

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**For 6 Month Period Ending 09/30/2023  
(Insert date)**I - REGISTRANT**

1. (a) Name of Registrant  
Alignment Government Strategies
- (b) Registration Number  
6317
- (c) Primary Business Address  
601 Pennsylvania Avenue, NW, South Building, Suite 430, Washington, DC 20004

## 2. Has there been a change in the information previously furnished in connection with the following?

## (a) If an individual:

(1) Residence address(es) Yes  No (2) Citizenship Yes  No (3) Occupation Yes  No 

## (b) If an organization:

(1) Name Yes  No (2) Ownership or control Yes  No (3) Branch offices Yes  No 

## (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSES TO ITEMS 3, 4, 5, AND 6.**3. If the registrant previously filed an Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period. Yes  No If yes, has the registrant filed an updated Exhibit C? Yes  No 

If no, please file the updated Exhibit C.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

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4. (a) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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(b) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Position	Date Ceased
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5. (a) Has any person named in Item 4(a) rendered services directly in furtherance of the interests of any foreign principal?

Yes  No

If yes, identify each such person and describe the service rendered.

Name	Foreign Principal(s) Represented	Services
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(b) During this 6-month reporting period, did any employee or individual working in any capacity, other than in a clerical or secretarial capacity or in a related or similar capacity, begin rendering services to the registrant directly in furtherance of the interests of any foreign principal(s)?

Yes  No

Name	Foreign Principal	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been previously filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement or submitted with this filing?

Yes  No

If no, list names of persons who have not previously filed the required statement or are not submitting a short form with this filing.

**II - FOREIGN PRINCIPAL**


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7. Has the registrant's connection with any foreign principal(s) ended during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Foreign Principal

Date of Termination

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8. Has the registrant registered for any new foreign principal(s)<sup>2</sup> during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Foreign Principal

Date Registered

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9. In addition to those named in Items 7 and 8, if any, list the foreign principal(s) whom the registrant continued to represent during this 6 month reporting period.

Embassy of Japan

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10. During this 6 month reporting period, has the registrant filed an amendment for changes to Exhibits A<sup>3</sup> and/or B<sup>4</sup> previously filed for any foreign principal(s)? Yes  No

If no, and there is a change to any Exhibit A and/or B, please file an amendment if it is required.

If yes, furnish the following information:

Foreign Principal

Date Amended

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<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

11. During this 6 month reporting period, has the registrant engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

If yes, identify each foreign principal and describe in full detail all activities and services:

Foreign Principal	Activities/Services
Embassy of Japan	Registrant provides advice, and on request representation, to the foreign principal with respect to federal congressional and executive branch activities of interest, including economic policy, U.S.-Japan relations, and Asia-Pacific matters. In the current 6-month reporting period registrant provided insight with respect to the debt limit extension, appropriations and the potential for a government shutdown, the Alkonis case, and prospects for the FAA reauthorization and the Farm Bill. Registrant did not provide representational services during the reporting period.

12. During this 6 month reporting period, has the registrant, on behalf of any foreign principal, engaged in political activity<sup>5</sup> as defined below? Yes  No

If yes, identify each foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures, social media, internet postings, or media broadcasts, give details as to dates, places of delivery, names of speakers and subject matter. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

Set forth below a general description of the registrant's political activities.

Set forth below in the required detail the registrant's political activities.

Foreign Principal	Date	Contact	Method	Purpose
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13. In addition to the above described activities, if any, has the registrant engaged in activity or rendered any services on its own behalf which benefits the registrant's foreign principal(s)? Yes  No

If yes, describe fully.

