U.S. Department of Justice
Washington, DC 20530

OMB NO. 1103-0001

Supplemental Statement

Pursuant to Section 2 of the Foreign Agents Registration Act of 1938, as amended

For Six Month Period Ending 12/31/06
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant
Bavarian Ministry for Economic Affairs, Infrastructure, Transport and Technology-U.S. Office for Economic Development
a/k/a State of Bavaria, United States Office for Economic Development

(b) Registration No.
5647

(c) Business Address(es) of Registrant
560 Lexington Avenue, 17th Floor
New York, NY 10022

555 Hamilton Avenue, Suite 130
Palo Alto, CA 94301

2. Has there been a change in the information previously furnished in connection with the following:

(a)	If an individual:		
	(1) Residence address	Yes ☐	No ☐
	(2) Citizenship	Yes ☐	No ☐
	(3) Occupation	Yes ☐	No ☐
(b)	If an organization:		
	(1) Name	Yes ☐	No ☒
	(2) Ownership or control	Yes ☐	No ☒
	(3) Branch offices	Yes ☐	No ☒

(c) Explain fully all changes, if any, indicated in items (a) and (b) above.

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IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4 AND 5(a).

3. If you have previously filed Exhibit C[1], state whether any changes therein have occurred during this 6 month reporting period.
Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

1 The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date connection ended

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed

5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal?
Yes ☐ No ☒

If yes, identify each such person and describe his service.

(b) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated

(c) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement?
Yes ☐ No ☐ N/A

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?
Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal | Date of termination

8. Have you acquired any new foreign principal[2] during this 6 month reporting period?
Yes ☐ No ☒

If yes, furnish the following information:

Name and address of foreign principal | Date acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principals[2] whom you continued to represent during the 6 month reporting period.

Bavarian Ministry for Economic Affairs, Infrastructure, Transport and Technology

10. EXHIBITS A AND B

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A[3] Yes ☐ No ☐
Exhibit B[4] Yes ☐ No ☐ N/A

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during the 6 month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

2 The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

3 The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67), sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

Bavarian Ministry for Economic Affairs, Infrastructure, Transport and Technology
See Attached Addendum i (Description of Responsibilities)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity[5] as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates and places of delivery, names of speakers and subject matter.

Bavarian Ministry for Economic Affairs, Infrastructure, Transport and Technology
See Attached Addendum i (Description of Responsibilities)

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

5 The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14 . (a) **RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies[6]

Date	From whom	Purpose	Amount

See Attached Addendum ii (Account of Monies)

Total _____

(b) **RECEIPTS – FUND RAISING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fund raising campaign[7], any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D[8] to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) **RECEIPTS – THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value[9] other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose

6. 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS – MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To whom	Purpose	Amount
N/A			

Total

(b) **DISBURSEMENTS - THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value[10] other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
N/A				

(c) **DISBURSEMENTS - POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value[11] in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
N/A			

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials [12]?
Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

Bavarian Ministry for Economic Affairs, Infrastructure, Transport and Technology

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

☐ Radio or TV broadcasts	☒ Magazine or newspaper articles	☐ Motion picture films	☒ Letters or telegrams
☒ Advertising campaigns	☒ Press releases	☒ Pamphlets or other publications	☒ Lectures or speeches
☒ Internet	☐ Other (specify) _____		

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

☒ Public Officials	☒ Newspapers	☐ Libraries
☒ Legislators	☒ Editors	☒ Educational institutions
☒ Government agencies	☒ Civic groups or associations	☐ Nationality groups
☒ Other (specify) Businesses and Individuals		

21. What language was used in the informational materials:

☒ English ☒ Other (specify) German

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?
Yes ☒ No ☐

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI – EXECUTION

In accordance with 28 U.S.C. §1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)	(Type or print name under each signature[13])
29 January 2007	Dagmar A. Cassan

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OFFICE OF INTERNATIONAL TRADE

13 This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

UNITED STATES DEPARTMENT OF JUSTICE
FARA REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1. Is your answer to Item 16 of Section V (Informational Materials - page 8 of Form CRM-154, formerly Form OBD-64-Supplemental Statement):

YES X or NO _____

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

YES _____ or NO _____

(If your answer to question 2 is "yes" please forward for our review copies of all material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Signature 1/29/2007 *Date*

Dagmar A. Cassan
Please type or print name of signatory on the line above

Executive Managing Director
Title

Description of Responsibilities of the Bavarian Representation in the U.S.A & Canada

- Active participation in preparing Bavarian marketing strategies for the U.S.A. and Canada. Particularly in light of representative's experience in and knowledge of the market new ideas and initiatives for the marketing strategies are expected.

- Establishing contacts with U.S. companies for direct investments, joint ventures, cooperation agreements, etc. (lead generation)

- Cultivating contacts with multipliers in the business community (banks, accountants, management consultants, associations, Chambers of Commerce, industrial planning organizations etc.) and utilizing these contacts for lead generation.

- Creation and execution of direct mail campaigns and sending out information material about location Bavaria to target groups.

- Compiling of specific information about certain companies within the target group, such as company size, position in the market, international business activities etc.

- Organizing and executing seminars and informational events in the United States and Canada about location Bavaria.

- Organizing business trips for members of the Ministry for Economic Affairs to the U.S. and Canada including personal support, and possibly accompanying the visitor(s).

- Providing diverse services for the Ministry for Economic Affairs such as storage and shipment of information material, handling inquiries by mail, telephone or facsimile etc. (office service).

- Ongoing reporting (in writing every two months) on current developments within the U.S. and Canadian market, especially international expansion activities of U.S. companies in Europe.

