

For Six Month Period Ending December 31, 2002

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No. 5506

PricewaterhouseCoopers LLP

(c) Business Address(es) of Registrant

1301 K Street, NW 800W

Washington, DC 20005

2. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address

Yes ☐

No ☐

(2) Citizenship

Yes ☐

No ☐

(3) Occupation

Yes ☐

No ☐

(b) If an organization:

(1) Name

Yes ☐

No ☒

(2) Ownership or control

Yes ☐

No ☒

(3) Branch offices

Yes ☒

No ☐

(c) Explain fully all changes, if any, indicated in items (a) and (b) above.

Attachment I details changes in branch offices

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CRIMINALS/REGISTRATION UNIT

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐

No ☐

Inapplicable

If yes, have you filed an amendment to the Exhibit C?

Yes ☐

No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (a waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position	Date Connection Ended
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Detailed in Attachment II

- (b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
John Barry	400 Campus Drive Florham Park, NJ 07932	U.S.	Partner, U.S. Board of Partners and Principles of PwC	07 01 2002

5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☒

If yes, identify each such person and describe his service.

- (b) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
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- (c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes ☐ No ☐ Inapplicable

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish the following information:

Name of foreign principal

Date of termination

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

Government of the Republic of Uzbekistan

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following: Inapplicable

Exhibit A³ Yes ☐ No ☐

Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☒

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

PWC was engaged to provide advice and assistance to the Government of the Republic of Uzbekistan with regard to the economic and trade relations with the United States with specific focus on the United States Congress, Executive Branch and its agencies which deal with economic and trade relations with the United States. This included providing advice to the Government of the Republic of Uzbekistan regarding President's Bush's proposed assistance to countries where the government leadership is assuring the rule of law, is dedicated to fighting corruption, and is investing in the essential needs of the people, including education and health.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

July 2002 Placed one telephone call to the House Appropriations Committee main office and one call to the Senate Appropriations Committee main office to monitor the progress of the appropriations process.

August 2002 Place two telephone calls to the House Appropriations Committee main office and two calls to the Senate Appropriations Committee main office to monitor the progress of the appropriations process.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government, a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶

Date	From Whom	Purpose	Amount
July, 2002	Republic of Uzbekistan	Retainer for services	\$25,000
August, 2002	Republic of Uzbekistan	Retainer for services	25,000
October, 2002	Republic of Uzbekistan	Retainer for services	25,000
November, 2002	Republic of Uzbekistan	Retainer for services	25,000
December, 2002	Republic of Uzbekistan	Retainer for services	25,000
			<u>\$125,000</u>
			Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
July, 2002	UPS	Express Mail	\$5.19
August, 2002	LasherShip	Courier Service	65.05

\$79.24

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒No ☐

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
08/14/03	\$ 100.00	Eric Cantor for Congress	Eric Cantor
09/15/03	500.00	Obenshain for Senate	
10/22/03	160.00	Hawley for Mason District/VA	Buzz Hawley
11/07/03	2,000.00	Bush-Cheney '04 Inc.	Pres. Bush & V.P. Cheney

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials¹²?
 Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

Inapplicable

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☐

Inapplicable

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following: Inapplicable

☐ Radio or TV
broadcasts

☐ Magazine or
newspaper
articles

☐ Motion picture films

☐ Letters or telegrams

☐ Advertising
campaigns

☐ Press releases

☐ Pamphlets or other
publications

☐ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups: Inapplicable

☐ Public Officials

☐ Newspapers

☐ Libraries

☐ Legislators

☐ Editors

☐ Educational institutions

☐ Government agencies

☐ Civic groups or associations

☐ Nationality groups

☐ Other (specify) _____

21. What language was used in the informational materials:

☐ English

☐ Other (specify) Inapplicable

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

Inapplicable

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐

No ☐

Inapplicable

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI--EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Type or print name under each signature¹³)