Foreign Principal	Activities/Services
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<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

**IV - FINANCIAL INFORMATION****14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, has the registrant received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes  No

If no, please explain why no monies were received.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Foreign Principal	Date Received	From Whom	Purpose	Amount	Subtotal
Embassy of Japan	05/05/2023	Embassy of Japan	consulting services	\$14,333.00	
Embassy of Japan	05/31/2023	Embassy of Japan	consulting services	\$13,624.00	
Embassy of Japan	06/21/2023	Embassy of Japan	consulting services	\$13,616.00	
Embassy of Japan	08/01/2023	Embassy of Japan	consulting services	\$13,616.00	
Embassy of Japan	08/28/2023	Embassy of Japan	consulting services	\$13,616.00	
Embassy of Japan	-----	-----	-----	----->	\$68,805.00
				\$	68,805.00
					<u>Total</u>

**(b) RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, has the registrant received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

If yes, has the registrant previously filed an Exhibit D<sup>8</sup> to its registration? Yes  No

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

If no, please file the required Exhibit D.

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes  No

If yes, furnish the following information:

Foreign Principal	Date Received	From Whom	Purpose	Thing of Value
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he/she collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, has the registrant disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement or transmitted monies to any such foreign principal?      Yes       No

If no, explain why no disbursements were made.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Foreign Principal	Date	Recipient	Purpose	Amount	Subtotal
Embassy of Japan	04/20/2023	FARA Registration Unit	FARA supplemental filing fee for PE 03/31/2023	\$ 305.00	
Embassy of Japan	-----	-----	-----	----->	\$ 305.00

\$	305.00
	<u>        </u>
	Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes  No

If yes, furnish the following information:

Foreign Principal	Date	Recipient	Purpose	Thing of Value
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes  No

If yes, furnish the following information:

Date	Donor	Political Organization/Candidate	Method	Amount/Thing of Value
See Appendix for Response				

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

## V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, has the registrant prepared, disseminated or caused to be disseminated any informational materials?<sup>12</sup> Yes  No

(b) If yes, identify each such foreign principal for which informational materials were disseminated and respond to the remaining items in this section.

17. During this 6 month reporting period, has a budget been established or specified sum of money allocated to finance the registrant's activities in preparing or disseminating informational materials? Yes  No

If yes, identify each such foreign principal, and specify the period of time and the amount.

Foreign Principal	Period of Time	Amount
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Total

18. During this 6 month reporting period, has the registrant's activities in preparing, disseminating or causing the dissemination of informational materials included the use of any of the following:

- |  |   |   |  |
|--|---|---|--|
| <input type="checkbox"/> Magazine or newspaper               | <input type="checkbox"/> Advertising campaigns  | <input type="checkbox"/> Press releases       | <input type="checkbox"/> Pamphlets or other publications |
| <input type="checkbox"/> Lectures or speeches                | <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Motion picture films | <input type="checkbox"/> Letters or telegrams            |
| <input type="checkbox"/> Email                               |   |   |  |
| <input type="checkbox"/> Website URL(s): _____               |   |   |  |
| <input type="checkbox"/> Social media websites URL(s): _____ |   |   |  |
| <input type="checkbox"/> Other ( <i>specify</i> ) _____      |   |   |  |

19. During this 6 month reporting period, has the registrant prepared, disseminated, or caused to be disseminated informational materials among any of the following groups:

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Public officials               | <input type="checkbox"/> Newspapers                   | <input type="checkbox"/> Libraries                |
| <input type="checkbox"/> Legislators                    | <input type="checkbox"/> Editors                      | <input type="checkbox"/> Educational institutions |
| <input type="checkbox"/> Government agencies            | <input type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups       |
| <input type="checkbox"/> Other ( <i>specify</i> ) _____ |   |   |

20. Indicate the language used in the informational materials:

- English  Other (*specify*) \_\_\_\_\_

21. Has the registrant filed with the FARA Unit, U.S. Department of Justice a copy of each item of such informational materials prepared, disseminated or caused to be disseminated during this 6 month reporting period? Yes  No

If no, please file the required informational materials.