- Keep current on and occasional feedback on other countries, including European regions and North America market activities (observe competition).

Tätigkeitsbeschreibung des bayerischen Repräsentanten
für die USA und Kanada

- Aktive Mitwirkung bei der Erstellung bayerischer Marketing-Strategien für die USA und Kanada, wobei insbesondere durch die Erfahrung und Marktkenntnis des Repräsentanten neue Ideen und Impulse erwartet werden.

- Erstkontakte zu amerikanischen Unternehmen in den Bereichen Direktinvestitionen, Joint Ventures, Unternehmenskooperationen etc. (Akquisition).

- Kontaktpflege mit Multiplikatoren der Wirtschaft (Banken, Wirtschaftsprüfer, Unternehmensberater, Verbänden, Auslandshandelskammern, Industrieplanungsgesellschaften etc. und Nutzung dieser Kontakte für die Akquisition.

- Erstellung und Versand von Werbeschreiben (Direct Mailing) und Versand von Informationsmaterial über Bayern an die Zielgruppen.

- Beschaffung spezifischer Informationen über bestimmte Unternehmen der Zielgruppen, wie Unternehmensgröße, Marktstellung, internationale Tätigkeiten etc.

- Vorbereitung und Durchführung von Seminaren und Informationsveranstaltungen über Bayern in den USA und Kanada.

- Vorbereitung von Besuchsreisen von Angehörigen des Ministeriums in den USA und Kanada sowie persönliche Unterstützung und ggf. Begleitung.

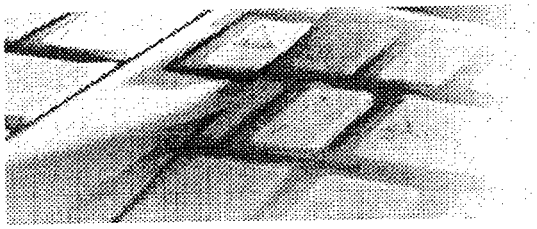
- Erbringung diverser Dienstleistungen für das Ministerium wie z.B. Lagerung und Versand von Informationsmaterialien, Entgegennahme eingehender Anfragen per Post, Telefon oder Telefax etc. (Büroservice).

- Laufende Berichterstattung (schriftlich alle zwei Monate) über aktuelle Entwicklungen im amerikanischen und kanadischen Markt, insbesondere Auslandsaktivitäten von USA-Unternehmen in Europa.

- Laufende Beobachtung und ggf. Berichterstattung über die Aktivitäten anderer Länder bzw. europäischer Regionen vor allem im nordamerikanischen Markt (Konkurrenzbeobachtung).

Invest in Bavaria

About Us



Our Services
Our Team

Invest in Bavaria is the inward investment and location marketing unit of the Bavarian State Ministry of Economic Affairs, Infrastructure, Transport and Technology.

Invest in Bavaria is part of the Ministry's Department of International Trade and Investment and it is directly answerable to State Minister Erwin Huber.

Invest in Bavaria is jointly operated by the State Ministry and Bayern International.

Dr. Thies Claussen (Tel: +49 89 2162-2382; email: **thies.claussen@stmwivt.bayern.de**) is head of the Department of International Trade and Investment. Invest in Bavaria is led by Dr. Markus Wittmann (Tel: +49 89 2162-2642; email: **info@invest-in-bavaria.com**).

January 26, 2007 | http://www.invest-in-bavaria.com /AboutUs/index.html
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Invest in
About
Bavaria
Us

- **State of Bavaria U.S. Office for Economic Development**

As representatives for the German State of Bavaria, we provide assistance to U.S. companies interested in exploring expansion or investment opportunities in Bavaria.

Located in New York and familiar with the intricacies of the corporate cultures of both German and American companies, we can streamline the fact-finding phase and the efficiency of the decision process. We can be of particular help with completing official formalities rapidly and effectively.

Our services include, among others, site inspections, exploring opportunities for financing and grants according to the funding criteria and using our vast network in the state to provide necessary introductions to relevant contacts and resources. In short, we work with you toward reaching a conclusion beneficial to your company.

These services are completely FREE of any cost to you and we will provide guidance through every aspect of your location process from beginning to end.

Our track record is substantial having helped numerous U.S. companies successfully achieve their desired objectives in Bavaria.

- **Management**
- **Request Information**
- **State of Bavaria Representative Offices Worldwide**

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State of Bavaria - United States Office For Economic Development
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Email: info@bavaria.org • Web: www.bavaria.org • © 2002 United States Office for Economic Development

Addendum ii (Account of Monies)
In response to Section IV-Financial Information

Date	From Whom	Purpose	Amount
7/6/06	Bavarian Ministry*	Contribution to cover office operating expenditures-NY office	$165,039.00
7/6/06	Bavarian Ministry*	Contribution to cover office operating expenditures-CA office	$102,074.63
7/6/06	Bavarian Ministry*	Reimbursement for pre-paid office operating expenditures-CA office	$ 2,878.75
10/10/06	Bavarian Ministry*	Contribution to cover office operating expenditures-CA office	$101,143.00
10/12/06	Bavarian Ministry*	Contribution to cover office operating expenditures-NY office	$161,310.00
10/13/06	Bavarian Ministry*	Contribution to cover office operating expenditures-NY office	$ 83,092.87
11/29/06	Bavarian Ministry*	Contribution to cover office operating expenditures-NY office (1st Qtr. 2007)	$182,535.00
12/1/06	Bavarian Ministry*	Contribution to cover office operating expenditures-CA office (1st Qtr. 2007)	$104,999.00
		Total:	$903,072.25

*Bavarian Ministry for Economic Affairs, Infrastructure, Transport and Technology