

U.S. Department of Justice
 Washington, DC 20530

Exhibit A to Registration Statement
Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at <https://www.fara.gov>.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: <https://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <https://www.fara.gov>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .22 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant Mr. Daniel P Dozier	2. Registration Number 6866
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3. Primary Address of Registrant
 205 N Michigan Ave., Suite 3750, Chicago, IL 60601

4. Name of Foreign Principal Business France	5. Address of Foreign Principal 77 Boulevard Saint-Jacques Paris FRANCE 75014
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6. Country/Region Represented
 FRANCE

7. Indicate whether the foreign principal is one of the following:

Government of a foreign country¹

Foreign political party

Foreign or domestic organization: If either, check one of the following:

<input type="checkbox"/> Partnership	<input type="checkbox"/> Committee
<input type="checkbox"/> Corporation	<input type="checkbox"/> Voluntary group
<input type="checkbox"/> Association	<input type="checkbox"/> Other (<i>specify</i>) _____

Individual-State nationality _____

8. If the foreign principal is a foreign government, state:

a) Branch or agency represented by the registrant
 Business France North America

b) Name and title of official with whom registrant engages
 Arnaud Leretour, Executive Director, Business France North America

¹ "Government of a foreign country," as defined in Section 1(e) of the Act, includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign de facto or de jure authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States.

9. If the foreign principal is a foreign political party, state:

- a) Name and title of official with whom registrant engages

- b) Aim, mission or objective of foreign political party

10. If the foreign principal is not a foreign government or a foreign political party:

a) State the nature of the business or activity of this foreign principal.

b) Is this foreign principal:

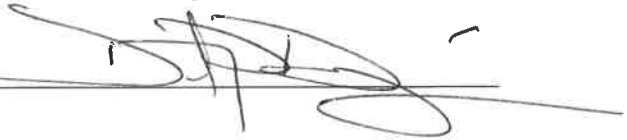
- | | | |
|---|------------------------------|-----------------------------|
| Supervised by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| Owned by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| Directed by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| Controlled by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| Financed by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| Subsidized in part by a foreign government, foreign political party, or other foreign principal | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

11. Explain fully all items answered "Yes" in Item 10(b).

12. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign political party or other foreign principal, state who owns and controls it.

EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature
July 10, 2020	Daniel P. DOZIER	

U.S. Department of Justice
Washington, DC 20530

**Exhibit B to Registration Statement
Pursuant to the Foreign Agents Registration Act of
1938, as amended**

INSTRUCTIONS. A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at <https://www.fara.gov>.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: <https://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <https://www.fara.gov>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .32 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant Mr. Daniel P Dozier	2. Registration Number 6866
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3. Name of Foreign Principal
Business France

Check Appropriate Box:

- 4. The agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach a copy of the contract to this exhibit.
- 5. There is no formal written contract between the registrant and the foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach a copy of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.
- 6. The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and expenses, if any, to be received.

7. What is the date of the contract or agreement with the foreign principal? 03/09/2020

8. Describe fully the nature and method of performance of the above indicated agreement or understanding.

As director of business development for the French governmental agency supporting the international development of the French economy, responsible for fostering export growth by French businesses, as well as promoting and facilitating international investment in France, I work with US companies exploring international expansion and encourage them to consider France. Thus promoting international trade between France and the United States.

9. Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

Promoting France as a location for international business expansion among US companies throughout the Midwest from the heavy manufacturing and light manufacturing industrial sectors. Through outreach to companies throughout the Midwest region of the United States, I share the opportunities for businesses to invest in France and thus gain access to the European market, supporting the creation of thousands of jobs in France and the United States.

10. Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act¹.

Yes No

If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

See Appendix for Response

11. Prior to the date of registration² for this foreign principal has the registrant engaged in any registrable activities, such as political activities, for this foreign principal?

Yes No

If yes, describe in full detail all such activities. The response should include, among other things, the relations, interests, and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored, or delivered speeches, lectures, social media, internet postings, or media broadcasts, give details as to dates, places of delivery, names of speakers, and subject matter. The response must also include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

Set forth below a general description of the registrant's activities, including political activities.

