

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**For 6 Month Period Ending December 31, 2019

(Insert date)

**I - REGISTRANT**

- |   |                         |
|---|-------------------------|
| 1. (a) Name of Registrant                       | (b) Registration Number |
| Caribbean Tourism Organization, USA Inc.        | 991                     |
| (c) Primary Business Address                    |                         |
| 80 Broad Street, Suite 3302, New York, NY 10004 |                         |

## 2. Has there been a change in the information previously furnished in connection with the following?

## (a) If an individual:

- |                           |                              |                             |
|---------------------------|------------------------------|-----------------------------|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship           | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation            | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

## (b) If an organization:

- |                          |                              |  |
|--------------------------|------------------------------|--|
| (1) Name                 | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices       | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

## (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSES TO ITEMS 3, 4, 5, AND 6.**

3. If the registrant previously filed an Exhibit C
- <sup>1</sup>
- , state whether any changes therein have occurred during this 6 month reporting period. Yes
- 
- No
- 

If yes, has the registrant filed an updated Exhibit C? Yes  No 

If no, please file the updated Exhibit C.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

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 4. (a) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
Yes  No 

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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## (b) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes  No 

If yes, furnish the following information:

Name	Position	Date Ceased
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 5. (a) Has any person named in Item 4(a) rendered services directly in furtherance of the interests of any foreign principal?
Yes  No 

If yes, identify each such person and describe the service rendered.

Name	Foreign Principal(s) Represented	Services
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## (b) During this 6-month reporting period, did any employee or individual working in any capacity, other than in a clerical or secretarial capacity or in a related or similar capacity, begin rendering services to the registrant directly in furtherance of the interests of any foreign principal(s)?

Yes  No 

Name	Foreign Principal	Residence Address	Citizenship	Position	Date Assumed
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## (c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period?

Yes  No 

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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## (d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period?

Yes  No 

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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 6. Have short form registration statements been previously filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement or submitted with this filing?
Yes  No 

If no, list names of persons who have not previously filed the required statement or are not submitting a short form with this filing.

N/A

**II - FOREIGN PRINCIPAL**

7. Has the registrant's connection with any foreign principal(s) ended during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Foreign Principal Date of Termination

8. Has the registrant registered for any new foreign principal(s)<sup>2</sup> during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

Foreign Principal Date Registered

9. In addition to those named in Items 7 and 8, if any, list the foreign principal(s) whom the registrant continued to represent during this 6 month reporting period.

CTO member countries:

Anguilla	Dominica	Saint Lucia
Antigua and Barbuda	Grenada	St. Eustatius
The Bahamas	Guyana	St. Kitts and Nevis
Barbados	Haiti	St. Maarten
Belize	Jamaica	St. Martin
British Virgin Islands	Martinique	St. Vincent and the Grenadines
Cayman Islands	Montserrat	Trinidad and Tobago
Curacao	Puerto Rico	Turks and Caicos Islands

10. During this 6 month reporting period, has the registrant filed an amendment for changes to Exhibits A<sup>3</sup> and/or B<sup>4</sup> previously filed for any foreign principal(s)? Yes  No

If no, and there is a change to any Exhibit A and/or B, please file an amendment if it is required.

If yes, furnish the following information:

Foreign Principal Date Amended

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

11. During this 6 month reporting period, has the registrant engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

If yes, identify each foreign principal and describe in full detail all activities and services:

Foreign Principal	Activities/Services
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12. During this 6 month reporting period, has the registrant, on behalf of any foreign principal, engaged in political activity<sup>5</sup> as defined below? Yes  No

If yes, identify each foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures, social media, internet postings, or media broadcasts, give details as to dates, places of delivery, names of speakers and subject matter. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

Set forth below a general description of the registrant's political activities.

Set forth below in the required detail the registrant's political activities.

Foreign Principal	Date	Contact	Method	Purpose
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13. In addition to the above described activities, if any, has the registrant engaged in activity or rendered any services on its own behalf which benefits the registrant's foreign principal(s)? Yes  No

If yes, describe fully.

Foreign Principal	Activities/Services
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<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

**IV - FINANCIAL INFORMATION****14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, has the registrant received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes  No

If no, please explain why no monies were received.

The monies received during the period ended December related to transfers from the CTO Head office in Barbados and amounted to \$313,500.

