following information:

Name of foreign principal

Date of termination

Not applicable.

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

Not applicable.

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

Government of Bolivia

10. EXHIBITS A AND B

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A³ Yes ☐ No ☐ Not applicable.

Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

Not applicable.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

See Attachment 1.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

See Attachment 1.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government, a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14(a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶

Date	From Whom	Purpose	Amount
6/4/01	Embassy of Bolivia	Fees for services rendered	\$87,500
7/30/01	Embassy of Bolivia	Fees for services rendered	\$212,500
			\$300,000
Total			

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

Not applicable.

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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Not applicable.

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
5/15/01-12/18/01	Reimbursement to GJDS personnel	Office expenses (courier, taxi)	\$306.96

Bolivia was not charged for these expenses.

\$306.96

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes ☐

No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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Not applicable.

(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒

No ☐

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
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See Attachment 2.

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials¹²?
 Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- | | | | |
|---|---|--|---|
| ☐ Radio or TV broadcasts | ☐ Magazine or newspaper articles | ☐ Motion picture films | ☐ Letters or telegrams |
| ☐ Advertising campaigns | ☐ Press releases | ☐ Pamphlets or other publications | ☐ Lectures or speeches |
| ☐ Other (specify) _____ | | | |

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- | | | |
|--|---|---|
| ☐ Public Officials | ☐ Newspapers | ☐ Libraries |
| ☐ Legislators | ☐ Editors | ☐ Educational institutions |
| ☐ Government agencies | ☐ Civic groups or associations | ☐ Nationality groups |
| ☐ Other (specify) _____ | | |

21. What language was used in the informational materials:

- ☐ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI-EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

12/19/01

(Type or print name under each signature¹³)

Jeffrey Peck
JEFFREY PECK

2002 DEC 13 11:40

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

UNITED STATES DEPARTMENT OF JUSTICE
FARA REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1. Is your answer to Item 16 of Section V (Informational Materials - page 8 of Form CRM-154, formerly Form OBD-64-Supplemental Statement):

YES _____ or NO X

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

YES _____ or NO X

(If your answer to question 2 is "yes" please forward for our review copies of all material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Jeffrey Peck
Signature

12/19/01
Date

JEFFREY PECK
Please type or print name of
signatory on the line above

LOBBYIST / GENERAL COUNSEL
Title

Attachment 1 - Answer to Questions 11 and 12

Griffin, Johnson, Dover & Stewart has been retained to provide government affairs and lobbying services to the Government of Bolivia on the following: narcotics interdiction, foreign policy, natural resources development and trade policy. GJDS will work through the Bolivian Ambassador to the United States on all matters.

Set forth below are a list of meetings in response to Questions 11 and 12. In addition, there were numerous phone calls made in connection with these meetings.

Date of Contact	Name and Title of US Govt. Official Contacted	Manner in which the Contact was Made	Subject Matter Discussed
May 24, 2001	Staff of Rep. Philip Crane	Meeting	ATPA
June 4, 2001	Meredith Broadbent, House Ways and Means Comm.	Meeting	Andean Trade Preference Act (ATPA), H.R. 3009
June 5, 2001	Rep. LaHood	Meeting	ATPA
June 7, 2001	Rep. Kolbe	Meeting	H.R. 2506
June 7, 2001	Mark Murray, House Appropriations Comm.	Meeting	ATPA; Foreign Operations Appropriations bill
June 8, 2001	Francis Grabb, Cong. Matsui's staff	Meeting	ATPA
June 11, 2001	Richard Criss, Senate Finance Comm.; Jean-Marie Hathaway, Senator DeWine's staff; Paul Grove; Senate Approps. Comm.	Meeting	ATPA; S. 525; HR 2506
June 14, 2001	Rep. Jim McDermott; Tim Reif, House Ways and Means Comm.; Tim Reiser, Senate Approps. Comm.	Meeting	ATPA; HR 2506
June 20, 2001	Chris Weld, Senate Foreign Relations Comm.	Meeting	HR 2506

June 21, 2001	Brian McKeon, Senate Foreign Relations Comm.	Meeting	HR 2506
June 22, 2001	Charlie Diaz, Speaker's staff	Meeting	ATPA; HR 2506
June 26, 2001	Reps. Tanner, Callahan and Granger	Meetings	ATPA; HR 2506
June 26, 2001	Rep. Nita Lowey	Meeting	ATPA
June 27, 2001	Rep. Tom Davis	Meeting	Bolivian presidential visit
June 27, 2001	Rep. Lucille Roybal-Allard	Meeting	ATPA; HR 2506
June 27, 2001	Rep. William Jefferson	Meeting	ATPA
July 9, 2001	Lorne Craner, State Department & Bolivian Foreign Minister	Meeting	Human Rights in Bolivia
July 10, 2001	Sen. Richard Lugar, Foreign Relations Cmte. & Bolivian Foreign Minister	Meeting	Aid to Bolivia; ATPA
July 11, 2001	Rep. Jim Kolbe & Bolivian Foreign Minister	Meeting	Aid to Bolivia, ATPA
August 29, 2001	John Seggerman, staff of Senator DeWine	Meeting	ATPA; HR 2506
September 6, 2001	Regina Vargo, Asst. USTR for Latin America	Meeting	ATPA
September 7, 2001	Amb. Zoellick, USTR	Meeting	Bolivia, ATPA
October 9, 2001	Asst. Secy Shirzad Faryar, Commerce Dept.	Meeting	ATPA
October 9, 2001	Amb. Maisto, USTR	Meeting	ATPA
October 24, 2001	Grant Aldonis, Under Secretary of Commerce	Meeting	ATPA
November 5, 2001	Sen. John Breaux	NRH Dinner	Bolivia's Agenda in Congress

