

U.S. Department of Justice
Washington, DC 20530

Supplemental Statement
Pursuant to the Foreign Agents Registration Act of 1938, as amended

For Six Month Period Ending 11/30/2016

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No.
- Emanuel "Mike" Manatos 6353
- (c) Business Address(es) of Registrant
1100 New Hampshire Avenue NW

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

- (1) Residence address(es) Yes No
- (2) Citizenship Yes No
- (3) Occupation Yes No

(b) If an organization:

- (1) Name Yes No
- (2) Ownership or control Yes No
- (3) Branch offices Yes No

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes No

If yes, have you filed an amendment to the Exhibit C? Yes No

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes No

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes No

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes No

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes No

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

VTB Bank (VTB Capital/VTB Group)

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes No

Exhibit B⁴ Yes No

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes No

If yes, have you filed an amendment to these exhibits? Yes No

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, identify each foreign principal and describe in full detail your activities and services:

VTB Bank (VTB Capital/VTB Group): The registrant provided consultation to and helped arrange introductory meetings for the President and Chairman of VTB and the CEO of US Operations of VTB Capital with select US policymakers during a visit to Washington June 21-22, 2016. The registrant composed and sent emails to various staffers and officials requesting and securing such meetings. The registrant composed and sent an email to Brookings policy experts requesting an October meeting, however, the meeting did not take place.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

The registrant arranged introductory meetings between the President and Chairman of VTB Bank and the CEO of US Operations of VTB Capital and US policymakers. These meetings were designed to build a rapport between the Chairman and US policymakers. The meetings enabled discussion of the current state of affairs and the Chairman made himself available to questions about VTB, the state's role in its operations, the efficacy of the sanctions on VTB and VTB's record in Ukraine. The meetings were meant to begin a constructive dialogue.
6/6/16: The registrant emailed HCFA staff, SCFR staff and State Department officials requesting meetings (example of such request provided)

6/15, 6/16, 6/17, 6/20, 6/21: The registrant emailed HCFA staff regarding schedules

6/21/16: The registrant joined introductory meetings with VTB Capital CEO of US Relations and: SFRC Sanctions Specialist Ben Purser, Alex Eblen, John Rader [SCFR Counsel]; SCFR Deputy Minority Staff Director Damian Murphy and Brittany Beaulieu; HFAC Minority Staff Director Jason Steinbaum; HFAC Head of Russia Policy Doug Seay, Kyle Parker, Matthew Zweig, Paul Behrends [Staff Director, Europe Subcomm.], Scott Cullinane

6/22/16: The registrant joined introductory meetings between VTB Chairman and VTB Capital CEO of US Relations and: Dan Fried [State Dept. Coordinator for Sanctions Policy]; HFAC Ranking Member Congressman Eliot Engel and Jason Steinbaum; HFSC Chairman Congressman Jeb Hensarling

6/24/16: The registrant emailed HFAC staff with meeting request

7/5/16: The registrant emailed thank you notes from VTB Chairman (provided)

9/30/16: The registrant emailed Brookings experts Fiona Hill and Clifford Gaddy requesting meeting

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes No

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
6/27/16	VTB Capital	For professional services	\$17,500.00
8/3/16	VTB Capital	For professional services	\$17,500.00
8/25/16	VTB Capital	For professional services	\$17,500.00
9/8/16	VTB Capital	For professional services	\$17,500.00
10/27/16	VTB Capital	For professional services	\$11,666.00
11/25/16	VTB Capital	For professional services	\$17,500.00
			<u>\$99,166.00</u>
			Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, have you filed an Exhibit D⁸ to your registration? Yes No

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes No

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

(2) transmitted monies to any such foreign principal? Yes No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.
Compensation for professional services

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes No

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
5/10/16	\$500.00	Robert Menendez	
6/9/16	\$1,000.00	Fran Person	
7/19/16	\$1,000.00	Eliot Engel	
9/19/16	\$500.00	Gus Billrakis	