John R. Harman
202-414-1478

John R. Harman
1301 K Street NW 8004
Washington, D.C. 20005

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Attachment I**Question 2. (c) PwC Branch Office Changes**

1616 North Fort Myer Drive
Arlington, VA (closed)

1155 Peachtree Street
Atlanta, GA (closed)

50 Hurt Plaza, Suite 1700
Atlanta, GA (closed)

400 Northridge Road, Suite 1000
Atlanta, GA (closed)

3200 Windy Hill Road, Suite 900 West
Atlanta, GA (closed)

10 Tenth Street
Atlanta, GA (new)

600 Congress Avenue, Suite 1800
Austin, TX (closed)

300 West 6th Street, Suite 1800
Austin, TX (new)

1306 Concourse Drive, Suite 100
Linthicum, MD (closed)

6500 Rock Spring Drive, Suite 500
Bethesda, MD (closed)

144 Middlesex Turnpike
Burlington, MA

100 N. Tryon Street, Suite 5400
Charlotte, NC (closed)

214 N. Tryon Street, Suite 5400
Charlotte, NC (new)

111 West Jackson Boulevard
Chicago, IL (closed)

312 Walnut Street, Suite 3400
Cincinnati, OH (closed)

255 East Fifth Street
Cincinnati, OH (closed)

720 Pete Rose Way
Cincinnati, OH (new)

Two Easton Oval, Suite 500
Columbus, OH (closed)

15301 Dallas Parkway, Suite 300
Dallas, TX (closed)

399 Thornall Street, 5th Floor
Edison, NJ (closed)

12902 Federal Systems Park Drive
Fairfax, VA (closed)

3110 Fairview Park Drive, Suite 300
Falls Church, VA (closed)

2100 North Central Road
Fort Lee, NJ (closed)

20 North Meridan Street, Suite 1700
Indianapolis, IN (new)

125 East John Carpenter Freeway, Suite 900
Irving, TX (closed)

150 Clove Road
Little Falls, NJ (closed)

Three Finacial Centre
Little Rock, Arkansas (new)

Two Wall Street
Manchester, NH (closed)

11 Madison Avenue
New York, NY (closed)

75 Varick Street
New York, NY (new)

390 N. Orange Avenue, Suite 2400
Orlando, FL (closed)

Bank of America Bldg.
Orlando, FL (new)

30 South 17th Street
Philadelphia, PA (closed)

3100 Smoketree Court, Suite 900
Raleigh, NC (closed)

2004 MAY 26 PM 2:28
CRM/REGISTRATION UNIT

Attachment I

Question 2. (c) PwC Branch Office Changes

615 Franklin Turnpike
Ridgewood (new)

9399 West Higgins Road, Suite 1100
Rosemont, IL (closed)

1100 Bausch & Lomb Place
Rochester, NY (new)

1505 Main Street, Suite 610
Sarasota, NY (new)

999 Third Avenue, Suite 1800
Seattle, WA (closed)

1001 Fourth Avenue Plaza, Suite 4200
Seattle, WA (closed)

1420 Fifth Avenue
Seattle, WA (new)

40 Oak Hollow, Suite 155
Southfield, MI (closed)

8000 Grainger Court
Springfield, VA (closed)

500 Frank W. Burr Blvd.
Teaneck, NJ (closed)

One Columbus Center, Suite 400
Virginia Beach, VA (closed)

600 Lee Road, Suite 200
Wayne, PA (closed)

1591 Paoli Pike
West Chester, PA (closed)

495 Post Road East
Westport, CT (closed)

12/31/2002

Attachment II

Question 4. (a) Persons who left the U.S. Board of Partners and Principles of PwC

<u>Name</u>	<u>Position</u>	<u>Date connection Ended</u>
John Dunleavy	Partner	10/1/02
Robert H. Hertz	Partner	7/1/02
Stephen D. Higgins	Partner	7/1/02
Scott W. Kaufamn	Partner	10/1/02
Gary W. Van Wagnen	Partner	10/1/02