

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 9/30/2017

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Bolton-St. Johns LLC

6413

(c) Business Address(es) of Registrant

146 State Street
Albany, NY

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

N/A

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal

Province of Ontario, Canada

Date of Termination

September 16, 2017 (per contract)

Office of the Minister of Economy, Science, and Innovation, Government of
Quebec, Canada

September 30, 2017 (per contract)

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

NA

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐

Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☒

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

For both the Province of Ontario and the Office of the Minister of Economy, Science, and Innovation, Government of Quebec, the registrant has engaged in legislative and administrative outreach and monitoring in New York State regarding trade issues between each foreign principal and the State of New York, including "Buy American" legislation, and any impact such legislation may have on the foreign principals.

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

See attached.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
See attached.			

\$69,150.00

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐
- (2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
See attached.			

\$9,106.99

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒No ☐

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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See attached.

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes ☒ No ☐

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☒ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

The Province of Ontario and the Office of the Minister of Economy, Science, and Innovation, Government of Quebec

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

- ☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☒ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☒

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐ No ☒

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)November 02, 2017/s/ Emily GiskeeSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

BSJ Supplemental Statement
Attachment Q.12

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity³ as defined below?

Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Ontario

Date	Description	Name and Title	Issue
3-21-17	Meeting	NY State Senator Elizabeth Little	To discuss Buy American legislation
3-21-17	Meeting	Dan MacEntee, Chief of Staff/Communications Dir., Office of Sen. Little	To discuss Buy American legislation
3-21-17	Meeting	NY State Senator Patricia Ritchie	To discuss Buy American legislation
3-21-17	Meeting	Sarah Compo, Chief of Staff to Sen. Ritchie	To discuss Buy American legislation
3-21-17	Meeting	Bill Murrow, Secretary to the Governor	To discuss Buy American legislation
3-21-17	Meeting	Office of the Governor (NY)	To discuss Buy American legislation
3-21-17	Meeting	Robert Mujica, Budget Director	To discuss Buy American legislation
3-21-17	Meeting	Office of the Governor (NY)	To discuss Buy American legislation
3-21-17	Meeting	Nick Weatherbee, Legislative Director	To discuss Buy American legislation
3-21-17	Meeting	Office of NY Assemblyman Joe Morelle	To discuss Buy American legislation
3-29-17	Email	Dan MacEntee, Chief of Staff/Communications Dir., Office of NY State Senator Elizabeth Little	To discuss Buy American legislation
3-29-17	Meeting	NY State Senator Elizabeth Little	To discuss Buy American legislation
3-29-17	Meeting	Dan MacEntee, Chief of Staff/Communications Dir., Office of Sen. Little	To discuss Buy American legislation
3-29-17	Meeting	NY State Assembly Member Addie Jenne	To discuss Buy American legislation
3-29-17	Meeting	NY State Senator Elizabeth Little	To discuss Buy American legislation
3-29-17	Meeting	Dan MacEntee, Chief of Staff/Communications Director to Sen. Little	To discuss Buy American legislation
3-29-17	Meeting	NY State Senator Patricia Ritchie	To discuss Buy American legislation
3-29-17	Meeting	Sarah Compo, Chief of Staff to Sen. Ritchie	To discuss Buy American legislation
3-30-17	Meeting	NY State Senator Elizabeth Little	To discuss Buy American legislation
3-30-17	Meeting	Dan MacEntee, Chief of Staff/Communications Director to Sen. Little	To discuss Buy American legislation
3-30-17	Meeting	NY State Senator Patrick Gallivan	To discuss Buy American legislation
3-30-17	Meeting	NY State Senator Chris Jacobs	To discuss Buy American legislation
3-30-17	Meeting	NY State Senator Joe Griffo	To discuss Buy American legislation
3-30-17	Meeting	NY State Senator Patricia Ritchie	To discuss Buy American legislation
3-30-17	Meeting	Sarah Compo	To discuss Buy American legislation
3-30-17	Meeting	NY State Senator Rich Funke	To discuss Buy American legislation
3-30-17	Meeting	Matt Nelligan, Chief of Staff to Sen. Funke	To discuss Buy American legislation
3-30-17	Meeting	NY State Senator Michael Ranzenhofer	To discuss Buy American legislation
3-31-17	Email	Sarah Compo, Chief of Staff	To discuss Buy American legislation