Set forth below in the required detail the registrant's political activities.

Date	Contact	Method	Purpose
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12. During the period beginning 60 days prior to the obligation to register³ for this foreign principal, has the registrant received from the foreign principal, or from any other source, for or in the interests of the foreign principal, any contributions, income, money, or thing of value either as compensation, or for disbursement, or otherwise?

Yes No

If yes, set forth below in the required detail an account of such monies or things of value.

Date Received	From Whom	Purpose	Amount/Thing of Value
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13. During the period beginning 60 days prior to the obligation to register⁴ for this foreign principal, has the registrant disbursed or expended monies in connection with activity on behalf of the foreign principal or transmitted monies to the foreign principal?

Yes No

If yes, set forth below in the required detail and separately an account of such monies, including monies transmitted, if any.

Date	Recipient	Purpose	Amount
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¹ "Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

^{2,3,4} Pursuant to Section 2(a) of the Act, an agent must register within ten days of becoming an agent, and before acting as such.

EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature
09/04/2020	Daniel P. Dozier	/s/Daniel P. Dozier
_____	_____	_____
_____	_____	_____
_____	_____	_____

EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

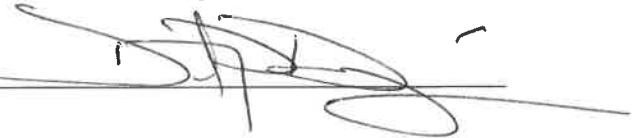
Date

Printed Name

Signature

July 10, 2020

Daniel P. DOZIER



Appendix

Response to Item 10

Item 10: Will the activities on behalf of the above foreign principal include political activities as defined in Section 1(o) of the Act. If yes, describe all such political activities indicating, among other things, the relations, interests or policies to be influenced together with the means to be employed to achieve this purpose. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

According to the letter received from your office, I am engaged in "political activities" within the meaning of FARA. FARA defines "political activities," in pertinent part, as "any activity that the person engaging in believes will or that the person intends to in any way influence . . . any section of the public within the United States with reference to . . . [the] public interests . . . of a government of a foreign country" 22 U.S.C. § 611(o). Here, in "promoting France as a location for international business expansion among US companies," you will be seeking to persuade and influence U.S. companies to invest in France for the benefit of French industry, which is in the public interest of France. Accordingly, you are acting as an agent of Business France, a foreign principal, within the meaning of FARA.



EMBASSY OF FRANCE
BUSINESS FRANCE IN THE UNITED STATES
CHICAGO OFFICE

EMPLOYMENT AGREEMENT

This Employment Agreement (“Agreement”) is subject to the laws of the United States of America¹ which govern the employment relationship between the Employee and the Embassy of France – Business France situated in Chicago, IL.

This Agreement is by and between the Embassy of France – Business France, represented by Arnaud LERETOUR, Executive Director, Business France, North America, and Daniel DOZIER (“Employee”).

It commences on **March 9, 2020** (the “Effective Date” of this Agreement).

Business France is a department of the French Embassy.

This Agreement is written in English and translated into French. Employee shall execute two (2) original documents, one of which shall be kept by Employee. In the event of a conflict concerning the interpretation of the two versions, the English version will prevail.

This Agreement supersedes and replaces any other employment agreement, contract or related document.

¹ The Agreement is also subject to the provisions of the Vienna Convention of April 18, 1961 governing diplomatic relations and its amendments, the Vienna Convention of April 24, 1963 governing consular relations and its amendments, the Agreement on Social Security between the U.S.A. and the French Republic signed on March 2, 1987 and its Administrative Arrangement signed on October 21, 1987, and the Tax Treaty between the U.S.A. and the French Republic signed on August 31, 1994 governing the taxation of income and fortune.



1. Employment

As of the Effective Date, Employee shall be employed full-time by Employer subject to the terms and conditions expressed in this Agreement.

