

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 31 October, 2015

(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant

(b) Registration No.

Independent Diplomat

5860

(c) Business Address(es) of Registrant

45 E 20th Street, 6th Floor

New York, NY 10003

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes  No (2) Citizenship Yes  No (3) Occupation Yes  No 

(b) If an organization:

(1) Name Yes  No (2) Ownership or control Yes  No (3) Branch offices Yes  No 

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).**3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.Yes  No If yes, have you filed an amendment to the Exhibit C? Yes  No 

If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
Mark Koenig	14432 S 8th Street Phoenix, AZ 85048	USA	Assistant Treasurer	21 May, 2015

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes  No

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes  No

Name	Residence Address	Citizenship	Position	Date Assumed
Mariam Jalabi	1210 Fulton St., 3rd Floor Brooklyn, New York 11216- USA	Canadian	UN Representative	24 June, 2015

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes  No

If no, list names of persons who have not filed the required statement.

**II - FOREIGN PRINCIPAL**

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes  No   
If yes, furnish the following information:

Foreign Principal  
Delegation of Catalonia to the United Nations

Date of Termination  
30 September, 2015

8. Have you acquired any new foreign principal(s)<sup>2</sup> during this 6 month reporting period? Yes  No   
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)<sup>2</sup> whom you continued to represent during the 6 month reporting period.

Permanent Observer Mission of the State of Palestine to the United Nations  
The National Coalition of the Syrian Revolutionary and Opposition Forces (Syrian Coalition)  
Government of the Sahrawi Arab Democratic Republic (SADR)  
Government of the Republic of Somaliland  
Government of the Republic of the Marshall Islands (RMI)

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup> Yes  No

Exhibit B<sup>4</sup> Yes  No

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes  No

If yes, have you filed an amendment to these exhibits? Yes  No

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement?      Yes       No

If yes, identify each foreign principal and describe in full detail your activities and services:

- Diplomacy involving SADR, including at the United Nations
- Diplomacy involving RMI, including at the United Nations
- Diplomacy involving the Syrian Coalition, including at the United Nations
- Diplomacy involving the Government of Somaliland, including at the United Nations
- Diplomacy involving the Delegation of Catalonia to the United Nations, including at the United Nations

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below?      Yes       No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Please see attached list of meetings.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)?      Yes       No

If yes, describe fully.

Carne Ross and Reza Afshar are regular contributors to public debates on international affairs.

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<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

**IV - FINANCIAL INFORMATION**

**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes  No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Date	From Whom Please see attached Excel spreadsheet.	Purpose	Amount
			1,139,591.89
			Total

**(b) RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

If yes, have you filed an Exhibit D<sup>8</sup> to your registration? Yes  No

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes  No

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

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15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

(2) transmitted monies to any such foreign principal? Yes  No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes  No

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes  No

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?<sup>12</sup>  
 Yes  No

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?  
 Yes  No

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Independent Diplomat disseminated informational materials on behalf of the SADR in connection with the event "Western Sahara- The Last Colony in Africa: A conversation with Saharawi Arab Democratic Republic (SADR) Minister of Foreign Affairs H.E. Mohamed Salem Ould Salek" held at 45 E 20th Street, 6th Floor New York, NY 10003 on 8 October.

Independent Diplomat contributes to the public debate on international affairs and our clients via various media outlets.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes  No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) \_\_\_\_\_

Electronic Communications

- Email
- Website URL(s): www.independentdiplomat.org
- Social media websites URL(s): Facebook: www.facebook.com/independentdiplomat, Twitter: @IDiplomat
- Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) \_\_\_\_\_

21. What language was used in the informational materials:

- English
- Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes  No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes  No

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature<sup>13</sup>)

November 30, 2015 \_\_\_\_\_

/s/ Carne Ross \_\_\_\_\_

eSigned

November 30, 2015 \_\_\_\_\_

/s/ Jennifer Lake \_\_\_\_\_

eSigned

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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

