

U.S. Department of Justice

Washington, DC 20530

Exhibit A to Registration Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**

INSTRUCTIONS. Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at <https://www.fara.gov>.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <https://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <https://www.fara.gov>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name and Address of Registrant Mercury Public Affairs, LLC 300 Tingey Street, Suite 202 Washington, DC 20003	2. Registration No. 6170
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3. Name of Foreign Principal CRRC MA CORPORATION	4. Principal Address of Foreign Principal 108 Myrtle Street Quincy, MA 02171
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5. Indicate whether your foreign principal is one of the following:

- Government of a foreign country¹
- Foreign political party
- Foreign or domestic organization: If either, check one of the following:
- | | |
|---|---|
| <input type="checkbox"/> Partnership | <input type="checkbox"/> Committee |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Voluntary group |
| <input type="checkbox"/> Association | <input type="checkbox"/> Other (<i>specify</i>) _____ |
- Individual-State nationality _____

6. If the foreign principal is a foreign government, state:

a) Branch or agency represented by the registrant

b) Name and title of official with whom registrant deals

7. If the foreign principal is a foreign political party, state:

a) Principal address

b) Name and title of official with whom registrant deals

c) Principal aim

¹ "Government of a foreign country," as defined in Section 1(e) of the Act, includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign de facto or de jure authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States.

8. If the foreign principal is not a foreign government or a foreign political party:

a) State the nature of the business or activity of this foreign principal.

CRRC MA Corporation (CRRMA) is a domestic company which designs and produces high speed trains, mass transit vehicles, passenger coaches, wind power equipment, and engineering machinery.

b) Is this foreign principal:

Supervised by a foreign government, foreign political party, or other foreign principal	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Owned by a foreign government, foreign political party, or other foreign principal	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Directed by a foreign government, foreign political party, or other foreign principal	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Controlled by a foreign government, foreign political party, or other foreign principal	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Financed by a foreign government, foreign political party, or other foreign principal	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Subsidized in part by a foreign government, foreign political party, or other foreign principal	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

9. Explain fully all items answered "Yes" in Item 8(b). *(If additional space is needed, a full insert page must be used.)*

CRRMA is a U.S. corporation incorporated in Massachusetts. CRRMA is a subsidiary of and majority owned by CRRC Corporation Limited, a Chinese state-owned corporation which is publicly-traded and listed on the Hong Kong and Shanghai Stock Exchanges. CRRC Corporation Limited is under the control of and/or supervised by the state-owned Assets Supervision and Administration Commission of the State Council.

10. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

CRRMA is a U.S. corporation incorporated in Massachusetts. CRRMA is a subsidiary of and majority owned by CRRC Corporation Limited, a Chinese state-owned corporation which is publicly-traded and listed on the Hong Kong and Shanghai Stock Exchanges. CRRC Corporation Limited is under the control of and/or supervised by the state-owned Assets Supervision and Administration Commission of the State Council

EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit A to the registration statement and that he/she is familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date of Exhibit A	Name and Title	Signature
February 01, 2019	Leonardo Dosoretz, Counsel	/s/ Leonardo Dosoretz

eSigned

U.S. Department of Justice

Washington, DC 20530

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Exhibit B to Registration Statement

**Pursuant to the Foreign Agents Registration Act of
1938, as amended**

INSTRUCTIONS. A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at <https://www.fara.gov>.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <https://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <https://www.fara.gov>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant Mercury Public Affairs, LLC	2. Registration No. 6170
3. Name of Foreign Principal CRRC MA Corporation	

Check Appropriate Box:

4. The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach a copy of the contract to this exhibit.
5. There is no formal written contract between the registrant and the foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach a copy of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.
6. The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and expenses, if any, to be received.
7. Describe fully the nature and method of performance of the above indicated agreement or understanding.

Please see attached contract. Registrant has been engaged to provide strategic consulting and management services, including assisting the client with seeking a bid contract with the Metropolitan Transportation Authority in New York City. Registrant will arrange meetings for the Principal with local government officials and provide public relations support for the Principal's efforts.

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Revised 05/17

8. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

Please see attached contract. Registrant has been engaged to provide strategic consulting and management services, including assisting the client with seeking a bid contract with the Metropolitan Transportation Authority in New York City. Registrant will arrange meetings for the Principal with local government officials and provide public relations support for the Principal's efforts.

9. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act and in the footnote below? Yes No

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose.