22. Has the registrant labeled each item of such informational materials with the statement required by Section 4(b) of the Act? Yes  No

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

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**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature <sup>13</sup>
10/30/2023	Bertram Carp	/s/Bertram Carp
_____	_____	_____
_____	_____	_____
_____	_____	_____

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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

**EXECUTION**

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

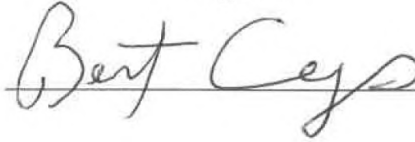
Date

Printed Name

Signature

10/30/2023

Bertram Carp



## Appendix

### Response to Item 15(c)

**Item 15(c): DISBURSEMENTS-POLITICAL CONTRIBUTIONS:** During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? If yes, furnish the following information:

Date	Donor	Political Organization/Candidate	Method	Amount/ Thing of Value
04/07/2023	David Rudd	Friends of Mark Warner	credit card	\$ 120.00
04/08/2023	David Rudd	Cantwell for Senate	credit card	\$ 100.00
04/13/2023	Bert Carp	Bob Casey for Senate	credit card	\$ 1,320.00
04/20/2023	Leo Jardot	Sorensen for Illinois	credit card	\$ 500.00
04/21/2023	Bert Carp	Hoyer for Congress	credit card	\$ 1,000.00
04/27/2023	Tracy Taylor	Garret Graves for Congress	credit card	\$ 1,000.00
04/27/2023	Tracy Taylor	Graves for Congress	credit card	\$ 500.00
05/07/2023	David Rudd	Friends of Mark Warner	credit card	\$ 120.00
05/08/2023	David Rudd	Cantwell for Senate	credit card	\$ 100.00
05/09/2023	Leo Jardot	Vasquez for Congress	credit card	\$ 500.00
05/15/2023	David Rudd	Cantwell for Senate	credit card	\$ 1,000.00
05/15/2023	Leo Jardot	Beatty for Congress	credit card	\$ 500.00
05/15/2023	Michael Beer	Max Miller for Congress	debit card	\$ 500.00
05/16/2023	Leo Jardot	Cole for Congress	credit card	\$ 600.00
05/16/2023	David Rudd	Rosen for Nevada	credit card	\$ 1,000.00
05/16/2023	Jennifer DiJames	Joyce Beatty for Congress	credit card	\$ 2,500.00
05/17/2023	Jennifer DiJames	Tammy Baldwin for Congress	credit card	\$ 500.00
05/31/2023	David Rudd	Rosen for Nevada	credit card	\$ 1,000.00
05/31/2023	Leo Jardot	Susie Lee for Congress	credit card	\$ 500.00
05/31/2023	Michael Beer	Garret Graves for Congress	debit card	\$ 1,000.00
05/31/2023	Michael Beer	Aric Nesbitt For State Senate	debit card	\$ 100.00
06/01/2023	Jennifer DiJames	Mazie Hirono for Senate	credit card	\$ 500.00
06/07/2023	David Rudd	Friends of Mark Warner	credit card	\$ 120.00
06/08/2023	David Rudd	Cantwell for Senate	credit card	\$ 100.00
06/14/2023	David Rudd	Cantwell for Senate	credit card	\$ 1,000.00
06/14/2023	Leo Jardot	Cantwell for Senate	credit card	\$ 500.00
06/14/2023	Leo Jardot	Mrvan for Congress	credit card	\$ 500.00
06/14/2023	Jennifer DiJames	Mary Peltola for Congress	credit card	\$ 500.00
06/20/2023	Leo Jardot	Caraveo for Congress	credit card	\$ 500.00
06/20/2023	Jennifer DiJames	Emilia Sykes for Congress	credit card	\$ 500.00
06/20/2023	Michael Beer	Balderson for Congress	debit card	\$ 1,500.00
06/21/2023	Leo Jardot	Blunt Rochester for Senate	credit card	\$ 100.00
06/22/2023	Jennifer DiJames	Nikki Budinski for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Susie Lee for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Marcy Kaptur for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Sharice Davids for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Mary Peltola for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Susan Wild for Congress	credit card	\$ 166.67