**ID: Chart of FARA-related activity**

1 May 2015- 31 October 2015

<b>Date of Contact</b>	<b>Name and Title of:</b> - US Gov't official - media outlet - business, civic group, academic community, etc.	<b>Foreign Principal</b>	<b>How Contact Established</b> (telephone, office meeting, letter, lunch etc.)	<b>Subject Matter Discussed</b>
May 1, 2015	Gail Horak, State Department Syria Desk Officer, USUN	Syrian National Coalition	Call	SNC leadership meeting with Samantha Power
May 1, 2015	Max Boot, Jeane J. Kirkpatrick Senior Fellow for National Security Studies Council on Foreign Relations	Syrian National Coalition	Email	CFR event for SNC President
May 5, 2015	Lisa Cresce, Program Officer, Inclusive Security	Syrian National Coalition	Call	SNC leadership meeting with Inclusive Security
July 14, 2015	Manal Omar, Associate Vice-President, United States Institute of Peace	Syrian National Coalition	Email	Honoring Women Leaders during Ramadan
August 27, 2015	Manal Omar, Associate Vice-President, United States Institute of Peace	Syrian National Coalition	Email	Fight against violent extremism
September 2, 2015	Mounir Ibrahim, State Department Syria Desk Officer, USUN	Syrian National Coalition	Meeting	Syrian crisis, UNGA 70 program
September 11, 2015	Mounir Ibrahim, State Department Syria Desk Officer, USUN	Syrian National Coalition	Email	UNGA 70 program
September 16, 2015	Marci Hodge, State Department Policy Advisor for Near Eastern Affairs (-North Africa), Secretary's Office of Global Women's Issues	Syrian National Coalition	Email	UNGA 70 program
18 September 2015	Leslie Ordeman, Political Adviser, United States Mission to the United Nations	Western Sahara	Email and Meeting	UN Security Council and Western Sahara
September 22, 2015	Michelle Barsa, Deputy Director and Policy Programs, Inclusive Security	Syrian National Coalition	Call	Syrian women's political participation

September 25, 2015	Mounir Ibrahim, State Department Syria Desk Officer, USUN	Syrian National Coalition	Email	UNGA 70 program.
September 30, 2015	Michelle Barsa, Deputy Director and Policy Programs, Inclusive Security	Syrian National Coalition	Email	Syrian women's political participation
October 20, 2015	Manal Omar, Associate Vice-President, United States Institute of Peace	Syrian National Coalition	Email	Syria crisis
October 23, 2015	Mounir Ibrahim, State Department Syria Desk Officer, USUN	Syrian National Coalition	Email	Sponsorship for letter to UNSC
October 29, 2015	Jessica Garber, Legislative Correspondent for Senator Richard Durbin	Syrian Opposition Coalition	Office meeting	Civilian protection in Syria
October 29, 2015	Elizabeth Lawrence, Pearson Fellow for Senator Richard Durbin	Syrian Opposition Coalition	Office meeting	Civilian protection in Syria
October 29, 2015	John N. Rader, Policy Analyst and Counsel for Senator Bob Corker	Syrian Opposition Coalition	Office meeting	Civilian protection in Syria
October 29, 2015	Jamie Fly, Counsellor for Foreign and National Security Affairs for Senator Marco Rubio	Syrian Opposition Coalition	Office meeting	Civilian protection in Syria
October 29, 2015	Bethany Poulos, Legislative Correspondent for Senator Marco Rubio	Syrian Opposition Coalition	Office meeting	Civilian protection in Syria
October 29, 2015	Senator Bernie Sanders	Syrian Opposition Coalition	Office meeting	Civilian protection in Syria
October 29, 2015	Caryn Compton, Legislative Director for Senator Bernie Sanders	Syrian Opposition Coalition	Office meeting	Civilian protection in Syria
October 29, 2015	Ian Rockwell, Legislative Correspondent for Senator Bernie Sanders	Syrian Opposition Coalition	Office meeting	Civilian protection in Syria
October 28, 2015	Curtis Ried, Director International Organizations, National Security Council	Syrian Opposition Coalition	Dinner	Civilian protection in Syria

**Independent Diplomat, Inc.**

**Deposit Detail**

**May through October 2015**  
Independent Diplomat, Inc.

Registration Number 5860

Supplemental Filing

For the period 1 May 2015 - 31 October 2015

Part IV Financial Information, #14(a)

<u>Date</u>	<u>Received From</u>	<u>Purpose</u>	<u>Amount</u>
06/19/2015	ClimateWorks Foundation	Republic of the Marshall Islands	122,500.00
08/21/2015	ClimateWorks Foundation	Republic of the Marshall Islands	40,000.00
			<u>162,500.00</u>
07/01/2015	FCO UK Conflict Pool	US Offices of the National Coalition of Syrian Revolutionary and Opposition Forces (Syrian Coalition)	246,767.89
			<u>246,767.89</u>
08/28/2015	FCO-USD	US Offices of the National Coalition of Syrian Revolutionary and Opposition Forces (Syrian Coalition)	114,730.00
08/28/2015	FCO-USD	US Offices of the National Coalition of Syrian Revolutionary and Opposition Forces (Syrian Coalition)	331,861.00
09/25/2015	FCO-USD	US Offices of the National Coalition of Syrian Revolutionary and Opposition Forces (Syrian Coalition)	122,473.00
10/27/2015	FCO-USD	US Offices of the National Coalition of Syrian Revolutionary and Opposition Forces (Syrian Coalition)	141,285.00
			<u>710,349.00</u>
06/18/2015	FRENTE POLISARIO OF WESTERN SAHARA	Government of the Sahrawi Arab Democratic Reput	19,975.00
		<b>Total</b>	<u><u>1,139,591.89</u></u>