Please see attached contract. Registrant has been engaged to provide strategic consulting and management services, including assisting the client with seeking a bid contract with the Metropolitan Transportation Authority in New York City. Registrant will arrange meetings for the Principal with local government officials and provide public relations support for the Principal's efforts.

EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Exhibit B to the registration statement and that he/she is familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date of Exhibit B	Name and Title	Signature
February 01, 2019	Leonardo Dosoretz, Counsel	/s/ Leonardo Dosoretz eSigned

Footnote: "Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

Mercury[®]

CONSULTING SERVICES AGREEMENT

CRRC MA Corporation ("Client") registered in Massachusetts have a business address as 108 Myrtle Street, Quincy MA 02171 U.S.A. hereby enters into this Consulting Services Agreement ("Agreement") effective as of January 10, 2019 ("Effective Date") to retain Mercury Public Affairs LLC, a Delaware limited liability company having a business address at 200 Varick Street, Suite 600, New York, New York U.S.A. 10014 ("Consultant"), as an independent contractor to perform the services described herein. Client and Consultant may each be referred to as a "Party" herein, and collectively may be referred to as "Parties."

1. **The Services.** Client and Consultant agree that Client hereby retains Consultant to render consulting services to the Client as specified on Schedule 1 attached hereto. In addition, subject to any limitations set forth on Schedule 1, Consultant will provide such other reasonable consulting services as the parties shall mutually agree to in writing (together with the consulting services identified on Schedule 1, the "Services") during the Term (as described below).
2. **Payment Terms.** Client and Consultant agree that Consultant is entitled to receive and Client shall pay the fees and expenses set forth on Schedule 2, which is incorporated at this point, and which may be modified from time to time as mutually agreed to in writing. Payment in full of fees and expenses shall be made to Consultant within thirty (30) days after an invoice is rendered. For ongoing fees and expenses, Client will be billed on the 1st of every month unless the Agreement begins mid month. In such cases, all expenses will be due in full as billed and all fees will be billed on a prorated basis in the first and last month of the Agreement. In the event that Client does not pay fees and expenses per the invoices within the specified timeframe, Consultant may suspend provision of Services until payment is made. All payments made by Client shall be without deduction or offset. In the event that any fee or expense is disputed by Client, Client will notify Consultant within fifteen (15) days of the date of the invoice.
3. **Term.** The Term of this Agreement shall begin on the Effective Date and will continue in effect until December 31, 2019 (the "Term"), unless Client provides a thirty (30) days prior written notice to Consultant to terminate the Agreement or extend the term for an additional period of time.
4. **Client Contact.** Client shall designate to Consultant, from time to time in writing, the primary contact for reporting and billing purposes. Contacts are identified on Schedule 3. Consultant shall keep the primary contact for reporting purposes regularly informed as to the status of the performance of the Services in accordance with this consulting Agreement.
5. **Independent Contractor Status.** Consultant agrees that it is an independent contractor and not an agent or employee of Client and Consultant will not hold itself out as such an agent

or employee. Consultant has no authority or responsibility to enter into any contracts on behalf of Client.

6. Confidential Information/Trade Secrets. During the course of the performance of the Services, Consultant may have access to, have disclosed to it, or otherwise obtain information which Client expresses verbally, identifies in writing or through labeling as being of a confidential and/or proprietary nature to it (the "Confidential Information"). However, failure to label or identify the material as Confidential Information shall not affect the confidential treatment of such material if the nature of the material would reasonably be determined to be of a proprietary nature. Consultant shall use such Confidential Information solely in performance of its obligations under this Agreement. In addition to the duty of a party to comply with laws, including but not limited to applicable state trade secrets laws, U.S. patent and copyright laws, the party receiving Confidential Information shall exercise all reasonable care to preserve and protect the Confidential Information. Notwithstanding any other provision of this Agreement, the obligations of the receiving part under this section shall survive the expiration and/or termination of this Agreement. Information shall not be deemed confidential if such information is: (i) already known to Consultant free of any restriction at the time it is obtained, (ii) subsequently learned from an independent third party free of any restriction; or (iii) available publicly.
7. Non-Exclusive/Performance. Client hereby acknowledges and agrees that Consultant is currently not in any relationship that would create a conflict of interest with Client, and in no case will Consultant provide services to other entities or individuals whose interest may be in competition or conflict with the business and operation of the Client. Consultant shall not, during the Term, perform and render services or conduct operations of a nature similar to the services or operations performed for Client under this Agreement that would be in competition or conflict with the services or operations provided for Client, on behalf of itself or other entities in the same or similar business as Client, unless the Client gives prior informed consent, confirmed in writing. Notwithstanding anything contained in this Section 7 to the contrary, Consultant represents and warrants that throughout the Term it will devote such personnel and resources in the performance of the Services as it deems reasonably necessary to perform such Services hereunder diligently and conscientiously.
8. Indemnification. Each party will indemnify and hold harmless the other party, its principals, employees, officers and agents, (collectively, the "Indemnified Parties") from and against any and all liabilities, losses, claims, demands, actions, judgments, costs and expenses including but not limited to attorney's fees, arising out of or resulting from , negligence by the indemnifying party, its employees, officers, directors and agents.