Date	Donor	Political Organization/Candidate	Method	Amount/ Thing of Value
06/22/2023	Jennifer DiJames	Angie Craig for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Andrea Salinas for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Marie Glusenkamp Perez for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Jahana Hayes for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Abigail Spanberger for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Kim Schrier for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Yadira Caraveo for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Hillary Scholten for Congress	credit card	\$ 166.67
06/22/2023	Jennifer DiJames	Emilia Sykes for Congress	credit card	\$ 166.67
06/27/2023	Michael Beer	Demetriou for Ohio	debit card	\$ 250.00
06/27/2023	Michael Beer	Protect the House 2024	debit card	\$ 1,500.00
06/27/2023	Michael Beer	Kevin McCarthy for Congress	debit card	\$ 1,500.00
06/28/2023	Tracy Taylor	Bilirakis for Congress	credit card	\$ 500.00
06/28/2023	Michael Beer	Moolenaar for Congress	debit card	\$ 1,000.00
06/28/2023	Michael Beer	Nancy Mace for Congress	debit card	\$ 500.00
06/28/2023	Michael Beer	Biggs for Congress	debit card	\$ 500.00
06/28/2023	Tracy Taylor	Webster for Congress	credit card	\$ 500.00
07/06/2023	Michael Beer	Balderson for Congress	debit card	\$ 1,500.00
07/07/2023	David Rudd	Friends of Mark Warner	credit card	\$ 120.00
07/08/2023	David Rudd	Cantwell for Senate	credit card	\$ 100.00
07/18/2023	Jennifer DiJames	Elissa Slotkin for Michigan	credit card	\$ 500.00
07/24/2023	Bert Carp	MONTANANS FOR TESTER	credit card	\$ 1,000.00
07/24/2023	Leo Jardot	Susan Wild for Congress	credit card	\$ 500.00
07/25/2023	Michael Beer	Vance Victory	debit card	\$ 500.00
08/07/2023	David Rudd	Friends of Mark Warner	credit card	\$ 120.00
08/14/2023	Bert Carp	Brooke Lierman for Baltimore	credit card	\$ 1,000.00
08/15/2023	Rebecca Anderson	Asa For America, Inc.	credit card	\$ 50.00
08/29/2023	Jennifer DiJames	TERRI PAC	credit card	\$ 1,500.00
09/02/2023	David Rudd	Dorothy Dowe	credit card	\$ 100.00
09/07/2023	David Rudd	Friends of Mark Warner	credit card	\$ 120.00
09/13/2023	Bert Carp	Casey Keystone Victory Fund	credit card	\$ 1,000.00
09/14/2023	David Rudd	KAINE FOR VIRGINIA	credit card	\$ 1,000.00
09/18/2023	David Rudd	ELISSA SLOTKIN FOR MICHIGAN	credit card	\$ 1,000.00
09/20/2023	David Rudd	MONTANANS FOR TESTER	credit card	\$ 1,000.00
09/21/2023	Bert Carp	Alsobrooks for Senate	credit card	\$ 1,000.00
09/25/2023	Leo Jardot	Emilia Sykes for Congress	credit card	\$ 500.00
09/25/2023	David Rudd	Cantwell for Senate	credit card	\$ 700.00
09/25/2023	Michael Beer	Bob Good for Congress	debit card	\$ 250.00
09/27/2023	Michael Beer	Friends of Mary Beth Carozza	check	\$ 250.00
09/27/2023	Michael Beer	Friends of Nick Santucci	check	\$ 250.00
09/27/2023	Michael Beer	imPACT	check	\$ 500.00
09/27/2023	Michael Beer	Ohio Republican Party	check	\$ 300.00
09/27/2023	Michael Beer	Van Orden for Congress	debit card	\$ 500.00
09/27/2023	Michael Beer	Max Miller for Congress	debit card	\$ 500.00
09/27/2023	Michael Beer	Carey for Congress	debit card	\$ 500.00
09/27/2023	Michael Beer	Self for Congress	debit card	\$ 500.00
09/27/2023	Michael Beer	Ohio Republican Party	in-kind	\$ 200.00
09/27/2023	Leo Jardot	Jimmy Bierman for Supervisor	credit card	\$ 1,000.00

<b>Date</b>	<b>Donor</b>	<b>Political Organization/Candidate</b>	<b>Method</b>	<b>Amount/ Thing of Value</b>
09/30/2023	Jennifer DiJames	Debbie Wasserman Schultz for Congress	credit card	\$ 2,500.00