When the decision was made to close the CTO USA Inc physical office in October, 2019, the CTO Head office in Barbados undertook paying the bulk of the CTO USA Inc's vendors directly

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Foreign Principal	Date Received	From Whom	Purpose	Amount	Subtotal
CTO Head Office				\$313,500	

\$313,500

Total

**(b) RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, has the registrant received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes  No

If yes, has the registrant previously filed an Exhibit D<sup>8</sup> to its registration? Yes  No

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

If no, please file the required Exhibit D.

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes  No

If yes, furnish the following information:

Foreign Principal	Date Received	From Whom	Purpose	Thing of Value
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6, 7 A registrant is required to file an Exhibit D if he/she collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, has the registrant disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement or transmitted monies to any such foreign principal?      Yes       No

If no, explain why no disbursements were made.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Foreign Principal	Date	Recipient	Purpose	Amount	Subtotal
See Schedule A Attached				\$455,973	

\$455,973

Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes  No

If yes, furnish the following information:

Foreign Principal	Date	Recipient	Purpose	Thing of Value
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes  No

If yes, furnish the following information:

Date	Donor	Political Organization/Candidate	Method	Amount/Thing of Value
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<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**V - INFORMATIONAL MATERIALS**

16. (a) During this 6 month reporting period, has the registrant prepared, disseminated or caused to be disseminated any informational materials?<sup>12</sup> Yes  No

(b) If yes, identify each such foreign principal for which informational materials were disseminated and respond to the remaining items in this section.

Information was disseminated for the countries listed at item number (9) above

17. During this 6 month reporting period, has a budget been established or specified sum of money allocated to finance the registrant's activities in preparing or disseminating informational materials? Yes  No

If yes, identify each such foreign principal, and specify the period of time and the amount.

Foreign Principal	Period of Time	Amount
Countries listed at item (9) above.	July to November 2019 December 1 to 31, 2019 was the period used to close the office	\$313,500

\$313,500

Total

18. During this 6 month reporting period, has the registrant's activities in preparing, disseminating or causing the dissemination of informational materials included the use of any of the following:

- Magazine or newspaper       Advertising campaigns       Press releases       Pamphlets or other publications  
 Lectures or speeches       Radio or TV broadcasts       Motion picture films       Letters or telegrams  
 Email  
 Website URL(s): onecaribbean.org  
 Social media websites URL(s): \_\_\_\_\_  
 Other (specify) Chart of Activities/Country websites

19. During this 6 month reporting period, has the registrant prepared, disseminated, or caused to be disseminated informational materials among any of the following groups:

- Public officials       Newspapers       Libraries  
 Legislators       Editors       Educational institutions  
 Government agencies       Civic groups or associations       Nationality groups  
 Other (specify) \_\_\_\_\_

20. Indicate the language used in the informational materials:

- English       Other (specify) \_\_\_\_\_

21. Has the registrant filed with the FARA Unit, U.S. Department of Justice a copy of each item of such informational materials prepared, disseminated or caused to be disseminated during this 6 month reporting period? Yes  No

If no, please file the required informational materials.

22. Has the registrant labeled each item of such informational materials with the statement required by Section 4(b) of the Act?

- Yes  No

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.



### CARIBBEAN ACTIVITY CHART

	Biking	Bird Watching	Body Surfing	Camping	Carnival/Festival	Casinos	Cave Exploration	Deep Sea Fishing	Duty Free Shopping	Golf	Hiking	Helicopter Tours	Historical/Heritage Tours	Horseback Riding	Kayaking	Music Festival	Nightlife	Parasailing	Pleasure Boat Tours	Rainforest Tours	River Rafting	Rock Climbing	Sailing	Scuba Diving	Snorkeling	Spas and Wellness	Submarine Tours	Tennis/Squash	Tubing	Turtle Watching	Volcano Excursions	Waterskiing	Weddings/Honeymoons	Whale/Dolphin Watching	Windsurfing/Kitesurfing	Zip-line/Treetop Touring	
ANGUILLA	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
ANTIGUA & BARBUDA	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
THE ISLANDS OF THE BAHAMAS	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
BARBADOS	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
BELIZE	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
BERMUDA	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
BRITISH VIRGIN ISLANDS	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
CAYMAN ISLANDS	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
CURAÇAO	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
DOMINICA	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
GRENADA	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
GUYANA	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
HAITI	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
JAMAICA	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
MARTINIQUE	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
MONTSERRAT	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
NEVIS	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
PUERTO RICO	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
SAINT LUCIA	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
ST. EUSTATIUS	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
ST. KITTS	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
ST. MAARTEN/ST. MARTIN	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
ST. VINCENT & THE GRENADINES	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
TRINIDAD & TOBAGO	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
TURKS & CAICOS	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•

The information contained in the Chart above is subject to change without notice.