Mechanics of Indemnity. Each party's indemnification obligations set forth herein are conditioned upon the Indemnified Parties: (i) giving prompt written notice of any claim, action, suit or proceeding for which the Indemnified Parties are seeking indemnity; (ii) granting control of the defense and settlement of the action to the indemnifying party; and (iii) reasonably cooperating with the indemnifying party with respect to the defense of the action. Notwithstanding the foregoing, the Indemnified Parties may, at their option and

expense, participate in the defense or settlement of any claim, action, suit or proceeding covered by this Section 8.

9. **Publicity.** Neither Party will use the other Party's name, logo, trademarks or service marks in any advertising, publicity releases, or any other materials without that Party's prior written approval.
10. **Assignment.** Neither party will assign this Agreement or otherwise transfer, subcontract or delegate any of its rights and/or obligations hereunder without the prior written consent of the other and any attempt to do so will be void.
11. **Notices.** Any notice or other communication required or which may be given hereunder will be in writing and either delivered personally or mailed, by certified or registered mail, postage prepaid, or sent via facsimile or email, and will be deemed given when so delivered personally or if sent via facsimile, to a facsimile number designated below with receipt thereof confirmed electronically, or if mailed, 72 hours after the time of mailing as follows:

If to Consultant:

Mercury Public Affairs LLC
509 Guisando de Avila, Suite 100
Tampa, Florida 33613
United State of America
Attention: Bibi Rahim
Telephone: 813-908-1380
Fax: 813-969-0368
Email: DASAccounting@mercuryllc.com

If to Client:

CRRC MA Corporation
108 Myrtle St
Quincy MA 02171 USA
Attention: Ms. Shopping Sun
Telephone: _____
Email: sun.shopping@crrcma.com

Either party may change the persons and address to which notices or other communications are to be sent to it by giving written notice of any such change in the manner provided herein for giving notice.

12. Governing Law. This Agreement will be governed by and construed in accordance with the Laws of the State of New York, United States of America applicable to agreements negotiated, executed and performed entirely within the State of New York, United States of America without regard to its conflicts of laws rules and both Parties submit to the exclusive personal jurisdiction of the state and federal courts in New York County, NY, and waive any claim of forum non conveniens and objection to venue in said courts, .

13. Intentionally Reserved.

14. Applicable Law—Dispute Resolution. This Agreement is governed exclusively by the law of the State of New York and the United States of America, as applicable.

In the event of any dispute between the parties to this Agreement concerning the terms of this Agreement or matters related thereto, the parties will first attempt as a condition precedent to further action to settle and resolve said dispute amicably and by agreement within thirty (30) days of a receipt of notice of a dispute by one party to the other party. Each party shall deal in good faith through representatives authorized and empowered to resolve the dispute.

In the event that said dispute cannot be settled and resolved amicably as set out above, said dispute shall be resolved exclusively and finally through arbitration as set out in this paragraph 14 and under the following terms and conditions:

- a. All disputes arising out of or in connection with this Agreement shall be finally settled and resolved under the Rules of Arbitration of the International Chamber of Commerce as are at present in force.
- b. Three arbitrators shall be appointed in accordance with said Rules.
- c. The arbitration shall take place in New York City, NY , unless otherwise agreed to in writing by both parties to this Agreement.
- d. The language of the arbitration shall be English.
- e. Each party shall produce documents originally drafted in English without translation. Any document drafted in a language other than English must be translated into English, properly certified as accurate, with said translation attached to the original document.
- f. All findings, comments, orders, and the arbitration decision and award itself, in addition to all documents and communications of every sort used in the arbitration shall be in English.
- g. The arbitrators may award compensatory damages under the terms of this Agreement, but in no event shall the arbitrators award special, consequential, or punitive damages.
- h. Each party shall initially bear its own expenses, including all costs and attorney's fees, in connection with presenting its case for arbitration, and the parties shall

share equally in the costs and expenses of the arbitration process itself, including, but not limited to, the cost of the arbitrators. However, in the final award, the arbitral tribunal as described herein shall set and fix the costs of the arbitration and shall decide which party or parties shall bear and pay the costs and in what proportions.