- a. **At-Will.** Nothing herein shall be construed as a guarantee of continued employment of Employee by Business France. Employee's employment with Business France is at all times "at-will" employment. Employee is free to resign at will at any time, with or without cause and with or without advance notice. Similarly, Business France may terminate the employment relationship at will at any time, with or without notice or cause.
- a. **Citizenship.** Employee's citizenship is: american.
- b. **Verification of Work Authorization.** In compliance with the Immigration and Control Act of 1986, employers are required to verify the identity and employment eligibility of all newly hired workers in the United States. Employee is thereby required to provide evidence of employment authorization and identity to his/her employer by the end of the third day of employment. The I-9 Form and required documentation are provided in the Appendix to the present Agreement. (*See Department of Homeland Security, U.S. Citizenship and Immigration Services, Employment Eligibility Verification Instructions, OMB No. 1615-0047*).
- c. **Duties.** Employee's title and position shall be Business Development Director. Employee's duties shall be those customarily performed by an Employee acting in that capacity, and those that may be designated or assigned to him from time to time by the Executive Director, Business France, North America or his representatives.
- d. **Full-Time Employees.** An employee who is regularly scheduled to work at least 38.5 hours per week is referred to as a Full-Time Employee. Employee shall devote his/her full business time to advance Business France's business, interests, and affairs, subject to the direction of the Executive Director, Business France, North America.

Place of Work. The Employee's regular place of work is Business France, **205 North Michigan Avenue, suite 3730, Chicago, IL 60601**. Employee agrees and acknowledges that his or her services may require reasonable travel in connection with Business France's business and Employee's role and responsibilities.

2. Compensation and Related Matters.

- a. **Salary.** As of the Effective Date, Employee's salary ("Salary") shall be 6,250.00 US dollars per month.
- b. **Taxes.** Employee is responsible for filing tax returns and reporting income with the appropriate authorities. Employee is also responsible for complying with the tax and social security regulations that apply to his/her status.

3. Vacation, Leaves of Absence and Benefits

Please refer to Section 4 of the Employee Handbook for a complete description of Business France policy with regard to paid time off, leaves of absence and benefits.

- a. **Vacation.** Employee is entitled to twenty-five (25) business days of paid vacation. Vacation shall, however, be prorated in any Fiscal Year during which Employee is employed under this Agreement for less than the entire Fiscal Year, in accordance with the number of days in that Fiscal Year during which Employee is so employed.
- b. **Sick Leave.** Employee is entitled to 30 days' sick leave at full pay and 30 additional days' sick leave at one-half of the Employee's pay. After one year of employment, Employee is entitled to 60 days' sick leave at full pay and 30 additional days' sick leave at one-half of the Employee's pay. Business France reserves the right to require a certificate, signed by a health care provider that states the necessity of the leave. Sick leave benefits cannot be applied as extra vacation, and are not accrued or carried over from year to year.
- c. **Paid Maternity Leave.** Employee will receive 16 weeks paid leave for the first two births: 4 weeks before delivery and 12 weeks after the birth. Employee shall receive 26 weeks of maternity leave starting with the third birth and for all subsequent births: 8 weeks before delivery and 18 weeks after the birth.
- d. **Authorized Absences.** In certain circumstances, the employee may request an absence for a certain period of time. Such requests will be on a case-by-case basis and may be granted at the sole discretion of Business France. Please refer to Section 4 of the Employee Handbook for a complete description of authorized absences and their respective conditions.
- e. **Health Insurance** For those employees who are not covered by the French social security system and, Business France will withhold 1/3 of the health insurance costs directly from the salary. The employer share not exceed 850 USD per month.
- f. **Worker's Compensation.** Work-related accidents and injuries are covered by Business France's worker's compensation insurance.

4. Termination of Employment.

The Agreement may be terminated for any reason by Employee or Employer with no notice.

5. Rights and Obligations upon Termination of Employment.

1. Severance. Business France provides a severance package to employees with a minimum of three (3) years of continued service, and solely in the following cases:

i. Termination for restructuring;

ii. Death. Employee's employment shall terminate, without the need for any action by the French Trade Office, upon Employee's death. All unpaid accrued vacation, wages, and severance, if any, will be paid to the Employee's designated beneficiary (heir, estate, legatees).