November 6, 2001	Flori Liser, Peter Davidson, B. Oglesby, USTR	Meeting	ATPA
November 20, 2001	Carl Meachem, Senator Reid's staff	Meeting	ATPA
November 21	Amb. Zoellick, USTR	Meeting	ATPA
November 27	Heidi Nelson, USTR	Meeting	ATPA
November 27	Chuck Marr, Tim Hogan & Dennis McDonoughe	Meeting	ATPA; H.R. 2506
November 27, 2001	Chuck Marr, Tim Hogan and Dennis McDonoughe	Meeting	ATPA; HR 2506

Attachment 2 – Answer to 15(c)

Contributor	Date	Amount	Candidate
Pat Griffin	6/27/01	\$1,000	David Bonior for Governor
Pat Griffin	11/5/01	\$400	Janet Reno for Governor
Pat Griffin	11/30/01	\$500	Maria Cantwell – 2000 Senate debt retirement
Pat Griffin	6/15/01	\$1,000	Byron Dorgan for Senate
Pat Griffin	6/15/02	\$1,000	Max Baucus for Senate
Pat Griffin	7/25/01	\$1,000	Daniel Inouye for Senate
Pat Griffin	8/1/01	\$1,000	Harry Reid for Senate
Pat Griffin	9/30/01	\$500	Tom Strickland for Senate
Pat Griffin	11/28/01	\$1,000	Jean Carnahan for Senate
Pat Griffin	12/13/01	\$1,000	Jean Shaheen for Senate
Len Swinehart	5/25/01	\$500	Peter King for Congress
Len Swinehart	6/4/01	\$500	Phil Gramm for Senate
Len Swinehart	6/18/01	\$500	Susan Collins for Senate
Len Swinehart	7/10/01	\$500	Rob Portman for Congress
Len Swinehart	9/18/01	\$500	Ed Bryant for Congress
Len Swinehart	11/2/01	\$250	Melissa Hart for Congress
Len Swinehart	11/2/01	\$1,000	The Freedom Project
Len Swinehart	12/10/01	\$500	KOMPAC (Keep Our Majority PAC)
Cristina Krasow	6/18/01	\$250	Ellen Tauscher for Congress
Bill Danvers	6/27/01	\$1,000	Responsibility, Opportunity, Community PAC
David Johnson	6/6/01	\$1,000	Dick Durbin for Senate
David Johnson	6/8/01	\$1,000	Tim Johnson for Senate
David Johnson	6/8/01	\$1,000	Debbie Stabenow – 2000 Senate debt retirement
David Johnson	6/15/01	\$500	Max Baucus for Senate
David Johnson	6/15/01	\$1,000	Byron Dorgan for Senate
David Johnson	6/15/01	\$500	Rick Weiland for Congress
David Johnson	6/29/01	\$1,000	Carl Levin for Senate
David Johnson	7/17/01	\$1,000	Daniel Inouye for Senate
David Johnson	9/26/01	\$1,000	Harry Reid for Senate
David Johnson	10/30/01	\$500	Tom Strickland for Senate
David Johnson	11/7/01	\$250	Jane Harman for Congress
David Johnson	11/14/01	\$1,000	Dario Herrera for Congress
David Johnson	11/28/01	\$1,000	Jean Carnahan for Senate
David Johnson	11/28/01	\$1,000	Tim Johnson for Senate
David Johnson	11/28/01	\$500	Robert Menendez for Congress
David Johnson	12/3/01	\$1,000	Maria Cantwell – 2000 Senate debt retirement

David Johnson	12/10/01	\$1,000	Paul Wellstone for Senate
David Johnson	12/12/01	\$1,000	John Kerry for Senate
David Johnson	12/13/01	\$1,000	Jean Shaheen for Senate
Peter Madigan	6/20/01	\$500	Jim Walsh for Congress
Peter Madigan	6/20/01	\$1,000	Gordon Smith for US Senate
Peter Madigan	9/28/01	\$1,000	Mark Warner for Governor
Peter Madigan	10/10/01	\$1,000	Team Sununu CMT
Peter Madigan	10/10/01	\$2,500	Roy Blunt PAC
Peter Madigan	10/10/01	\$2,500	ARMPAC
Peter Madigan	10/17/01	\$5,000	Republican Majority Fund
Peter Madigan	10/21/01	\$1,000	The Freedom Project
Peter Madigan	11/15/01	\$2,000	Ohio 17 Start PAC
Peter Madigan	11/15/01	\$1,000	Rob Portman for Congress