**CARIBBEAN™**

[www.CaribbeanTravel.com](http://www.CaribbeanTravel.com)

- British Virgin Islands
- Anguilla
- St. Martin
- St. Maarten
- St. Barts
- Barbuda
- Antigua
- Guadeloupe
- MARIE GALANTE
- Dominica
- Martinique
- Saint Lucia
- St. Vincent & the Grenadines
- Barbados
- Grenada
- Tobago
- Trinidad
- Guyana
- US Virgin Islands
- Puerto Rico
- Tortola
- ST. THOMAS
- VIRGIN GORDA
- ST. JOHN
- ST. CROIX
- Saba
- St. Eustatius
- St. Kitts
- Nevis
- Montserrat
- Aruba
- Curaçao
- Bonaire
- Venezuela

Map courtesy of Caribbean Hotel & Tourism Association

Anguilla [www.ivisitanguilla.com](http://www.ivisitanguilla.com)  
 Antigua & Barbuda [www.visitantiguabarbuda.com](http://www.visitantiguabarbuda.com)  
 Bahamas [www.bahamas.com](http://www.bahamas.com)  
 Barbados [www.visitbarbados.org](http://www.visitbarbados.org)  
 Belize [www.travelbelize.org](http://www.travelbelize.org)  
 Bermuda [www.gotobermuda.com](http://www.gotobermuda.com)

Cayman Islands [www.caymanislands.ky](http://www.caymanislands.ky)  
 Cuba [www.gocuba.ca](http://www.gocuba.ca)  
 Dominica [www.DiscoverDominica.com](http://www.DiscoverDominica.com)  
 Grenada [www.grenadagrenadines.com](http://www.grenadagrenadines.com)

Haiti [www.haititourisme.gouv.ht](http://www.haititourisme.gouv.ht)  
 Jamaica [www.visitjamaica.com](http://www.visitjamaica.com)  
 Martinique [www.martinique.org](http://www.martinique.org) | [www.lamartinique.ca](http://www.lamartinique.ca)  
 Montserrat [www.visitmontserrat.com](http://www.visitmontserrat.com)  
 Nevis [www.nevisisland.com](http://www.nevisisland.com)  
 Puerto Rico [www.seepuertorico.com](http://www.seepuertorico.com)  
 Saint Lucia [www.stlucianow.com](http://www.stlucianow.com) | [www.stlucianow.ca](http://www.stlucianow.ca)  
 St. Eustatius [www.statiatourism.com](http://www.statiatourism.com)

St. Kitts [www.stkittstourism.kn](http://www.stkittstourism.kn)  
 St. Maarten [www.vacationstmaarten.com](http://www.vacationstmaarten.com)  
 St. Martin [www.stmartinisland.org](http://www.stmartinisland.org)  
 St. Vincent & the Grenadines [www.discoversvg.com](http://www.discoversvg.com)  
 Trinidad & Tobago [www.gotrinidadandtobago.com](http://www.gotrinidadandtobago.com)  
 Turks & Caicos Islands [www.turksandcaicostourism.com](http://www.turksandcaicostourism.com)

British Virgin Islands [www.bviturism.com](http://www.bviturism.com)

Guyana [www.guyana-tourism.com](http://www.guyana-tourism.com)

**EXECUTION**

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date

Printed Name

Signature

11/17/2023

NEIL WACTERS



<b><u>CTO USA INC</u></b>	<b><u>Schedule A</u></b>
<b><u>EXPENSES</u></b>	
<b><u>FOR THE PERIOD JULY - December 2019</u></b>	
Staff Salaries	140,950
Staff Taxes	11,408
Staff Pension	18,033
Staff Medical Insurance	50,420
Workers' Compensation Insurance	3,300
NY Paid Family Leave	202
Travel Expense	902
Food & Beverage Expense	2,599
Sustainable Conference Expense	290
Advertising Expense	2,787
Promotion & Marketing Expense	1,258
Caribbean Youth Congress Expense	20,845
Caribbean Week - Toronto	33,747
Office Supplies	1,606
Telephone	8,913
Internet	2,599
Rent	84,659
Postage & Distribution	1,100
Equipment Lease	4,985
Maintenance - General	115
Maintenance - I.T.	19,380
P.R. Expense	10,157
Canada Expense	21,834
Bank Charges	361
Insurance & Licenses	10,208
Miscellaneous Expenses	3,316
<b>Total Expenses</b>	<b>455,973</b>