If Employee voluntarily terminates his or her employment or is terminated for reasons other than restructuring or death, then Employee is not entitled to a severance package.

2. General Release. The payment or provision of any amounts or benefits under this Section 5 shall be conditioned upon Business France's receipt of an irrevocable Settlement Agreement and General Release, that is executed by Employee (or in the case of Employee's death, his estate, heirs, or legatees).

6. Confidential Matters. Employee acknowledges that in and as a result of employment with Business France, he/she will receive, have access to, use, acquire, or add to, Confidential Matters. During the fulfillment of this Agreement, as well as after its execution, Employee shall keep confidential all Confidential Matters and will not divulge, reveal or transmit to any third party information or documents or other element with which Employee may discover during the performance of his/her duties. The confidentiality clauses are presented in Section 3 of the Employee Handbook.

7. Severability. If any provision of this Agreement is held to be illegal, invalid, or unenforceable under present or future laws, such provision shall be fully severable. The remaining provisions shall remain in full force and effect and shall not be affected by the illegal, invalid, or unenforceable provision or by its severance from this Agreement. In lieu of such illegal, invalid, or unenforceable provision, there shall be added as part of this Agreement a provision as similar in its terms to such illegal, invalid, or unenforceable provision as may be possible and be legal, valid, and enforceable.

8. Notice. For the purposes of this Agreement, notices, demands and all other communications provided for in this Agreement shall be in writing and shall be deemed to have been duly given when personally delivered or on the day following delivery to a reputable overnight courier, postage prepaid, addressed as follows:

If to Employee:

Daniel Dozier
1346 West Augusta Boulevard, Apt 3
Chicago, IL 60642

If to the Business France Office:

Mr Arnaud Leretour
Executive Director, Business France, North America
Embassy of France – Business France
1700 Broadway, 30th floor
New York, NY 10019

or to such other address as any party may have furnished to the other party in writing in accordance herewith, except that notices of change of address shall be effective only upon receipt.

9. Mutual Consent. No provision of this Agreement may be modified, waived or discharged unless such waiver, modification or discharge is agreed to in writing signed by Employee and the French Trade Office. No waiver by either party hereto at any time of any breach by the other party hereto of, or compliance with, any condition or provision of this Agreement to be performed by such other party shall be deemed a waiver of similar or dissimilar provisions or conditions at the same or at any prior or subsequent time. No agreements or representations, oral or otherwise, express or implied, with respect to the subject matter hereof have been made by either party which are not set forth expressly in this Agreement. The validity, interpretation, construction and performance of this Agreement shall be governed by the laws of the State of Illinois without regard to its conflicts of law principles.

10. Entire Agreement. This Agreement shall be the entire agreement of the parties hereto in respect of any subject matter contained herein and supersedes all prior severance of other agreements, promises, covenants, arrangements, communications, representations or warranties, whether oral or written, by any officer, employee or representative of any party hereto, and any prior agreement of the parties hereto in respect of the subject matter contained herein is hereby terminated and canceled.

11. Mediation and Competent Jurisdiction.

- a. **Mediation.** Any controversy, claim or dispute arising out of or relating to this Agreement or the breach, termination, enforcement, interpretation or validity thereof shall be first submitted to mediation in Chicago, IL before a sole mediator, in accordance with applicable federal, state and local laws.

- b. Competent Jurisdiction.** In the case of failure to arrive at a mutually acceptable conclusion via mediation or other means, Employee agrees that any and all disputes arising from the interpretation or enforcement of the terms of this Agreement will be subject to the jurisdiction of the United States District Court in which Employee is located, and will be governed by the laws of the United States and the State of Illinois.

IN WITNESS WHEREOF, the parties have executed this Agreement on the date indicated on the first page of this Agreement.

Date: _____

Employee Name: _____

Signature: _____

Embassy of France – Business France : Arnaud Leretour

Date: _____

Title: Executive Director, Business France, North America

Signature: _____