5-9-17	Email	Office of Senator Patricia Ritchie Pat McMurray, Scheduler	To discuss trade between Ontario and NYS
5-9-17	Email	Office of Senator Patricia Ritchie Connie Groves, Scheduler	To discuss trade between Ontario and NYS
5-26-17	Email	Office of Assemblyman William Magee Laurie Levine, Scheduler	To discuss trade between Ontario and NYS
3-29-17 or 3-30-17	Meeting	NYS Department of Agriculture Robert Mujica	To discuss Buy American legislation
6-13-17	Meeting	Office of the Governor (NY)	
6-13-17	Meeting	Commissioner Richard Ball NY State Department of Agriculture and Markets	To discuss Canadian/U.S. Agricultural issues
6-13-17	Meeting	NY State Assemblyman William Magee	To discuss Canadian/U.S. Agricultural issues
6-20-17	Meeting	NY State Senator Patricia Ritchie	To discuss Canadian/U.S. Agricultural issues
6-20-17	Meeting	NY State Senator Elizabeth Little Dan MacEntee, Chief of Staff/Communications Director to Sen. Little	To discuss Buy American legislation
6-20-17	Meeting	NY State Senator Patricia Ritchie Sarah Compo, Chief of Staff to Sen. Ritchie	To discuss Buy American legislation
6-20-17	Meeting	Robert Mujica, Budget Director Office of the Governor (NY)	To discuss Buy American legislation
6-20-17	Meeting	Nick Weatherbee, Legislative Director Office of NY Assemblyman Joe Morelle	To discuss Buy American legislation

Quebec

Date	Description	Name and Title	Issue
6-20-17	Meeting	NY State Senator Elizabeth Little	To discuss Buy American legislation
6-20-17	Meeting	Dan MacEntee, Chief of Staff/Communications Director to Sen. Little	To discuss Buy American legislation
6-20-17	Meeting	NY State Senator Patricia Ritchie Sarah Compo, Chief of Staff	To discuss Buy American legislation
6-20-17	Meeting	Robert Mujica, Budget Director Office of the Governor (NY)	To discuss Buy American legislation
6-20-17	Meeting	Nick Weatherbee, Legislative Director Office of NY Assemblyman Joe Morelle	To discuss Buy American legislation

BSJ Supplemental Statement
Attachment 14(a)

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

Ontario

Date	From Whom	Purpose	Amount
6/19/2017	Ontario	June 2017 Payment	\$6250.00
6/19/2017	Ontario	March 16 – April 16 Payment	\$12,700.00
6/19/2017	Ontario	April 16 – May 16 Payment	\$12,500.00
6/19/2017	Ontario	May 16 – May 31 Payment	\$6,250.00
8/21/2017	Ontario	July 2017 Payment	\$6,250.00
9/05/2017	Ontario	August 2017 Payment	\$6,250.00
TOTAL			\$50,200.00

Quebec

Date	From Whom	Purpose	Amount
7/24/2017	Quebec	June 2017 Payment	\$6,450.00
7/24/2017	Quebec	July 2017 Payment	\$6,250.00
8/23/2017	Quebec	August 2017 Payment	\$6,250.00
TOTAL			\$18,950.00

TOTAL: \$69,150.00

BSJ Supplemental Statement
Attachment 15(a)15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or