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes No

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
 Yes No

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) _____

Electronic Communications

- Email
- Website URL(s): _____
- Social media websites URL(s): _____
- Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) _____

21. What language was used in the informational materials:

- English
- Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes No

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

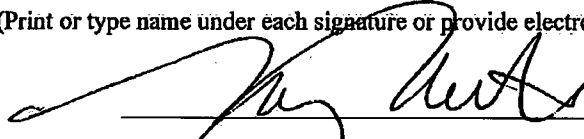
VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

6/20/17

(Print or type name under each signature or provide electronic signature¹³)


Alice Marston

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

From: Mike Manatos Mike.Manatos@Manatos.com
Subject: meeting request - June 22
Date: June 6, 2016 at 5:36 PM
To: Dan Fried FriedD@state.gov

Dan,

I hope this note finds you doing well. Good to be interacting with you again, after so many years, in your new capacity.

My father and I saw you from afar at the swearing-in ceremony of Kathleen Doherty, but were unable to connect with you before you left.

I am hoping I can find some time on your schedule on Wednesday, June 22 to bring by to introduce to you the President and Chairman of VTB Bank, Andrey Kostin. As you likely know, VTB is a global provider of financial services operating across all key areas of the financial markets. It is also the second-largest bank in Russia. As you know, VTB is currently on the US sanctions list as part of the US commitment to seek a diplomatic resolution to the crisis in Ukraine.

Mr. Kostin would like the opportunity to meet with you and discuss the current state of affairs. He is happy to answer any questions you might have about VTB, including the state's role in its operations, the efficacy of the sanctions on VTB, and VTB's record in Ukraine.

Simply, he would like to begin a constructive dialogue so that when sanctions are eventually lifted, VTB can become a trusted institution in the United States. I think you will find him interesting and engaging. His insights and perspectives might be unexpected.

Please let me know if you or your staff need more information. I hope to see you on June 22.

Sincerely,

Mike

Mike Manatos
Senior Vice President, Manatos & Manatos
1100 New Hampshire Ave, NW, WDC 20037
D: 202-393-0091, C: 202-441-3979
Mike.Manatos@Manatos.com

VTB MEETINGS

Tuesday, June 21 – *Paul Swigart, CEO of VTB Capital, Inc.*

- 10:00 am Senate Foreign Relations Committee Majority Staff Sanctions Specialist Ben Purser
[423 Dirksen Senate Office Building]
- 2:00 pm Senate Foreign Relations Committee Deputy Minority Staff Director Damian Murphy and
Brittany Beaulieu [423 Dirksen]
- 3:00 pm House Foreign Affairs Committee Minority Staff Director Jason Steinbaum
[B-360 Rayburn]
- 4:00 pm House Foreign Affairs Committee Head of Russia Policy Doug Seay
[2170 Rayburn]

Wednesday, June 22 – *Andrey Kostin, President & Chairman, VTB Bank*

- 12:00 noon Meeting with State Department Coordinator for Sanctions Policy Dan Fried
[Department of State]
- 2:00 pm House Foreign Affairs Committee Ranking Member Eliot Engel
[2462 Rayburn House Office Building]
- 3:00 pm House Financial Services Committee Chairman Jeb Hensarling
[2228 Rayburn House Office Building]



Chairman and CEO

01.07.2016. № 51/004000

The Honorable Daniel Fried
Coordinator for Sanctions Policy
US Department of State
2201 C Street, NW
Washington, DC 20500

Dear Ambassador Fried,

I want to thank you for taking the time to meet with me last week at your office in Washington, DC. I appreciated our candid discussion and the opportunity to talk about my bank, VTB. I hope to be able to continue the dialogue with you.

Should you ever have any questions about our bank, our role in the global economy, Russia, or any other matter, do not hesitate to ask. If VTB can ever be helpful to you, please reach out. We would be happy to assist.