- i. Each party irrevocably waives any right it has or may have to a jury trial concerning any dispute concerning this Agreement.

15. General.

- a. No amendments or modifications shall be binding upon either party unless made in writing and signed by both parties.
- b. This Agreement constitutes the entire agreement between the parties and supersedes all previous agreements, promises, proposals, representations, understandings, and negotiations, whether written or oral, between the parties respecting the subject matter hereof.
- c. In the event any one or more of the provisions of this Agreement shall for any reason be held to be invalid, illegal or unenforceable, the remaining provisions of this Agreement will be unimpaired, and the invalid, illegal or unenforceable provision will be replaced by a provision which, being valid, legal and enforceable, comes closest to the intention of the parties underlying the invalid, illegal, or unenforceable provision.
- d. This Agreement may be executed in one or more counterparts, each of which shall be deemed to be an original, but all of which together will constitute one and the same instrument.
- e. The parties hereto agree to perform any further acts and to execute and deliver any further documents which may be reasonably necessary or appropriate to carry out the purposes of this Agreement.
- f. The section headings contained in this Agreement are inserted for convenience of reference only and will not affect the meaning or interpretation of this Agreement.
- g. Notwithstanding any provision to the contrary in this Agreement, in no event will Consultant be liable to Client (whether for damages, indemnification or any other claim) for an amount greater than the amount of compensation (and not reimbursement for expenses) actually paid to Consultant by Client for the Services.

SCHEDULE 1

Services

Mercury Public Affairs, LLC will provide strategic consulting and management services ("Services") specific to issues facing the Client in the following areas:

- ❖ Introduce the client to key Agency, Executive & Legislative staff as well as third-party validators
- ❖ Engage officials and decision makers on behalf of Client
- ❖ Provide strategic advice to Client on messaging and corporate positioning
- ❖ Update Client with all of Consultant activities under this Agreement on a regular basis, at least bi-weekly
- ❖ Coordinate and attend meetings between CRRC MA representatives and officials and staffs; furnish office space and logistic support during New York visits
- ❖ Have regular meeting with Client, at least bi-weekly

Consultant and Client will comply with the provisions of all federal, state and local laws, regulations, and requirements pertaining to the performance of Services under this contract.

SCHEDULE 2

Compensation and Expense

For Services identified in Schedule One, Client will pay Mercury Public Affairs, LLC the following fees in U.S. dollars (\$):

❖ \$25,000.00 per month

Consultant will not perform Services until (i) this Agreement is duly signed and executed by Client and delivered to Consultant, and (ii) an initial payment of \$25,000.00 has been received by Consultant to be applied to the first month's billings and compliance fee. Thereafter a payment of \$25,000.00 in fees shall be due on February 10, 2019, with a like payment being due and payable monthly thereafter per the terms of paragraph 2 of the Agreement. Payments shall be made by wire transfers to Consultant's bank per attached Schedule 4.

In addition, Client will pay and reimburse Consultant for all reasonable business expense actually incurred and properly documented in providing the Services, said expense to be billed monthly along with fees. Any expenses over \$500 will be incurred only with the prior consent of Client.

Client will pay and reimburse Consultant for all filing fees, and expenses paid or incurred by Consultant related to compliance requirements in any jurisdiction.

In addition, Client will pay all polling expenses and any media/advertising expenses, including both production and placement. Said expense will only be incurred with the prior approval of Client, and will be billed monthly along with fees and other expenses.

In the event of large or extraordinary expense, which expense must be approved in advance and in writing by Client, Consultant may require Client to pay said expense directly or in advance.

SCHEDULE 3

Contact Information

CRRC MA Corporation

108 Myrtle St

Quincy MA 02171 USA

Attention: Ms. Shopping Sun

Telephone: _____

Email: sun.shopping@crrcma.com

SCHEDULE 4

Bank information for payments

Below please find our payment instructions for receipt of wires, ACHs or book transfers. The information is as follows:

Account Name: [REDACTED]

Account Number: [REDACTED]

ABA Number: [REDACTED]

or

SWIFT Code/BIC: [REDACTED]

Bank: [REDACTED]

Bank Address: [REDACTED]
[REDACTED]

Bank Contact: [REDACTED]

Phone [REDACTED]

Reference: Please include invoice numbers being paid

Email payment details to: [REDACTED]