9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Ontario

Date	To Whom	Purpose	Amount
May 2017	Greenberg Traurig, LLP	Legal fees for March 2017	\$1969.00
May 2017	Greenberg Traurig, LLP	Legal fees for April 2017 (includes FARA registration fee)	\$2430.12
June 2017	Greenberg Traurig, LLP	Legal fees for May 2017	\$204.88
	NYS JCOPE	Registration fee	\$200.00
September 2017	Greenberg Traurig, LLP	Legal fees for August 2017	\$57.75
October 2017	Greenberg Traurig, LLP	Legal fees for September 2017	\$254.50
			TOTAL: \$5116.25

Quebec

Date	To Whom	Purpose	Amount
May 2017	Greenberg Traurig, LLP	Legal fees for April 2017	\$708.37
June 2017 June 2017	Greenberg Traurig, LLP	FARA-related legal fees for May 2017	\$614.62
	NYS JCOPE	Registration fee	\$200.00
July 2017	Greenberg Traurig, LLP	Legal fees for June 2017 (includes FARA registration fee)	\$2155.50
September 2017	Greenberg Traurig, LLP	Legal fees for August 2017	\$57.75
October 2017	Greenberg Traurig, LLP	Legal fees for September 2017	\$254.50
			TOTAL: \$3990.74

TOTAL: 9,106.99

BSJ Supplemental Statement

Attachment 15(c) Disbursements – Political Contributions

(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒No ☐

BSJ, LLC Giving

DATE	AMOUNT	COMMITTEE
3/1/17	\$500	Assemblyman Joe Morelle
3/6/17	\$500	Mike Ranzenhofer for State Senate
3/6/17	\$500	Kathy Marchione for Senate
3/6/17	\$500	DiNapoli (NYS Comptroller Thomas P. DiNapoli)
3/7/17	\$1,000	SRCC (Republican Senate Campaign Committee)
3/13/17	\$500	Valendez for Senate
3/20/17	\$500	Marisol Alcantara
3/22/17	\$2,000	Jeff Klein for New York
3/28/17	\$1,500	Citizens for Hannon
4/6/17	\$2,500	Andrew Cuomo
4/18/17	\$1,000	Democratic Organization of Queen City
5/11/17	\$1,500	SRCC (Senate Republican Campaign Committee)
5/18/17	\$1,000	Gillibrand for Senate
5/30/17	\$250	Friends of Shelley Mayer
6/6/17	\$700	Friends of Tom Croci
6/7/17	\$500	Tony Avella for New York
6/13/17	\$1,000	DC Initiative
6/27/17	\$1,000	Jeff Klein for New York
6/28/17	\$300	Saul Weprin Democratic Club
7/25/17	\$1,000	Friends of Golden
7/25/17	\$6,000	SRCC (Senate Republican Campaign Committee)
8/7/17	\$700	Mike Ranzenhofer for State Senate
8/15/17	\$1,000	SRCC (Senate Republican Campaign Committee)
8/18/17	\$1,400	Pam Helming for NYS Senate
8/21/17	\$1,000	Citizens for DeFrancisco
9/5/17	\$1,300	Elaine Phillips for Senate

Emily Giske

DATE	AMOUNT	COMMITTEE
4/24/17	\$500	Maloney for Congress
4/25/17	\$250	Friends of Didi Barrett for Assembly
5/10/17	\$250	Viverito NY
6/16/17	\$250	L PAC
6/25/17	\$250	Friends of Carl Heastie
8/14/17	\$250	Carlina for Council
8/14/17	\$250	Robert J Rodriguez, NYC Council
8/14/17	\$250	Friends of JB

Julian Kline

DATE	AMOUNT	COMMITTEE
3/29/17	\$50	Carlos 2017
5/8/17	\$100	Viverito NY
5/17/17	\$50	Hoylman for Senate
4/26/17	\$50	Richards 2017
4/5/17	\$50	Corey 2017
8/14/17	\$50	Moya 2017
8/17/17	\$50	Friends of Daneek Miller 2017
8/25/17	\$60	De Blasio 2017

Julie Jursik

DATE	AMOUNT	COMMITTEE
5/10/17	\$15	Amanda Farias, NYC Council
9/19/17	\$25	Stringer 2017