Again, thank you. I hope we will have the chance to meet again. It was truly a pleasure.

Yours sincerely,



Andrey Kostin

JSC VTB Bank
Vorontsovskaya Str., 43
Moscow, 109044
Russia

Phone:
8 (800) 200-77-99
Fax: +7 (495) 258-47-81
Telex: 412362 BFTR RU

SWIFT: VTBRRUMM
E-mail: info@vtb.ru



Chairman and CEO

01.07.2016. № 50/004000

The Honorable Eliot Engel
United States House of Representatives
2462 Rayburn House Office Building
Washington, DC 20515

Dear Congressman Engel,

I want to thank you for taking the time to meet with me in Washington, DC, last week. I appreciated the opportunity to talk about my bank, VTB, and enjoyed our conversation. I hope to be able to continue the dialogue with you.

Should you ever have any questions about our bank, our role in the global economy, Russia, or any other matter, do not hesitate to ask. If VTB can ever be helpful to you, please reach out. We would be happy to assist.

Again, thank you. I hope we will have the chance to meet again. It was truly a pleasure.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Andrey Kostin', written over a white background.

Andrey Kostin

JSC VTB Bank
Vorontsovskaya Str., 43
Moscow, 109044
Russia

Phone:
8 (800) 200-77-99
Fax: +7 (495) 258-47-81
Telex: 412362 BFTR RU

SWIFT: VTBRRUMM
E-mail: info@vtb.ru

From: Mike Manatos mike.manatos@gmail.com
Subject: Re: Meeting October 6 or 7?
Date: October 1, 2016 at 8:48 AM
To: Fiona Hill fhill@brookings.edu
Cc: Clifford Gaddy CGADDY@brookings.edu, Vassilis Coutifaris vcoutifaris@brookings.edu

Fiona,

Thank you for your prompt reply. Sorry to miss this opportunity. Perhaps on his next visit.

best,
Mike

On Sep 30, 2016, at 8:09 PM, Fiona Hill <fhill@brookings.edu> wrote:

Thank you very much for this invitation Mike. I will actually be out of town next week and sadly not able to meet.

My apologies,

Fiona

Dr. Fiona Hill
Senior Fellow and Director
Center on the United States and Europe
The Brookings Institution
1775 Massachusetts Avenue, NW
Washington DC 20036
Tel: (202) 797 6312
Cell: (202) 375 1222
Email: fhill@brookings.edu
Web: www.brookings.edu/experts/hillf

From: Mike Manatos [<mailto:mike.manatos@gmail.com>]
Sent: Friday, September 30, 2016 6:23 PM
To: Fiona Hill <fhill@brookings.edu>; Clifford Gaddy <CGADDY@brookings.edu>
Subject: Meeting October 6 or 7?

Dear Dr. Hill and Dr. Gaddy,

My good friend Bill Antholis suggested I reach out to you with this meeting request.

The President and Chairman of VTB Bank, Andrey Kostin, will be in Washington, DC next week for IMF meetings. As you likely know, VTB is a global provider of financial services operating across all key areas of the financial markets. It is also the second-largest bank in Russia. VTB is currently on the US sanctions list as part of the US commitment to seek a diplomatic resolution to the crisis in Ukraine.

Mr. Kostin would like the opportunity to meet with you and get your thoughts on the current state of affairs. He understands that the US has significant concerns with Russia, including Russian banks, and he's trying to understand what the pathways forward might be. He is happy to answer any questions you might have about VTB, including the state's role in its operations, the efficacy of the sanctions on VTB, and VTB's record in Ukraine.

Please let us know if you might have some time to meet with Mr. Kostin on October 6, or possibly October 7.

Sincerely,

Mike Manatos
Senior Vice President, Manatos & Manatos
1100 New Hampshire Ave, NW, WDC 20037
D: 202-393-0091, C: 202-441-3979
Mike.Manatos@Manatos.com